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## Lecture 15, Part 2: Organic/inorganic hybrid materials based optical microcavities and applications

Lei Xu

*Fudan University*

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# 微腔光子学

# Microcavity photonics

--Organic/Inorganic hybrid materials  
based optical microcavities and  
applications

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# Outlines

- **Background**
- **Important works in the field**
- **Our works**
- **Conclusion**

**Researches on:**

**Microcavity optics**

**Materials and devices for integrated optics**

**Novel optical properties driven by ultrafast laser  
pulses irradiation**

**Photonics development =**

**New materials +**

**New device structures**

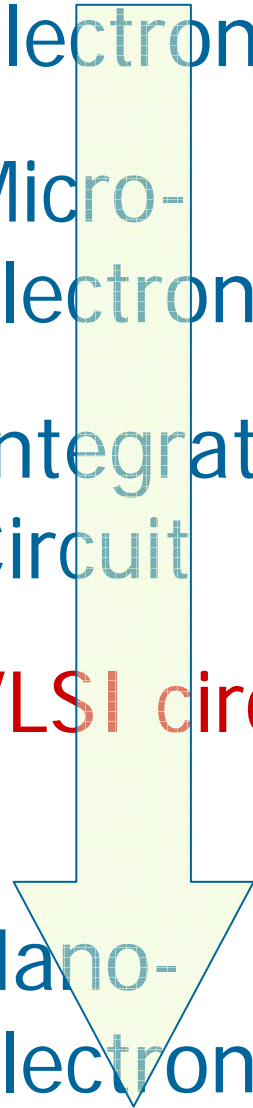
Electronics

Micro-  
electronics

Integrated  
Circuit

VLSI circuit

Nano-  
electronics



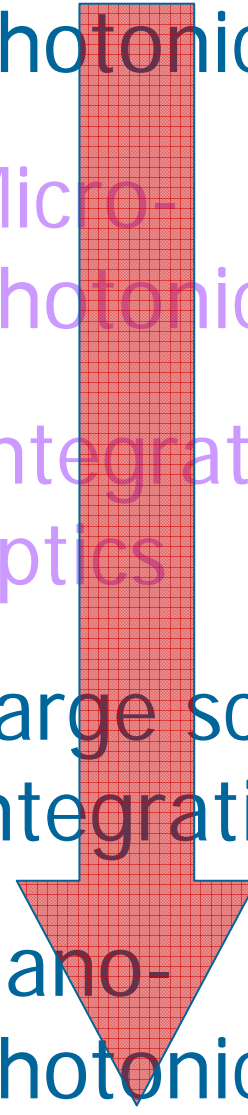
Photonics

Micro-  
photonics

Integrated  
optics

Large scale  
integration

Nano-  
photonics

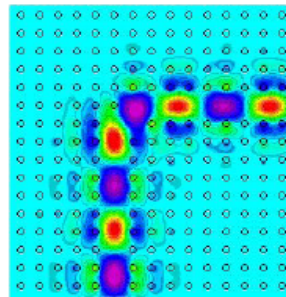
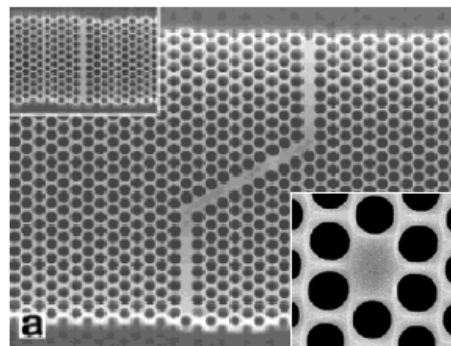


# Pushing the Size Limits of Photonics



- Controlling the flow of light in small volumes – optical memory, logic, switching, etc.

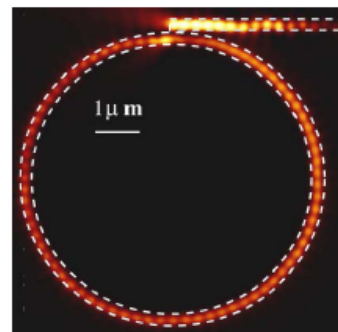
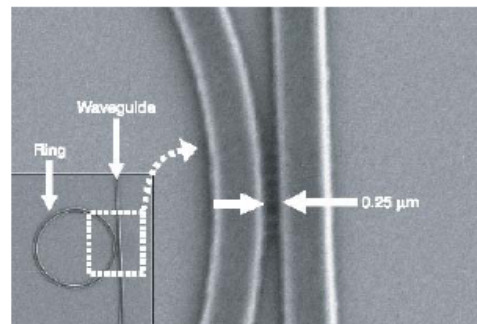
Photonic Crystals ( $>1\ \mu\text{m}$ )



S.Y. Lin *et al.* *Science* **282**, 274 (1998).

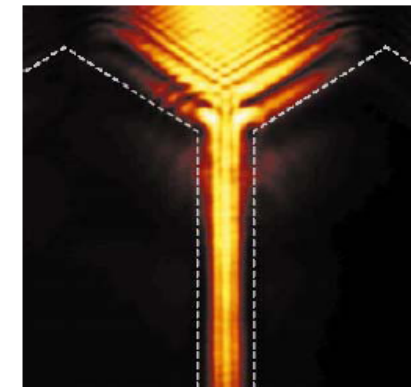
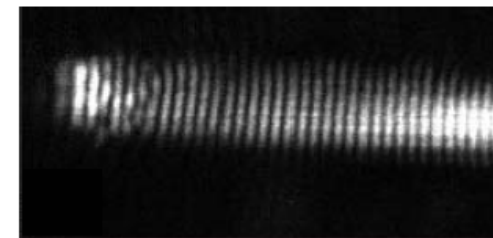
A. Birner *et al.* *Adv. Mat.* **13**, 377 (2001).

Slab/Slot Waveguides ( $<1\ \mu\text{m}$ )



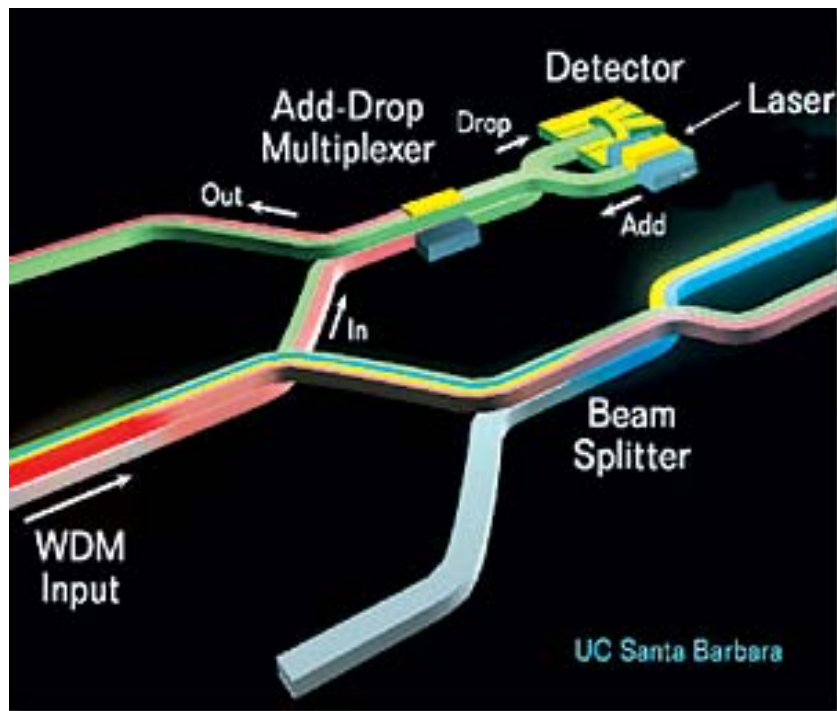
V.L. Almeida *et al.* *Nature* **431**, 1081 (2004).  
R. Quidant *et al.* *Phys. Rev. B* **69**, 81402R (2004).

Plasmonics ( $< 100\ \text{nm}$ )

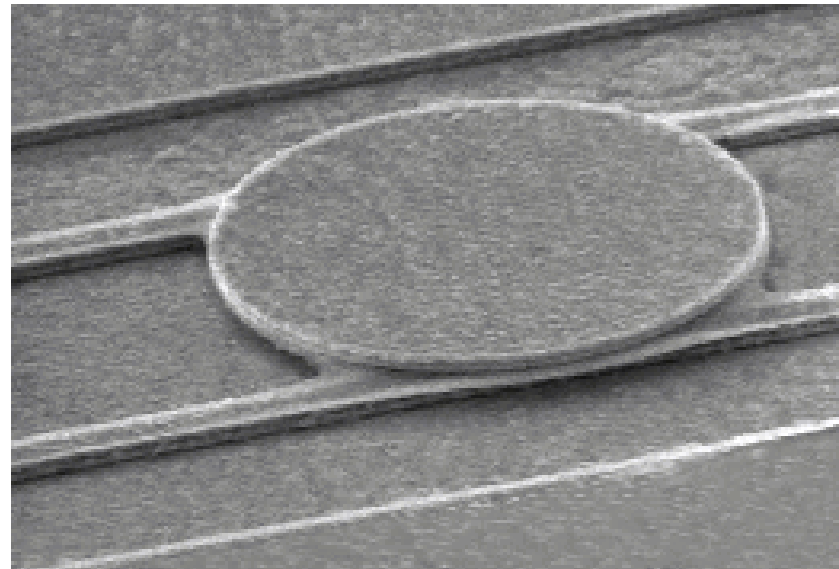


J.C. Krenn *et al.* *Phil. Trans. R. Soc. Lond. A* **362**, 739 (2004)  
Barnes *et al.* *Nature* **424**, 824 (2003).

# 光子芯片 Photonic chip

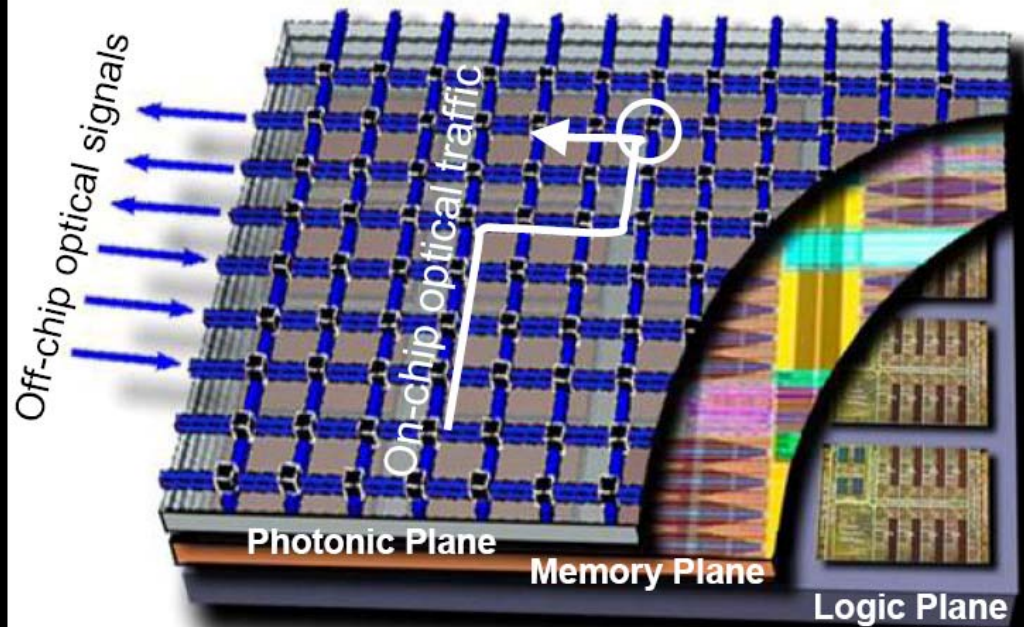


**Vertical integration**





# Optical interconnects



36 "Cell" chip (~300 cores)

## Optoelectronic system on chip

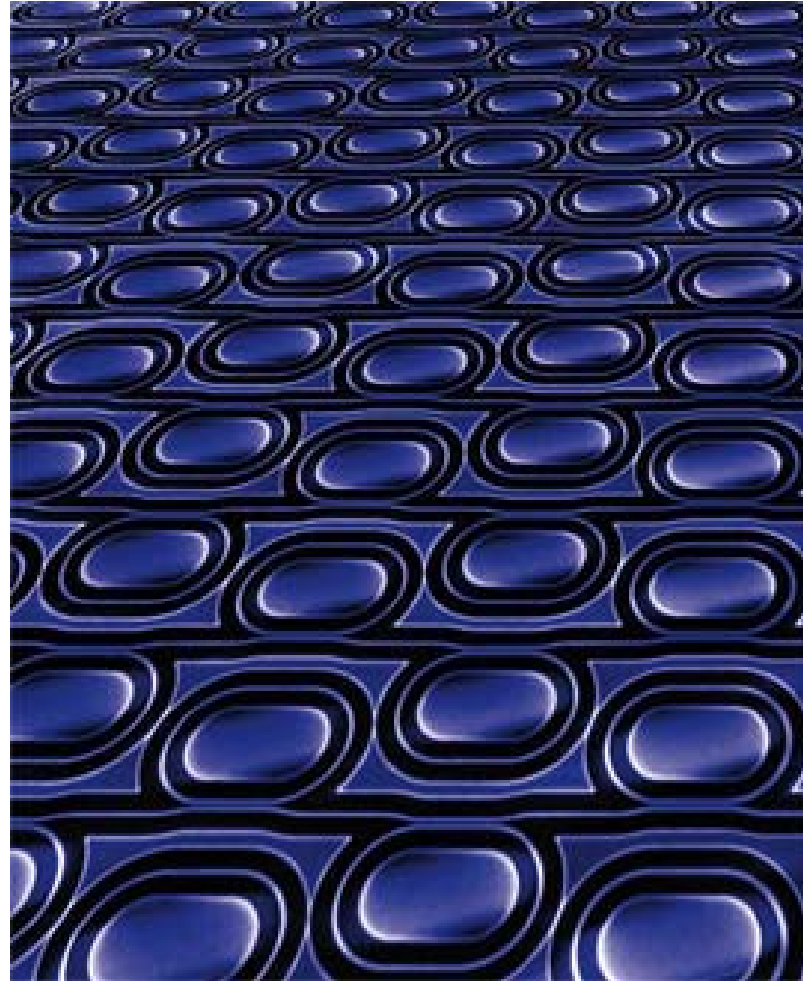
|                |                                |
|----------------|--------------------------------|
| Logic plane    | ~300 cores                     |
| Memory plane   | ~30GB eDRAM                    |
| Photonic plane | <b>On-Chip Optical Network</b> |
|                | >70Tbps optical on-chip        |
|                | >70Tbps optical off-chip       |

Photonic layer is not only connecting various cores, but also routes the traffic

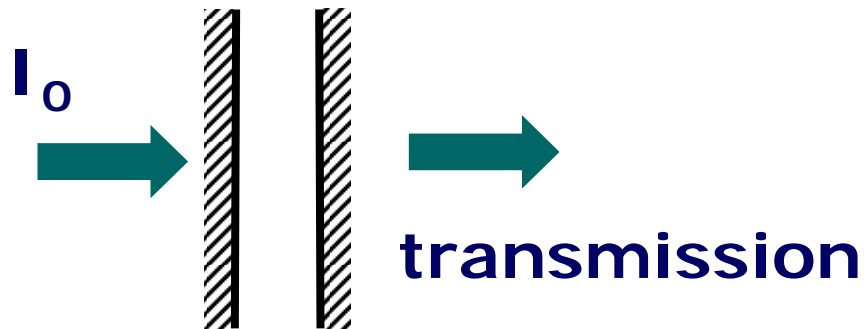
All future dates and specifications are estimations only. Subject to change without notice.



**Optical microcavity are important  
element in photonic integrated circuit**

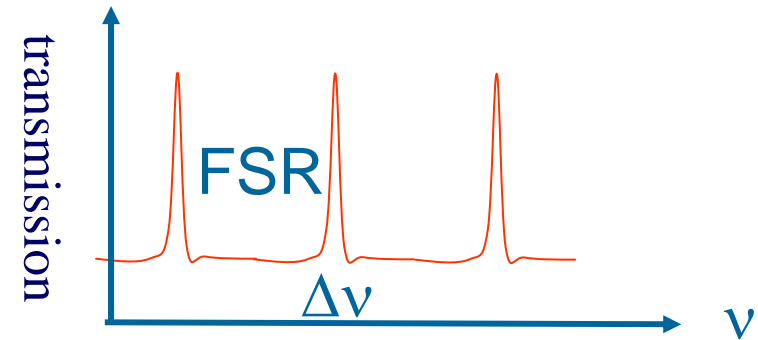


## A Fabry-Perot cavity



## Mode formation requirement

$$2nd = m\lambda$$



$$Q = \nu / \Delta\nu$$

$$\text{FSR} = c / 2nL$$

Light intensity in a cavity:

Cavity enhancement

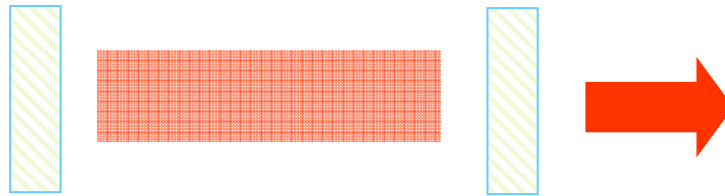
Purcell effect

$$I = \frac{I_0 / (1 - R)}{1 + (2F / \pi)^2 \sin^2(\varphi / 2)} \gg I_0$$

$$F = \pi \sqrt{R} / (1 - R)$$

# Applications of optical cavities

- Light generation
  - Laser & cavity-enhanced LED
- Light routing and manipulation
  - Optical filters for WDM
  - Modulators and switches
  - Slow light: CROW
- Light interaction with matter
  - Cavity-enhanced photodetector
  - Spectroscopy and sensing
  - Non-linear optics
  - Optical tweezers & MOEMS
  - Cavity QED



**Conventional cavity**

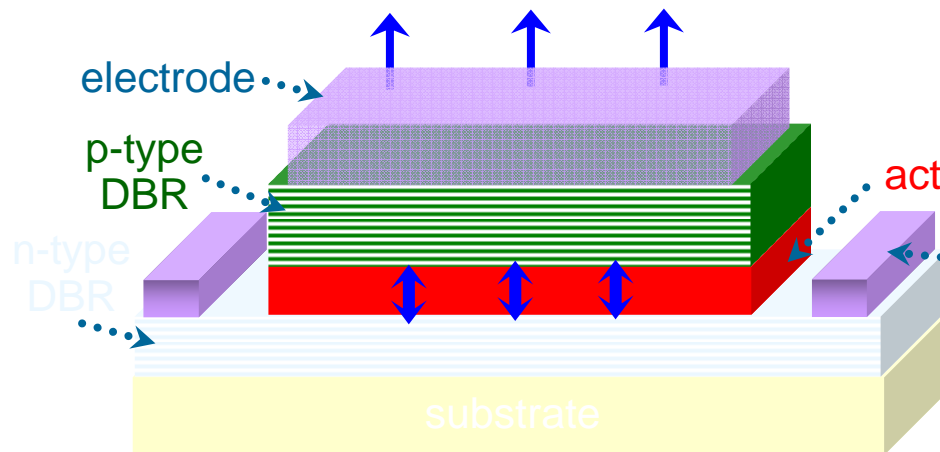


**Micro-cavity**

# Conventional lasers

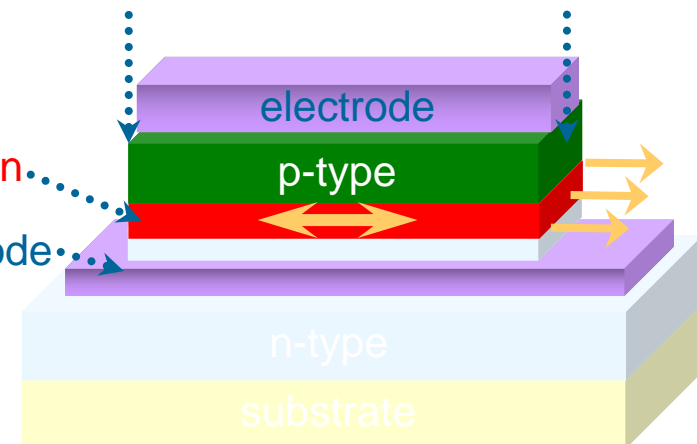
## VCSELs - vertical cavity surface emitting lasers

distributed Bragg reflector (DBR) mirrors (requires  $R > 99.9\%$ )



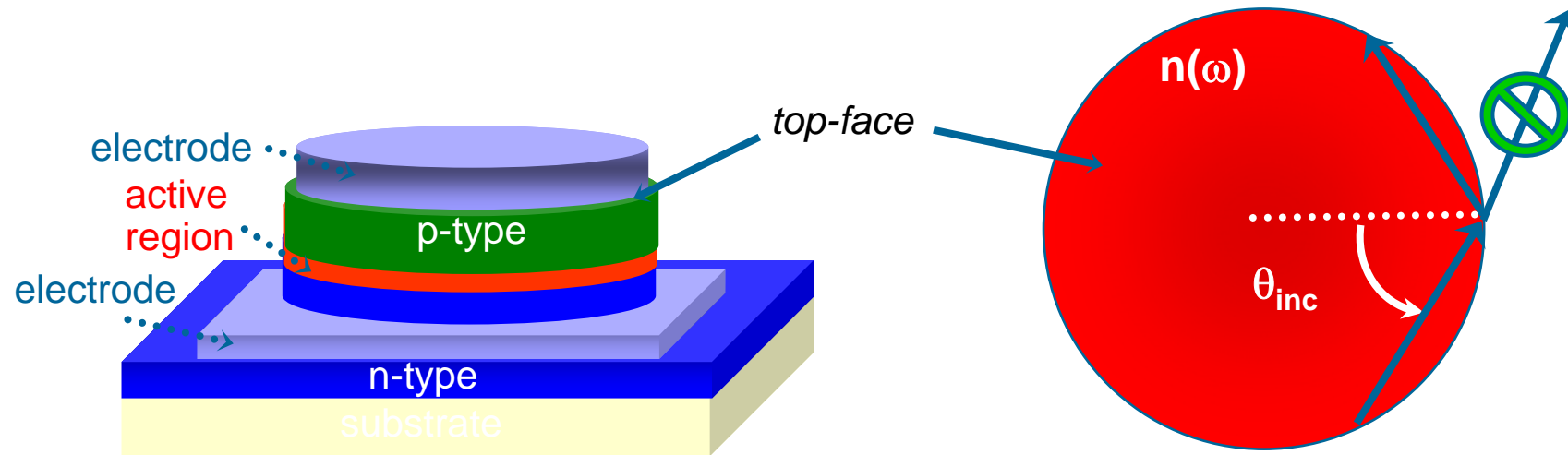
## Edge emitters

requires cleaved surfaces and coat with thin film to control reflectivity



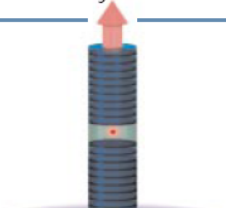
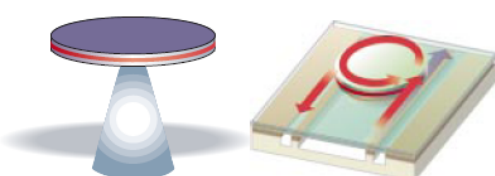
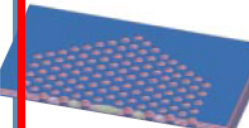
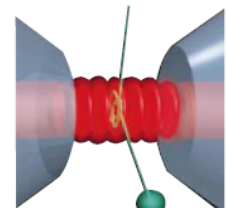
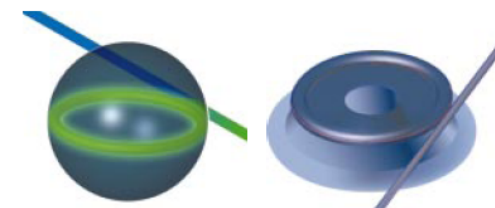
- material difficulties: optical and electrical confinement
- electrodes must be *transparent*

# Whispering gallery modes: Total internal reflection (TIR)



- ~~electrodes must be transparent~~
- ~~mirrors~~
- 100% reflectivity from sidewalls

# Optical microcavities

|                | Fabry-Perot  | Whispering gallery   | Photonic crystal   |
|----------------|--|--|--|
| High $Q$       | <br>$Q: 2,000$<br>$V: 5 (\lambda/n)^3$          | <br>$Q: 12,000$<br>$V: 6 (\lambda/n)^3$<br>$Q_{III-V}: 7,000$<br>$Q_{Poly}: 1.3 \times 10^5$ | <br>$Q: 13,000$<br>$V: 1.2 (\lambda/n)^3$ |
| Ultra-high $Q$ | <br>$F: 4.8 \times 10^5$<br>$V: 1,690 \mu m^3$ | <br>$Q: 8 \times 10^9$<br>$V: 3,000 \mu m^3$<br>$Q: 10^8$                                   |  |

Vahala, Nature, 2003

High  $Q$  cavities: very low threshold laser  
 Universal cavity structure: UV laser



圣保罗教堂回音壁 瑞利



# History of micro-cavity

1939 Dielectric Resonators

(Propose WGM to create high-Q optical resonators)

**R. D. Richtmyer**

1961 Stimulated emission into optical whispering modes of spheres

(First experimental observation of WGM millimeter-sized dielectric spheres of  $\text{CaF}_2:\text{Sm}^{++}$  )

**C. G. B. Garret, W. Kaiser and W. L. Bond**

1980 Observation of resonances in the radiation pressure on dielectric spheres

(Liquid droplets of micrometer-sized cavities)

**A. Ashkin and J. M. Dziedzic**

1986 Lasing droplets

**S. X. Qian, RK Chang**

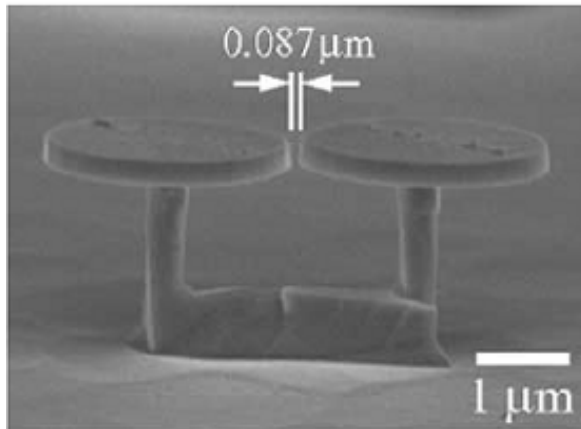
1992 Whispering-gallery mode micro-disk lasers (Two-dimensional semiconductor circular micro-disks)

**S. L. McCall, A. F.J.Levi, R. E. Slusher**

## **Topics (2010 ICTON)**

- **Microcavity lasers and LEDs**
- **Microresonator-based bio(chemical) sensors**
- **Single-molecule sensors**
- **Coupling and transport phenomena**
- **Slow-light structures**
- **Cavity opto-mechanics**
- **Tunable cavities**
- **Tuning optical properties of single emitters with microcavities**
- **Optical bistability in microcavity structures**
- **Quantum information processing with microresonators**
- **Localized and quasi-localized photonic states in aperiodic structures**
- **Cavity polaritons and plasmons**

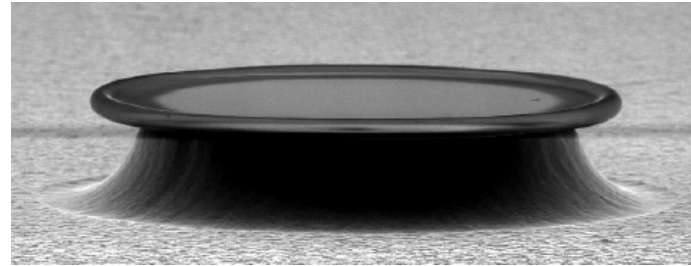
# Materials for optical microcavities



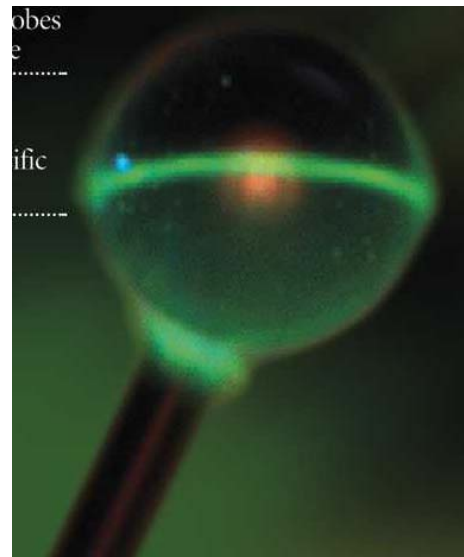
**Semiconductors (Si, III-V, nano-materials)**



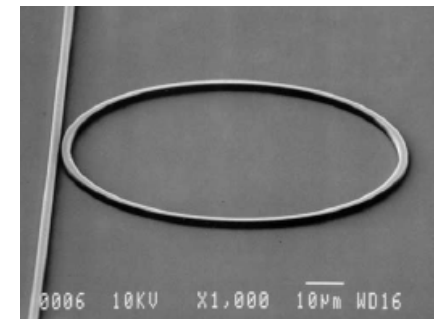
**Crystals ( $\text{LiNbO}_3$ )**



**$\text{SiO}_2$**



**RE-doped glasses**



**Polymers**

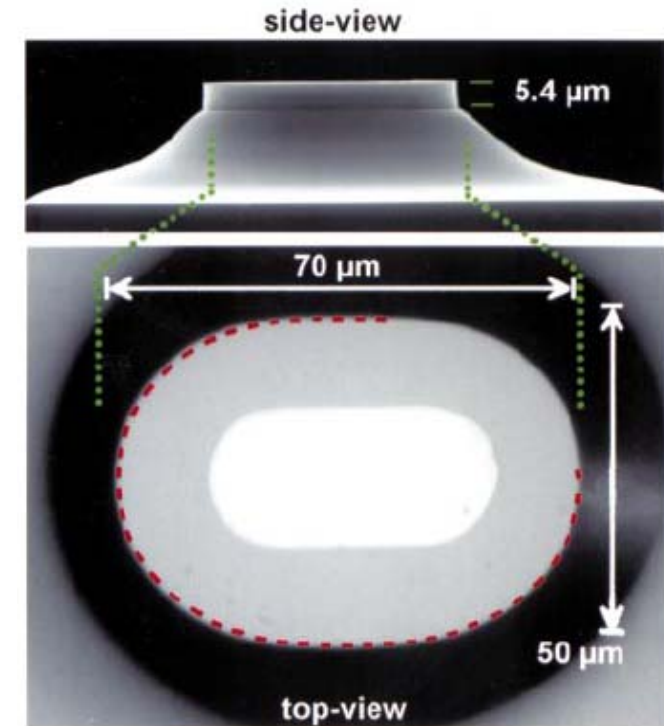
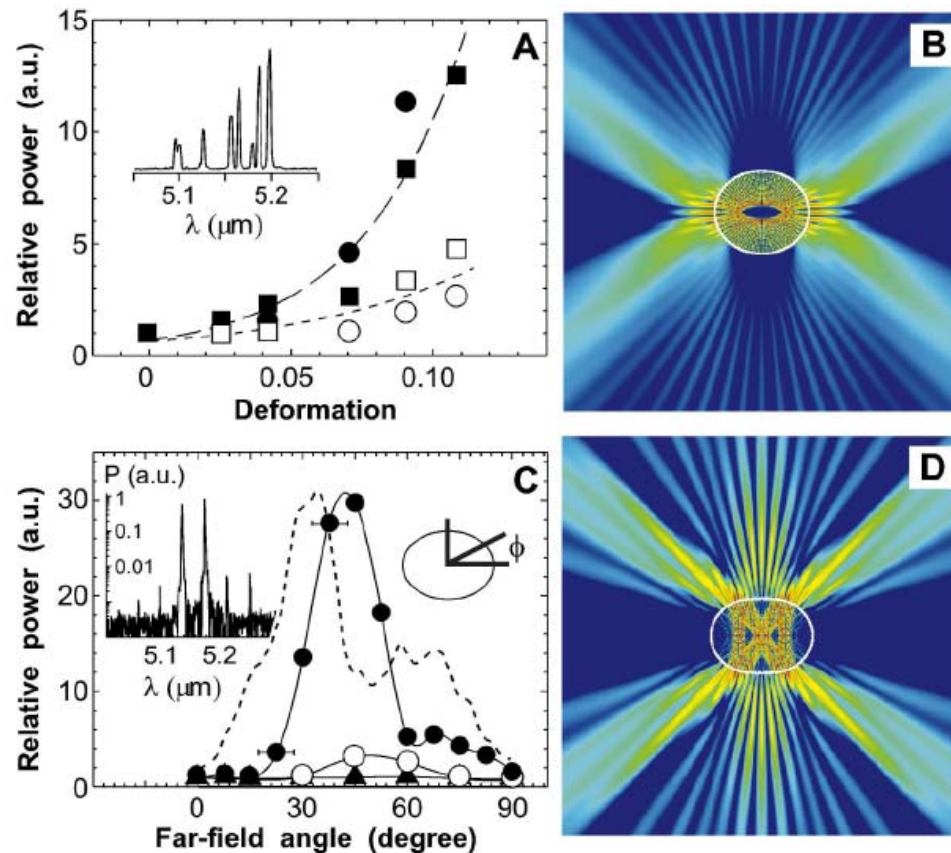
# **Important Works**

# High-Power Directional Emission from Microlasers with Chaotic Resonators

Claire Gmachl, Federico Capasso,\* E. E. Narimanov,  
Jens U. Nöckel, A. Douglas Stone, Jérôme Faist,†  
Deborah L. Sivco, Alfred Y. Cho

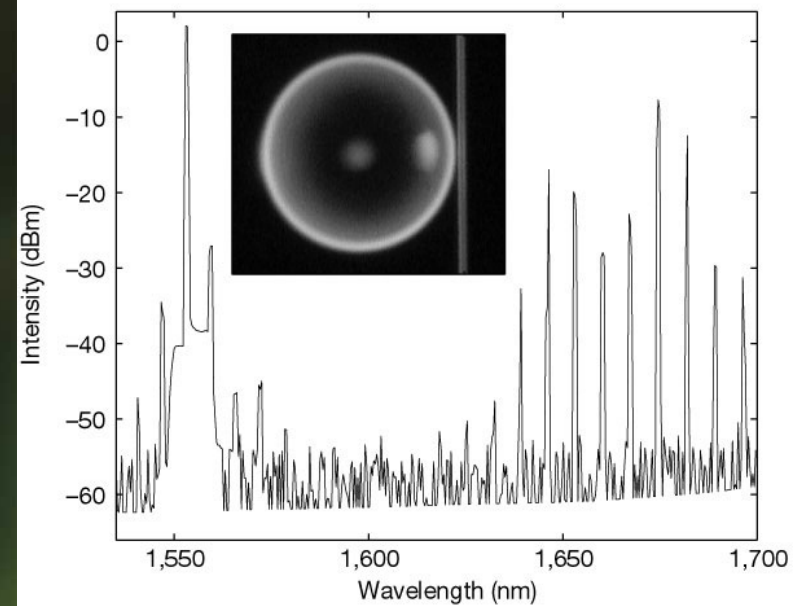
Science 280,1557 (1998)

标志性工作1





标志性工作II



Er doped silica sphere

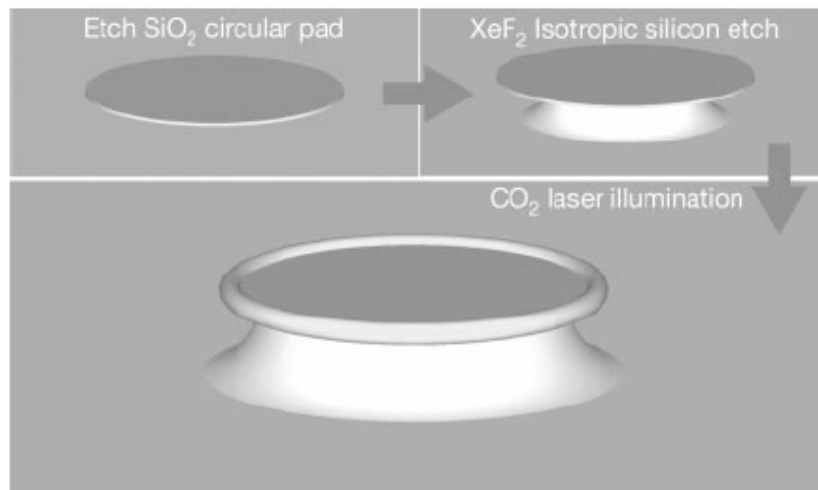
# Ultra-high- $Q$ toroid microcavity on a chip

D. K. Armani, T. J. Kippenberg, S. M. Spillane & K. J. Vahala

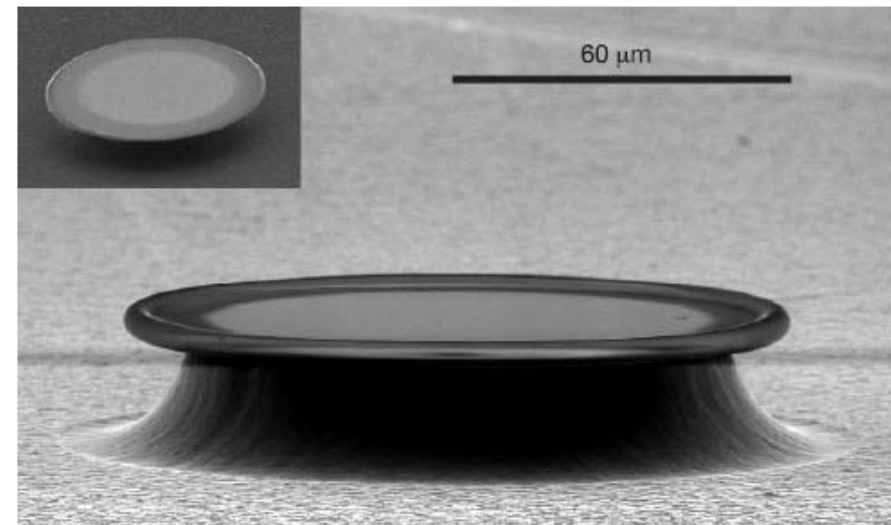
Department of Applied Physics, California Institute of Technology, Pasadena, California 91125, USA

标志性工作■■■

Nature 421,925 (2003)

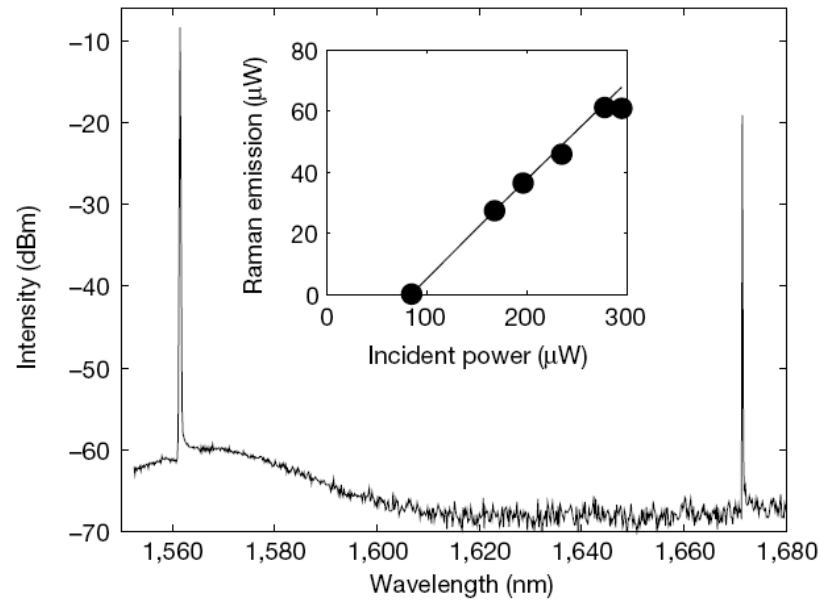


**Figure 1** Flow diagram illustrating the process used to fabricate ultra-high- $Q$  planar microcavities.

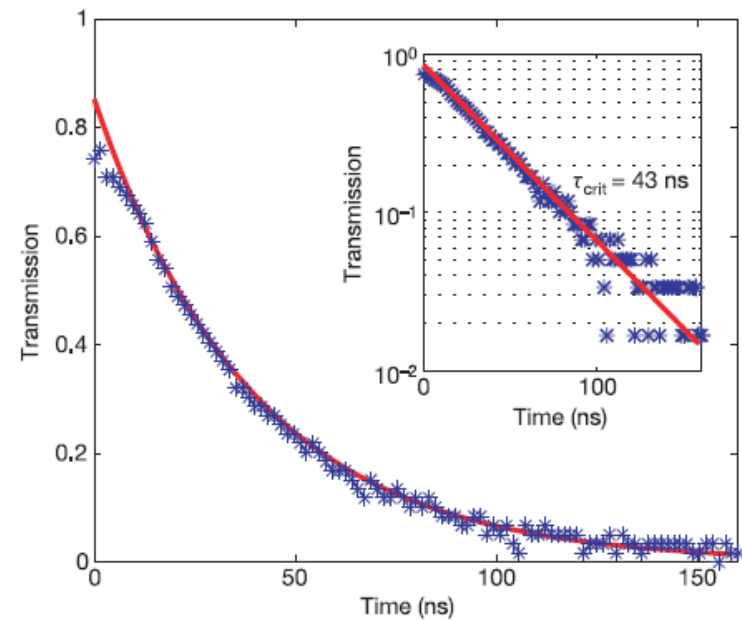


**Figure 2** Scanning electron micrograph of a silica microdisk after selective reflow treatment with a CO<sub>2</sub> laser. The inset shows the microdisk prior to laser treatment. This toroidal microresonator had an intrinsic cavity  $Q$  of  $1.00 \times 10^8$ .





**Figure 4** Single longitudinal mode Raman lasing. Raman spectrum for a 40- $\mu\text{m}$ -diameter microsphere, exhibiting a unidirectional conversion efficiency of 16% (pump is at 1,555 nm). Inset, Raman power output (sum of forward and backward emission) versus incident pump power. Differential quantum efficiency is 36%.



**Figure 4** Ringdown measurement of a 90- $\mu\text{m}$ -diameter toroid microcavity at the critical-coupling point. The measured lifetime of  $\tau_{\text{crit}} = 43 \text{ ns}$  corresponds to an intrinsic quality factor of  $Q = 1.25 \times 10^8$ .

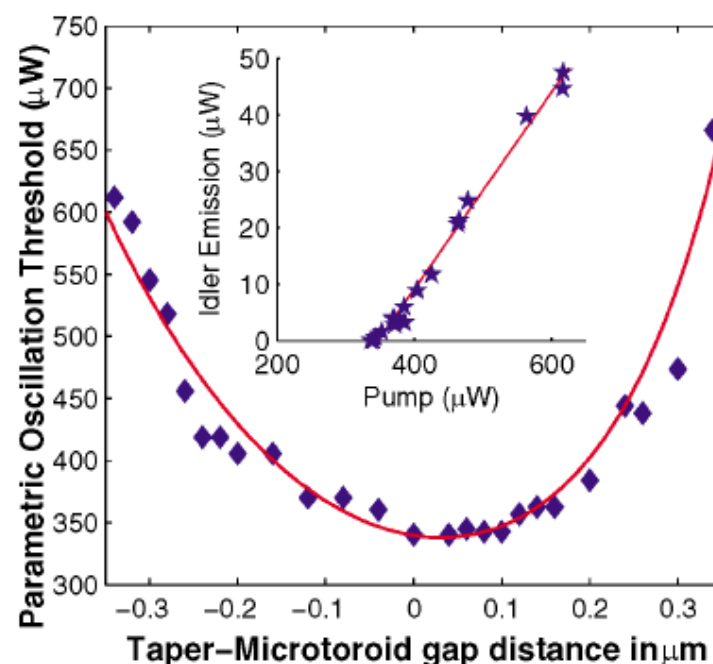
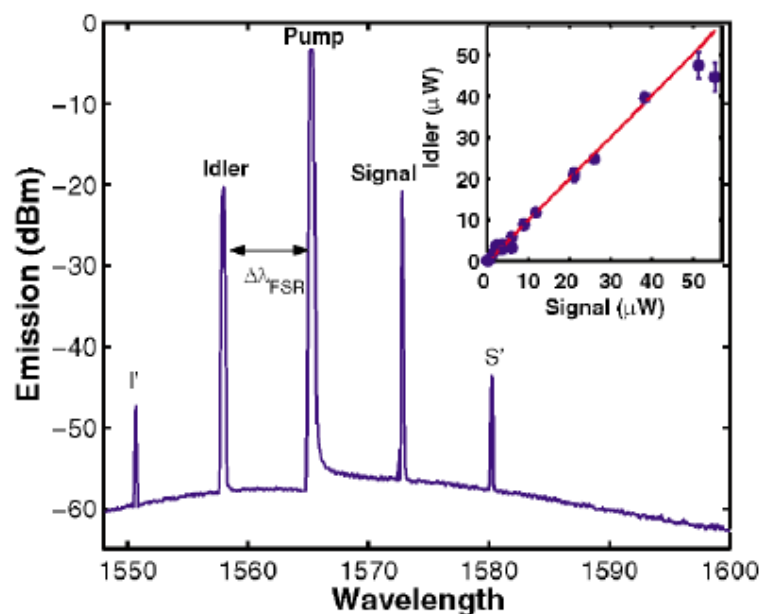
Cavity mode photon lifetime  
 $\tau=43\text{ns}$ ,  
 $Q = 3 \times 10^8$

# Kerr-Nonlinearity Optical Parametric Oscillation in an Ultrahigh- $Q$ Toroid Microcavity

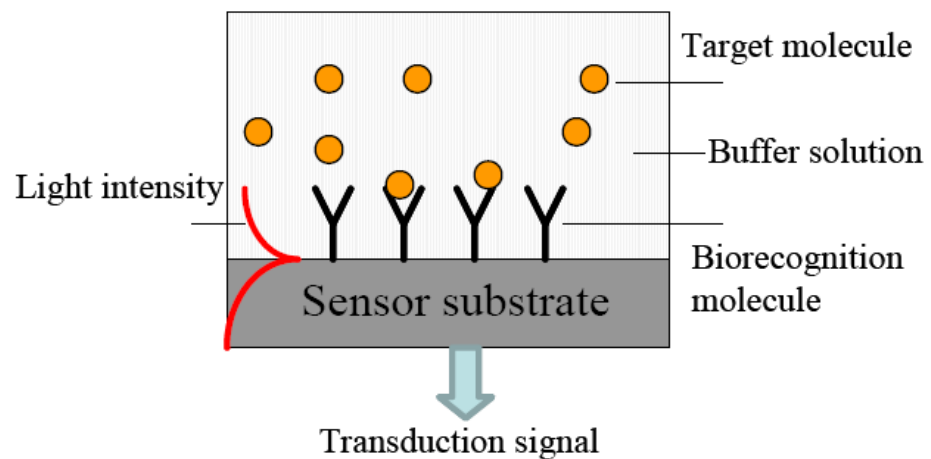
T. J. Kippenberg, S. M. Spillane, and K. J. Vahala\*

$$P_t^{\text{Kerr}} = \frac{\omega_0^2 Q_0^{-2} (1 + K)^2 + (\Delta\omega/2)^2}{\gamma \Delta\omega \frac{c}{n_{\text{eff}}}} \frac{C(\Gamma) \pi^2 R n_{\text{eff}}}{2\lambda_0} \times \frac{(K + 1)^2}{Q_0 K}.$$

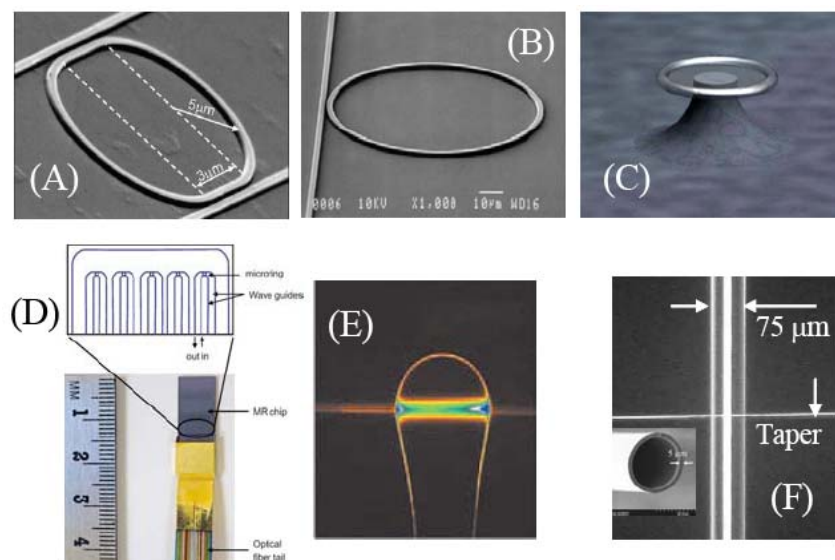
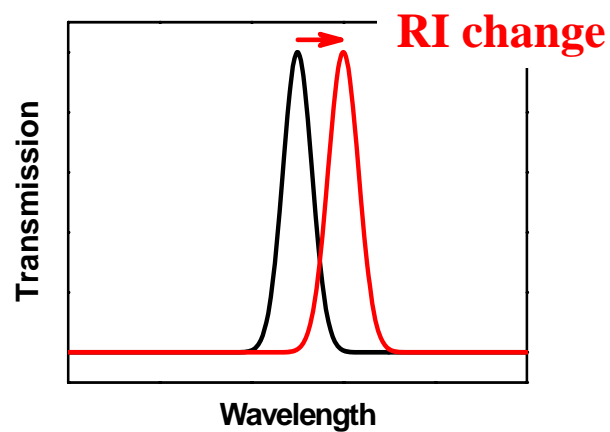
**Ultralow level optical  
nonlinearity generation**



# Bio-sensing using optical microcavities

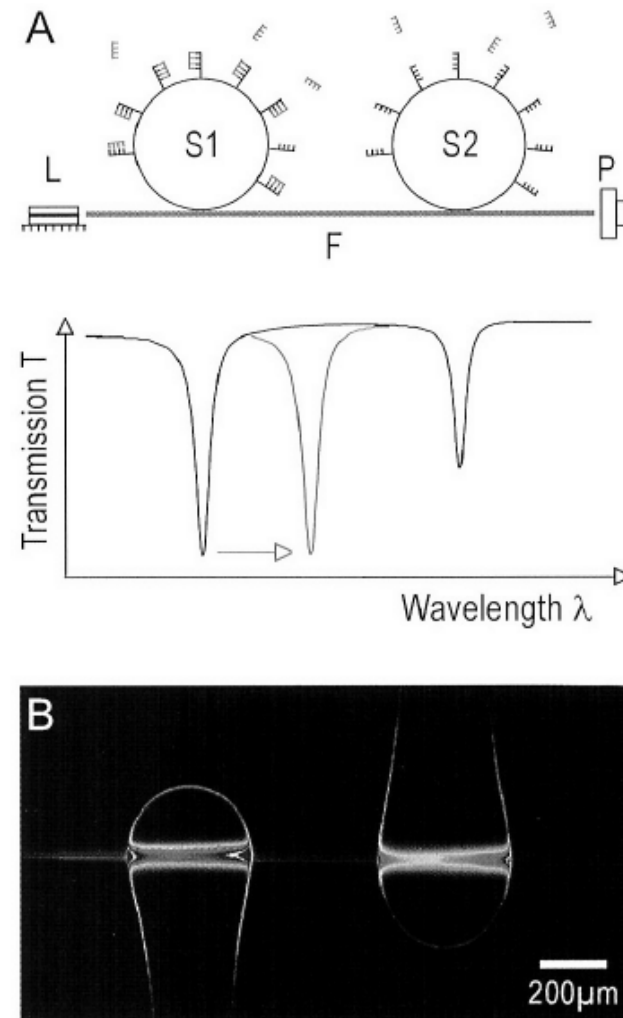


**Label-free optical bio-sensor  
detects environmental RI  
change**



**Using two microcavities with  
different chemical surface  
modification to detect DNA**

**Sensitivity: 6 pg/mm<sup>2</sup>**



**Biophysical Journal 85, 1974 (2003)**

# Opto-fluidic sensor

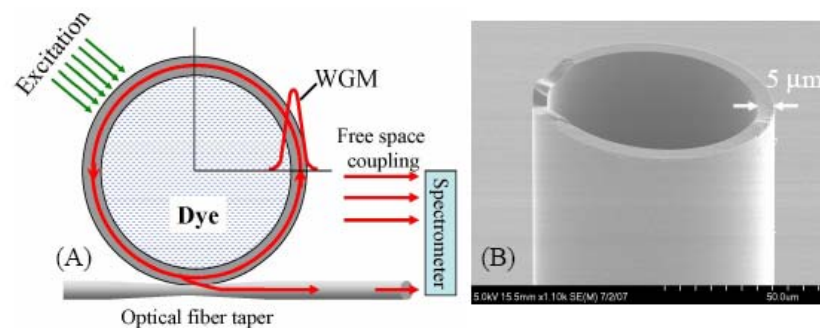
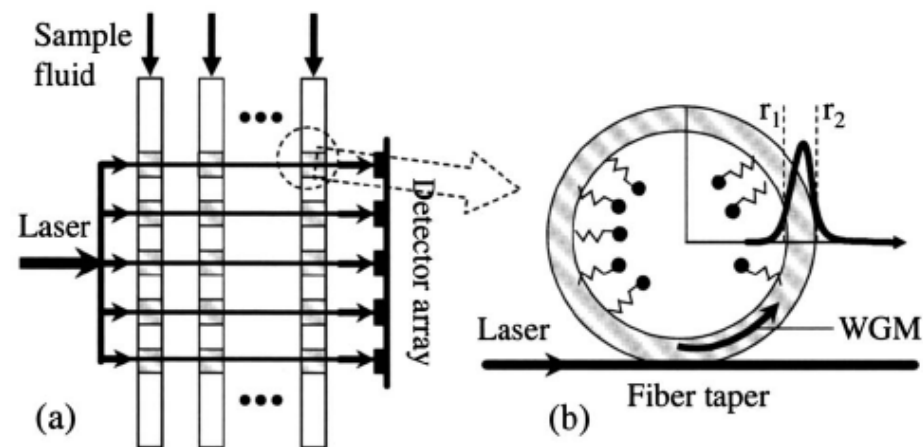
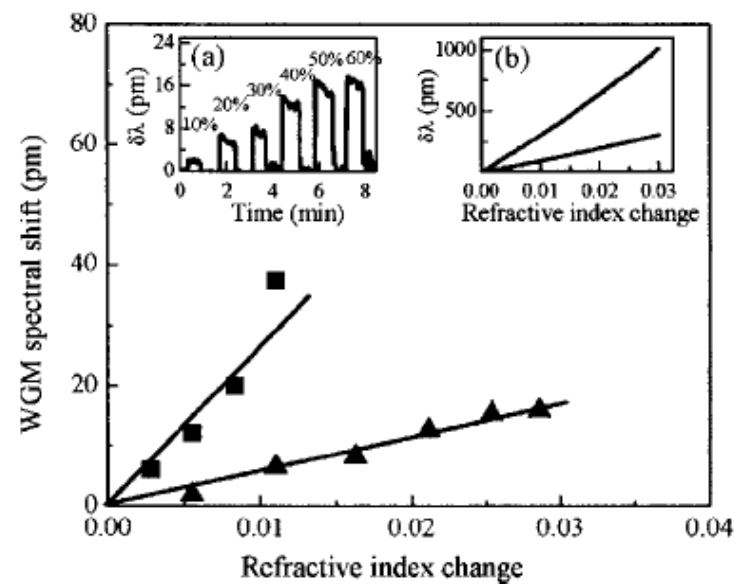


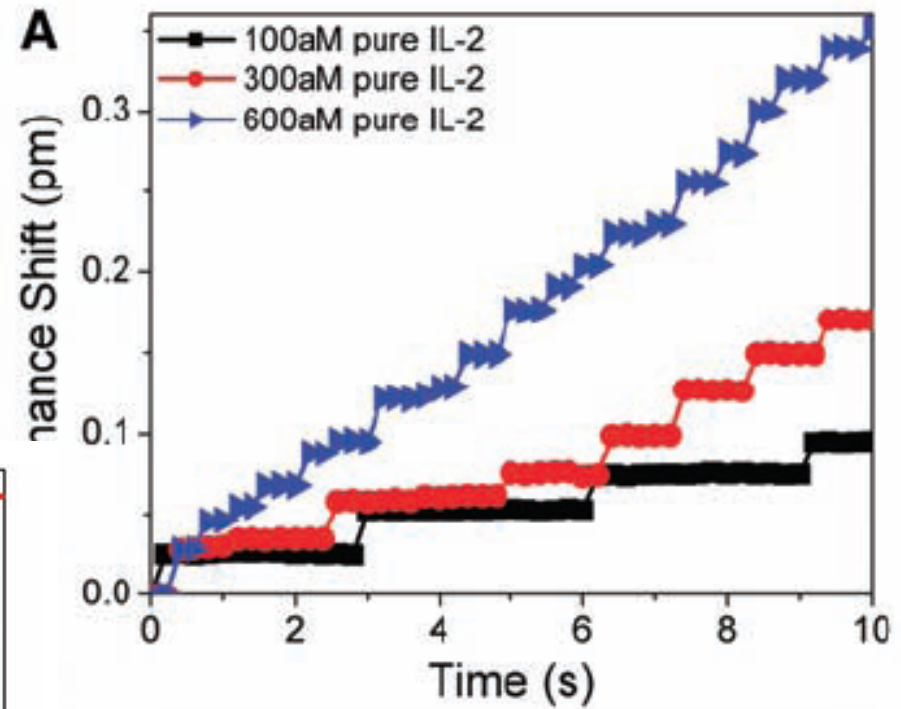
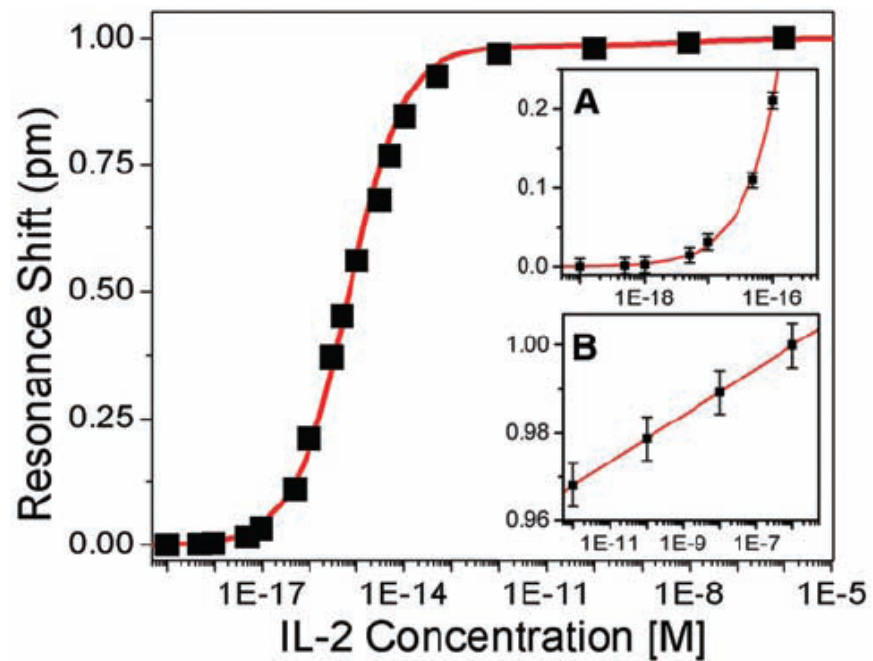
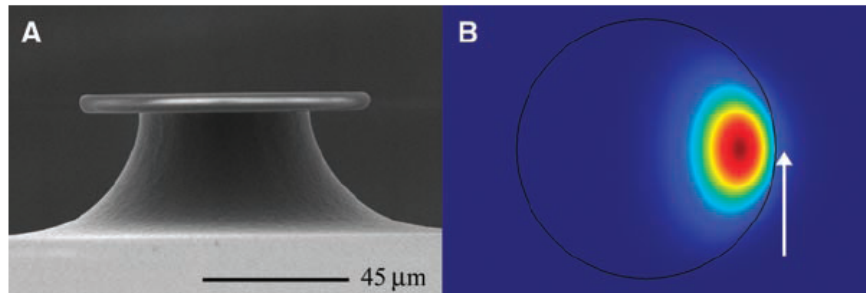
Fig. 1. (A) Concept of OFRR dye lasers. (B) SEM image of the OFRR. OD = 75  $\mu\text{m}$ .



Optics Express 15, 15523 (2007)

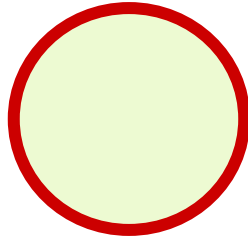
Optics Letters 31, 1319 (2006)

# Single molecule detection with ultra-high Q cavity



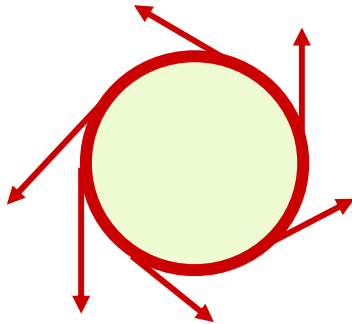
Science 317, 783 (2007)

# Directional emission



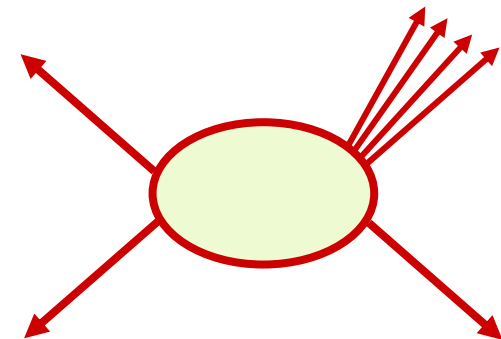
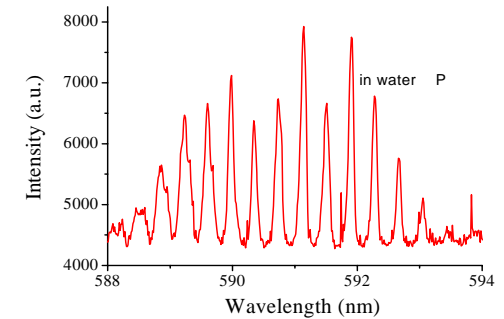
$$2\pi Rn = m\lambda$$

whispering gallery modes (WGM)



Stable WGM:  
Tunneling leakage  
Weak output  
poor directionality

Chaotic WGM:  
Refractive leakage  
Intense output possible





## Unidirectional lasing from a microcavity with a rounded isosceles triangle shape

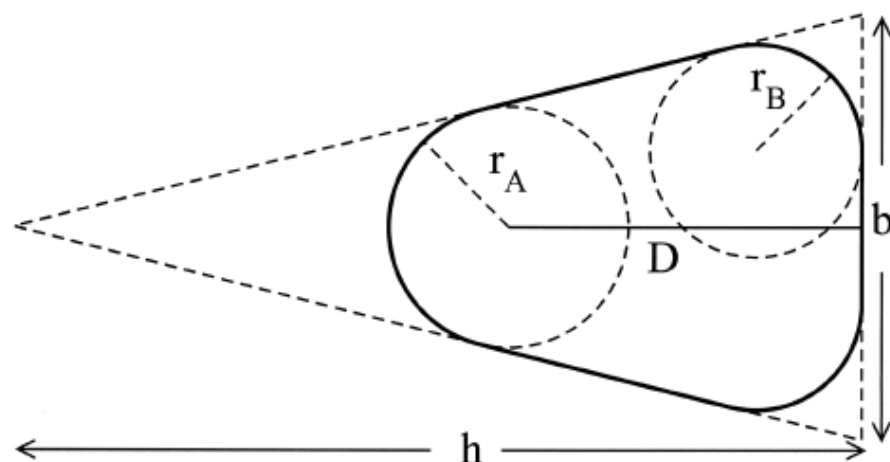
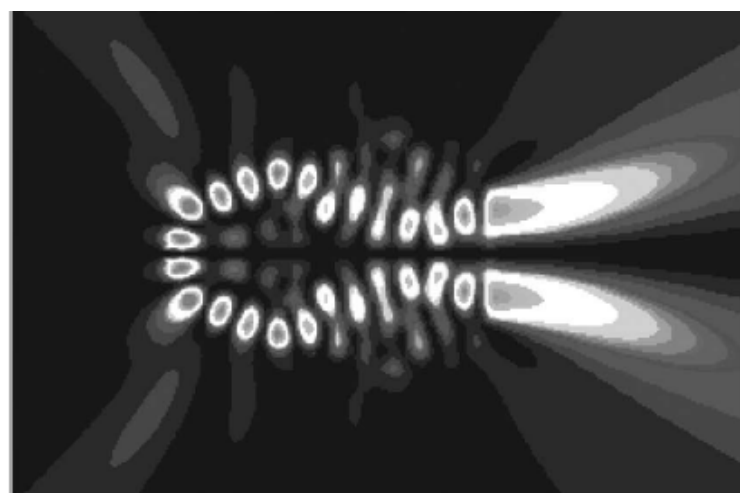
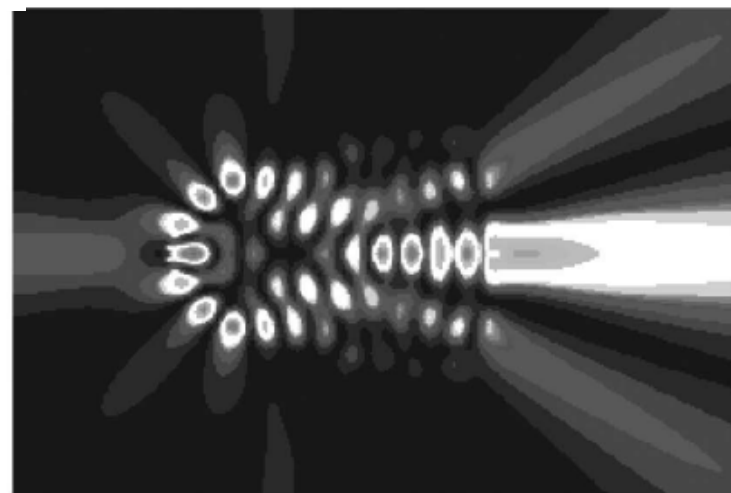


Fig. 1. Rounded-isosceles-triangle-shaped microcavity.



(a)



(b)

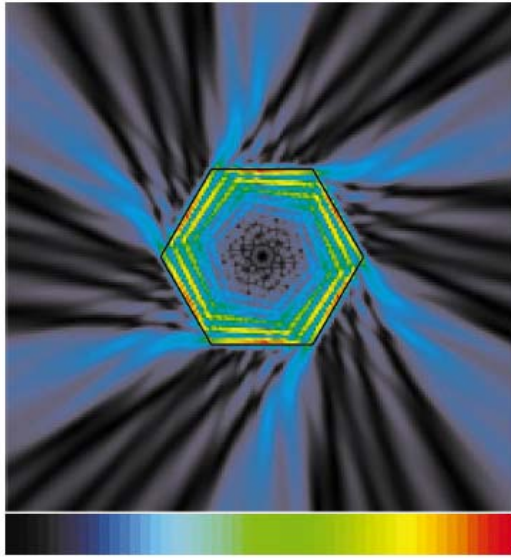


FIG. 8. (Color) Chiral resonance 50–.  $kR=42.6318 - i0.06766$ ,  $s=200$ ,  $2N=4000$ .

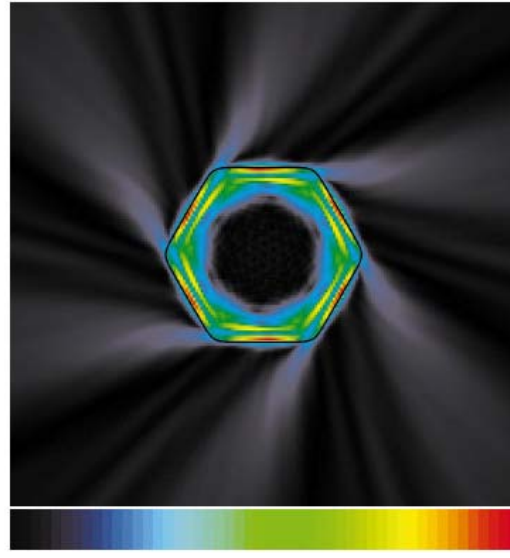
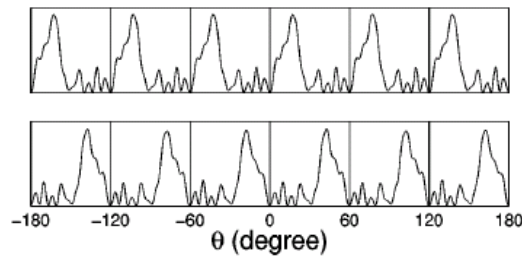
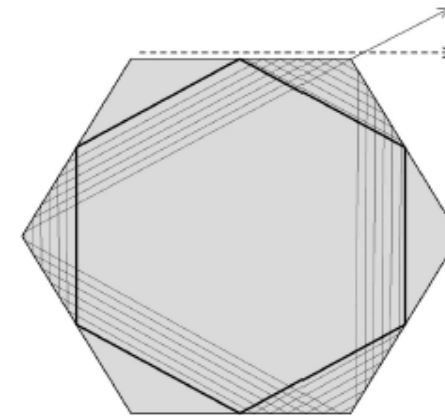
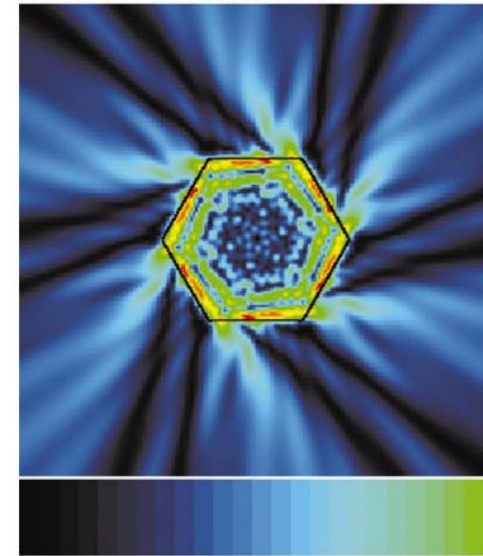
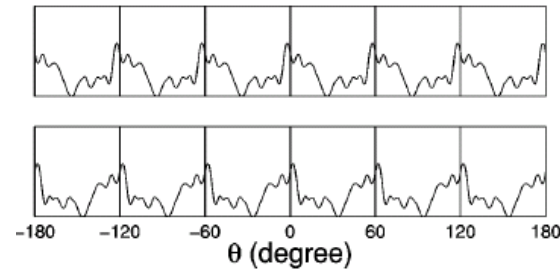
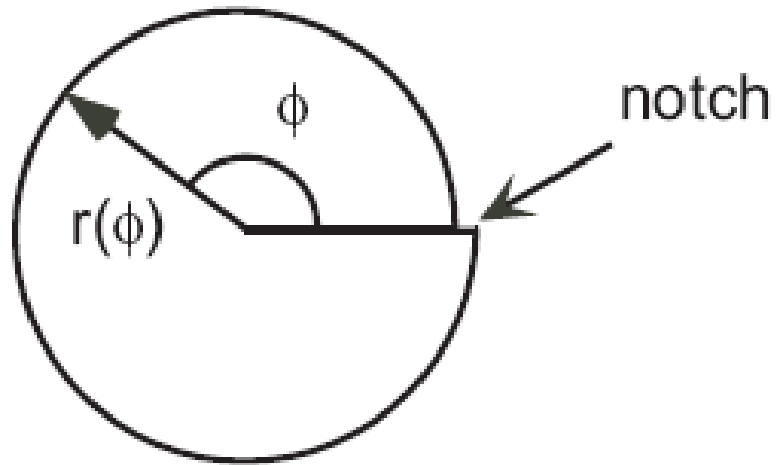


FIG. 10. (Color) Resonance 50– in a rounded hexagon with  $s=20$ , cf. Fig. 8.  $kR=42.7099 - i0.01836$ ,  $2N=4000$ .

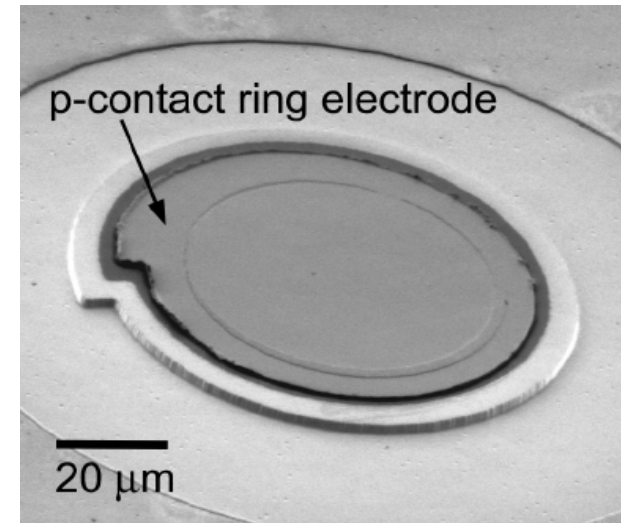
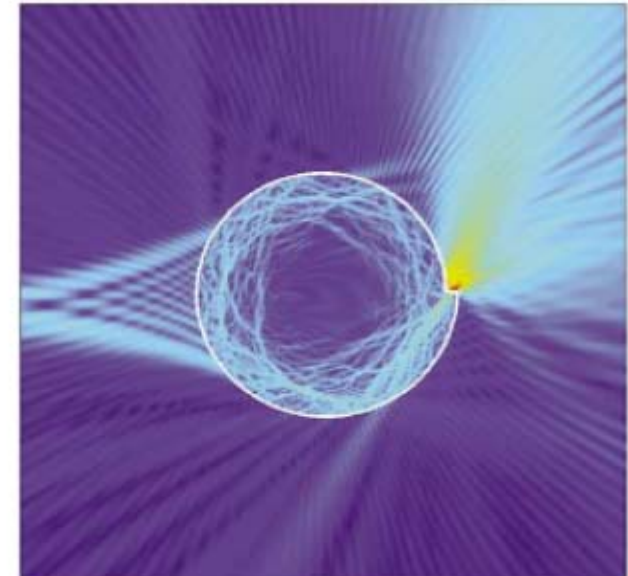


# Spiral-shaped cavity



$$r(\phi) = r_o(1 + \epsilon\phi/2\pi)$$

(a)

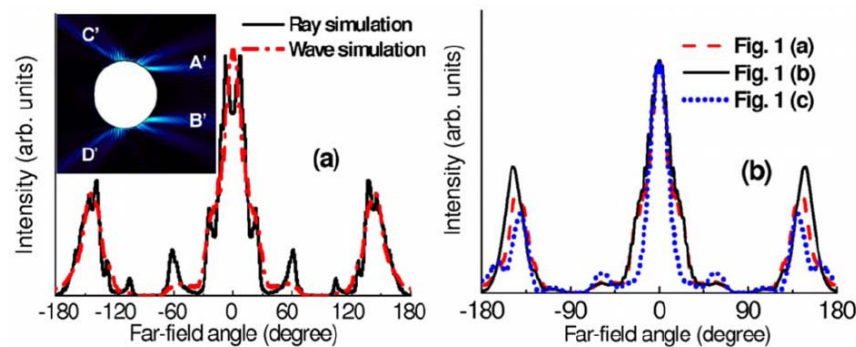
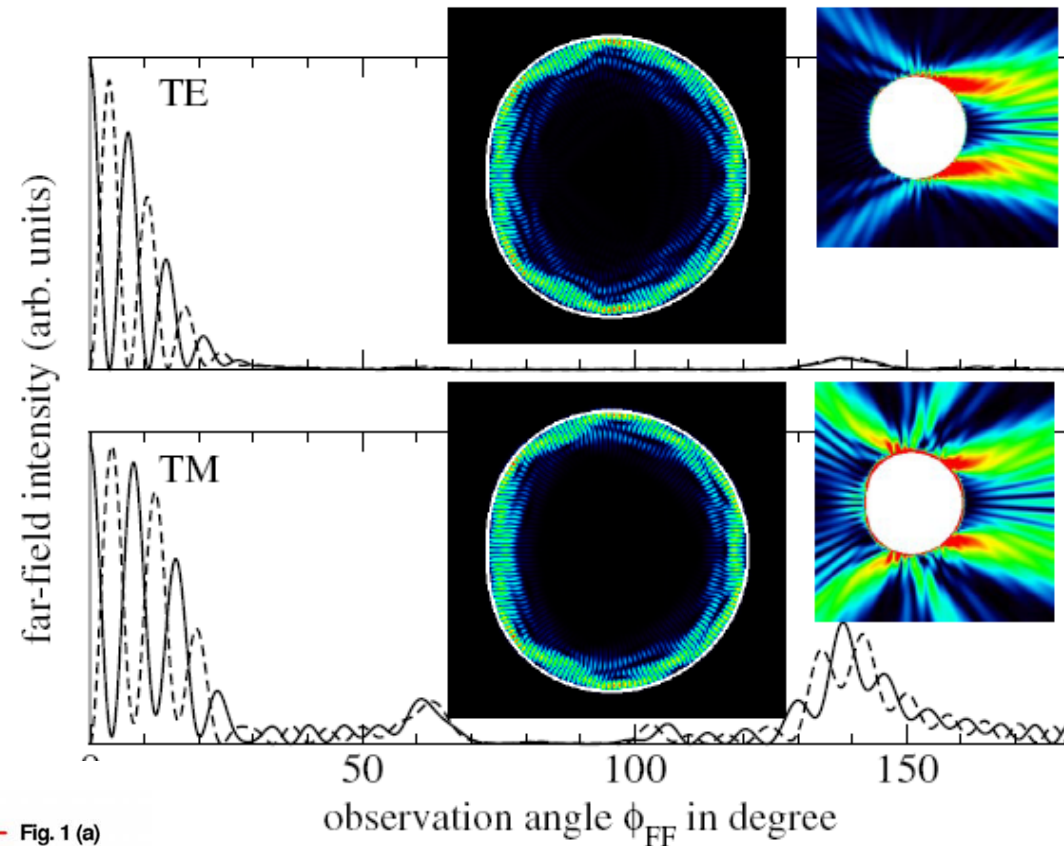


Appl.Phys.Lett. 84(14) 2004

# Combining high Q and directional emission

$$R(\varphi) = R_0(1 + \varepsilon \cos(\varphi))$$

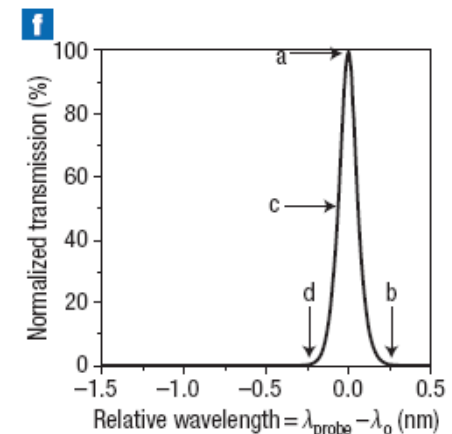
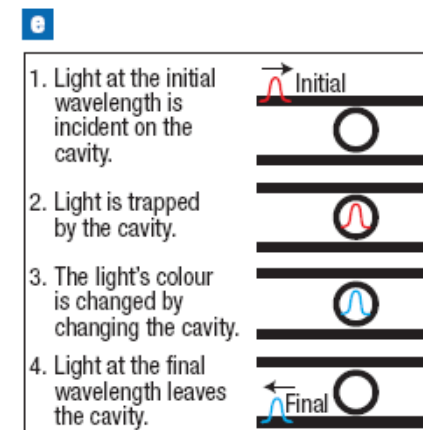
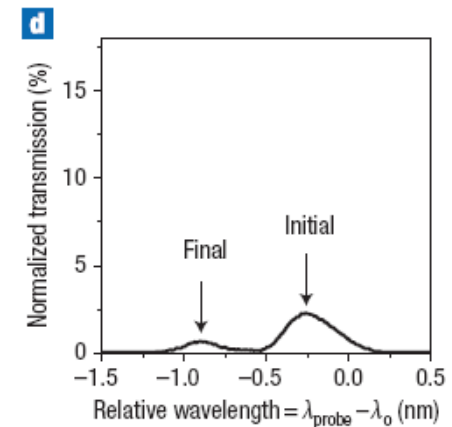
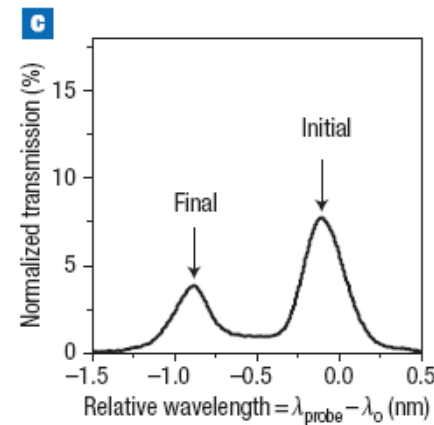
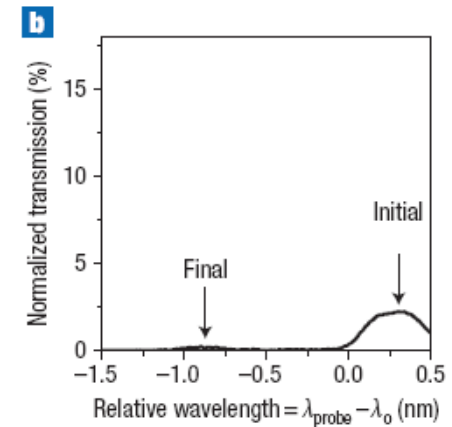
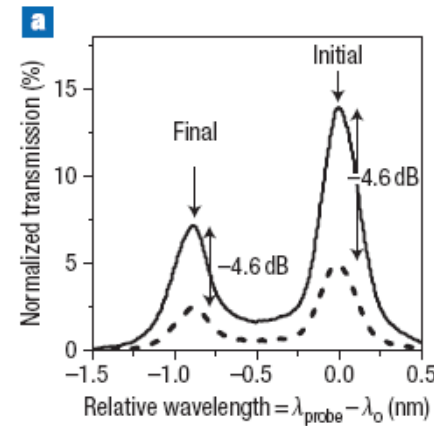
**Limacon type cavity**



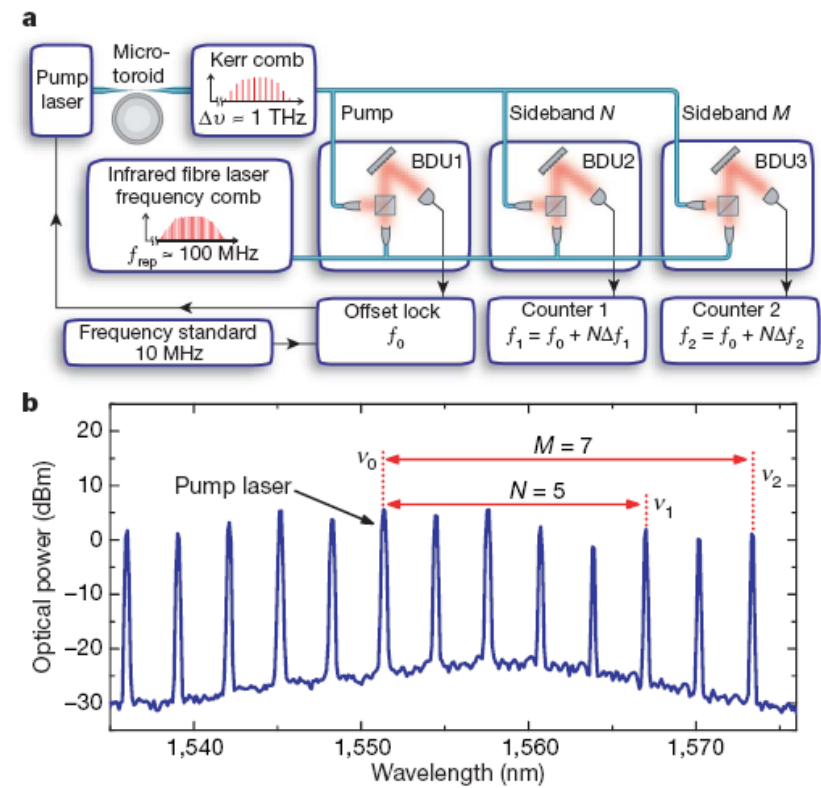
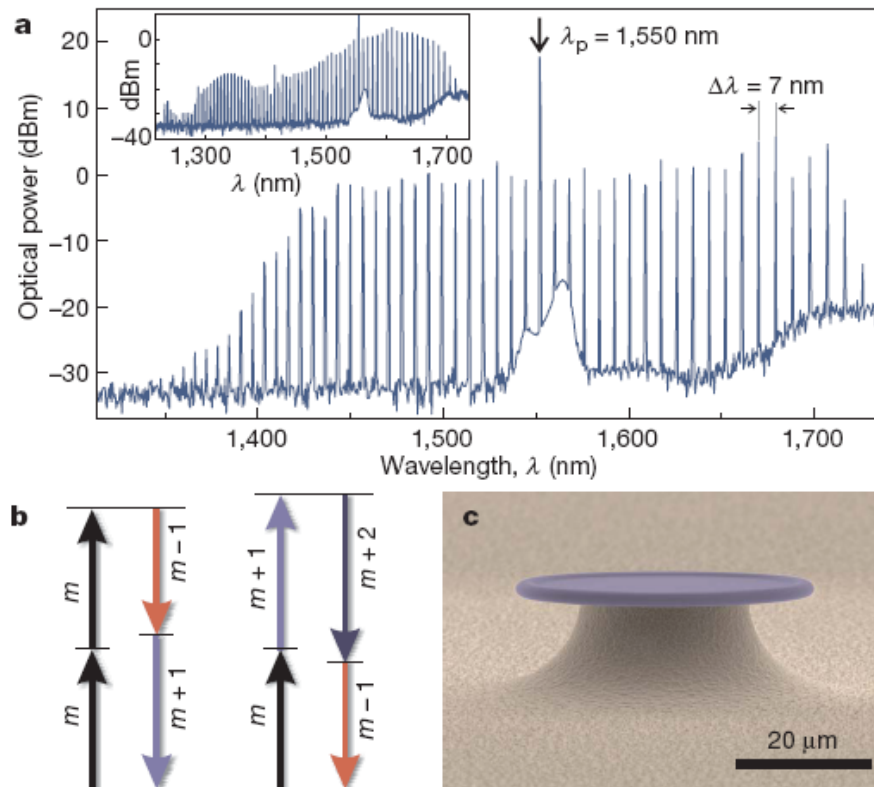
**Physical Review Letters 100, 033901 (2008)**  
**Applied Physics Letters 94, 251101 (2009)**

# Wavelength conversion by changing the optical length of a cavity

Requirement for microcavity:  
High Q to allow long photon lifetime in the cavity



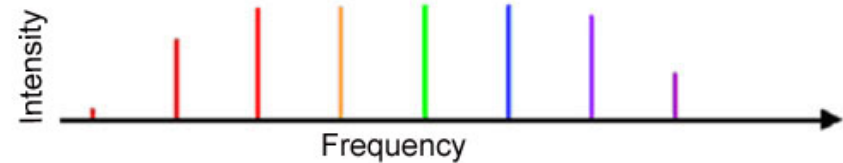
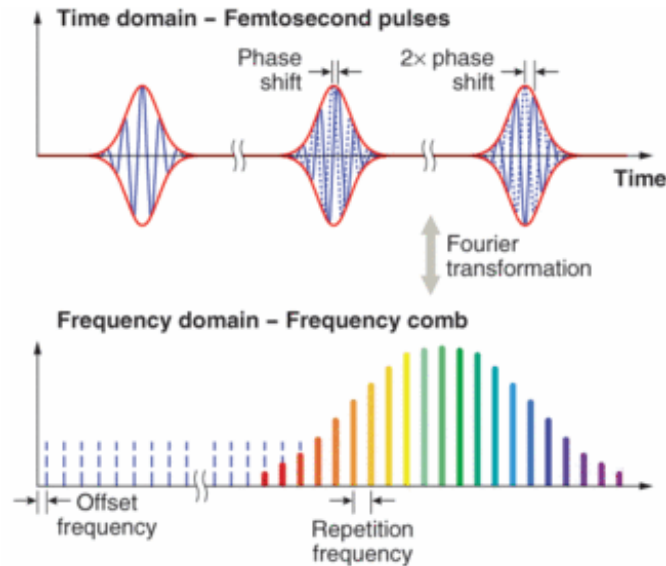
# Optical frequency comb generation



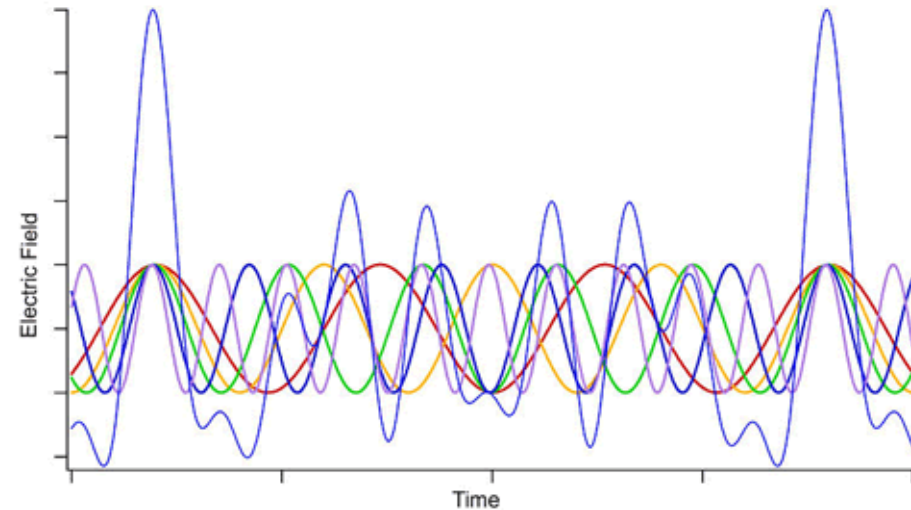
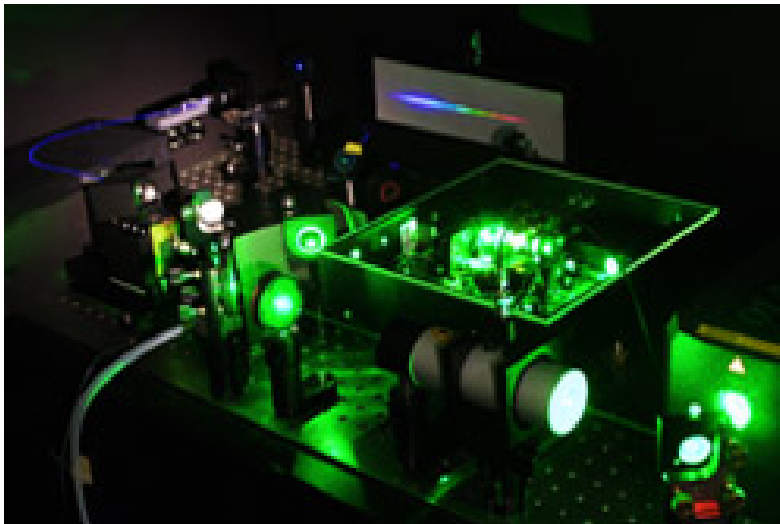
Nature Photonics 450, 1214 (2007)



# Frequency comb: 频率梳 Nobel prize 2007 bring together ultrafast and ultra-precision

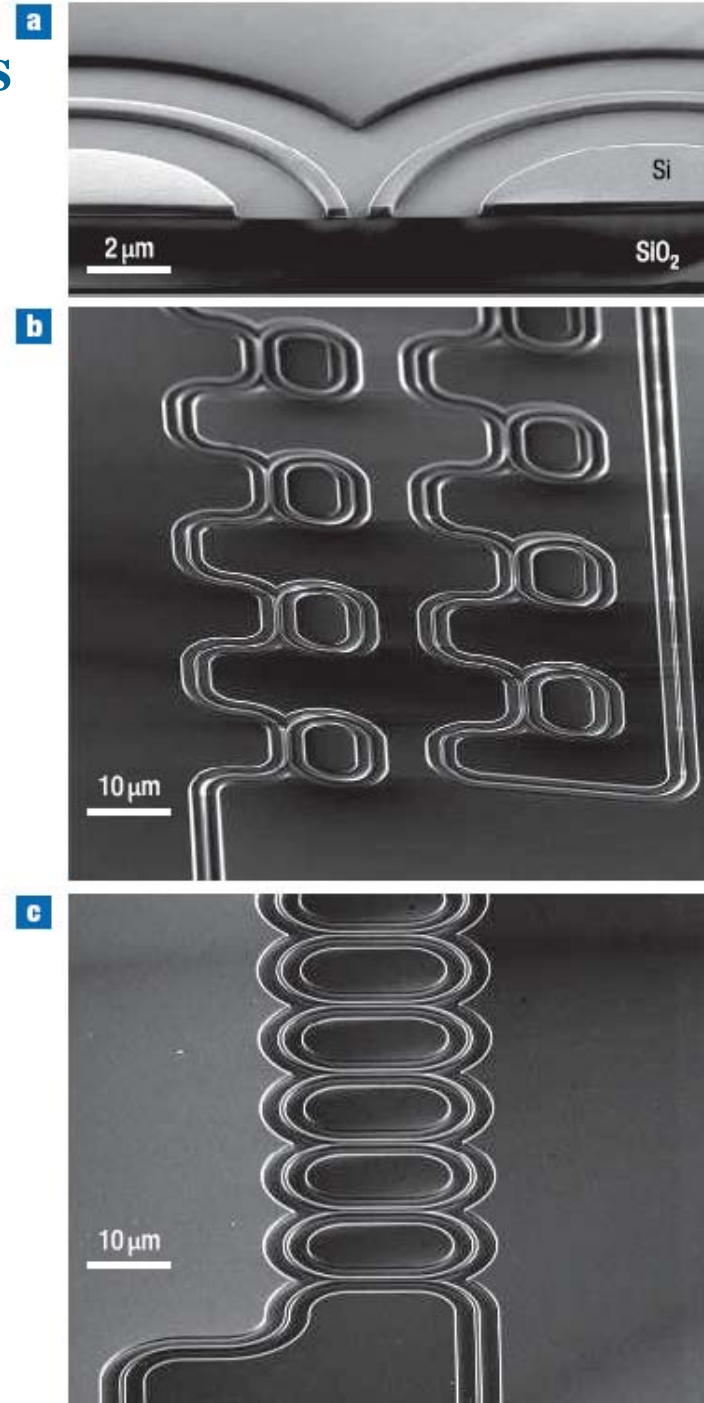
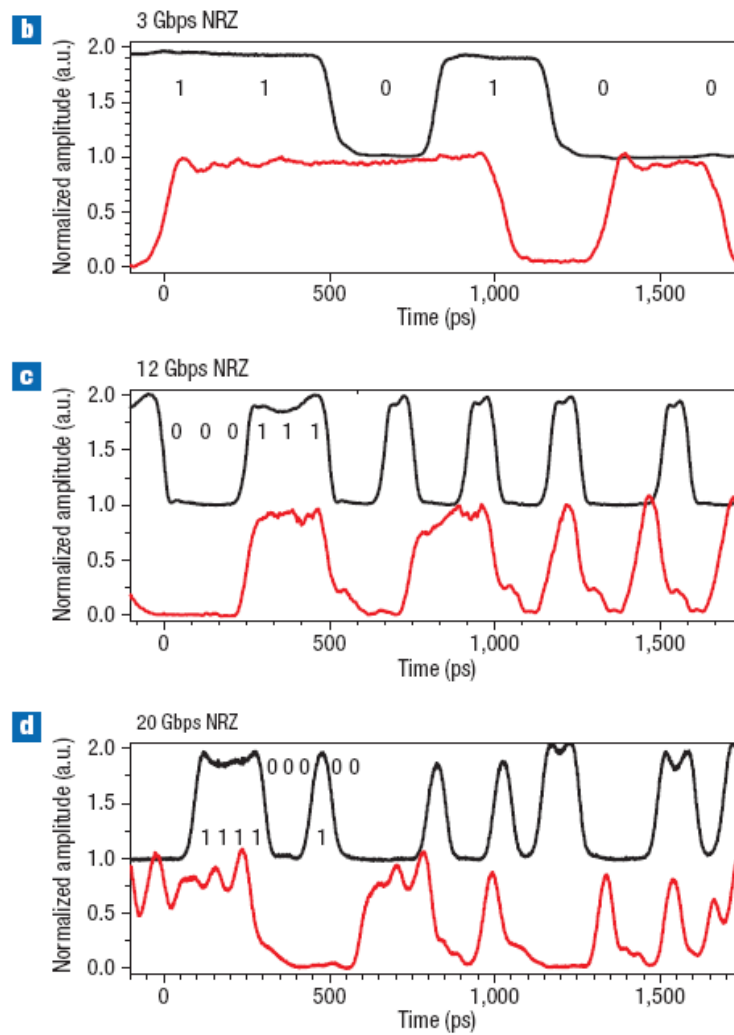


$$f = mf_0 + f_{\text{offset}}$$



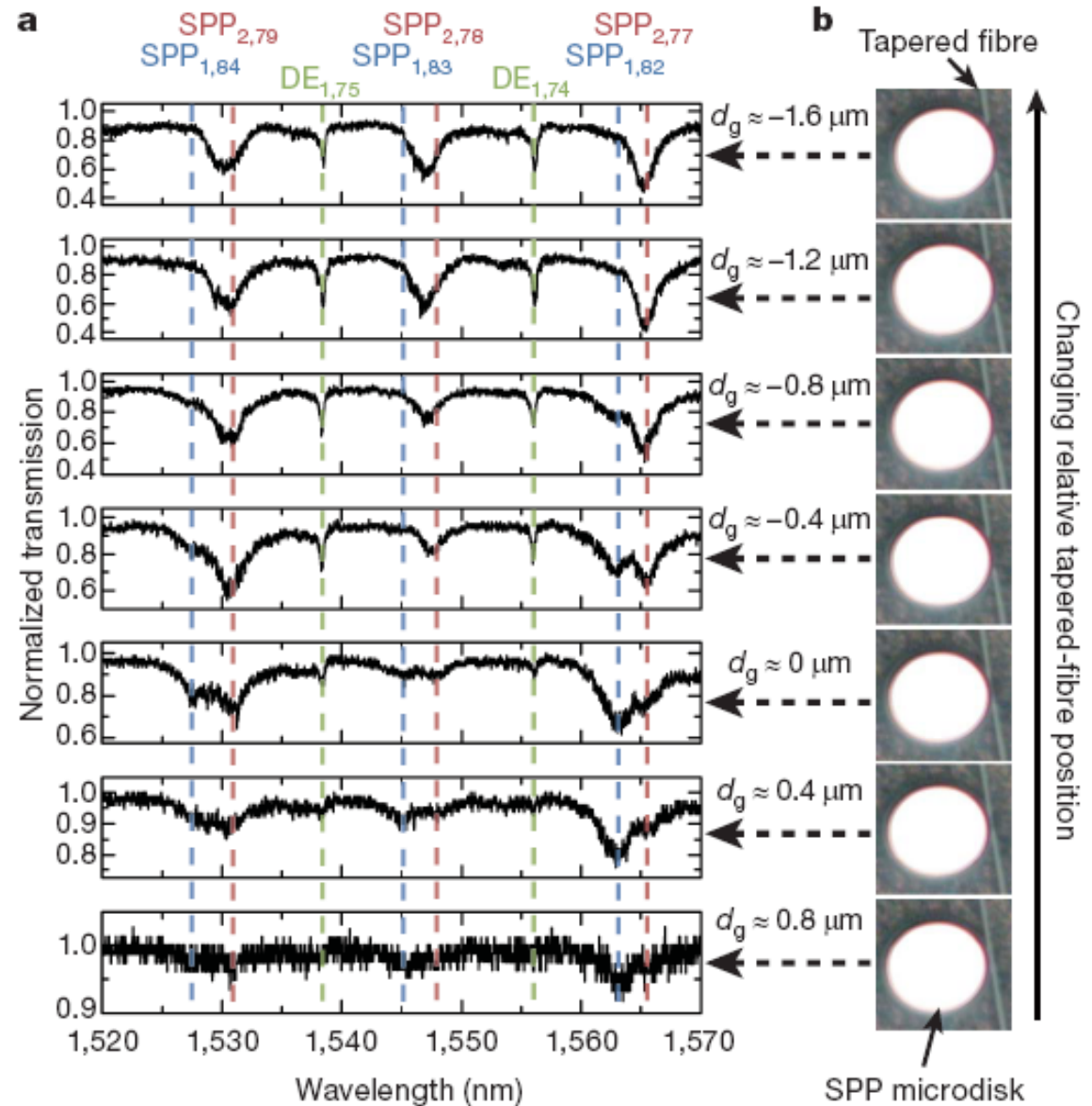
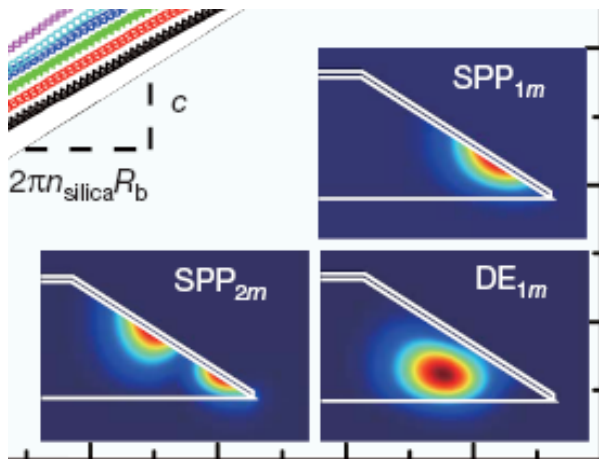
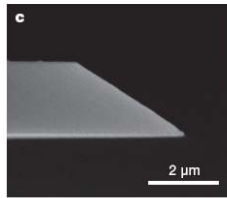
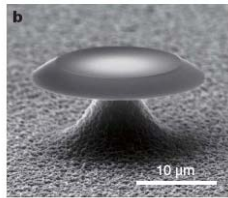
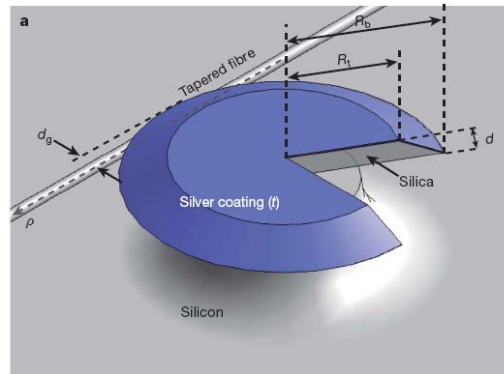


# Optical buffer with coupled microcavities



Nature Photonics 1, 65 (2007)

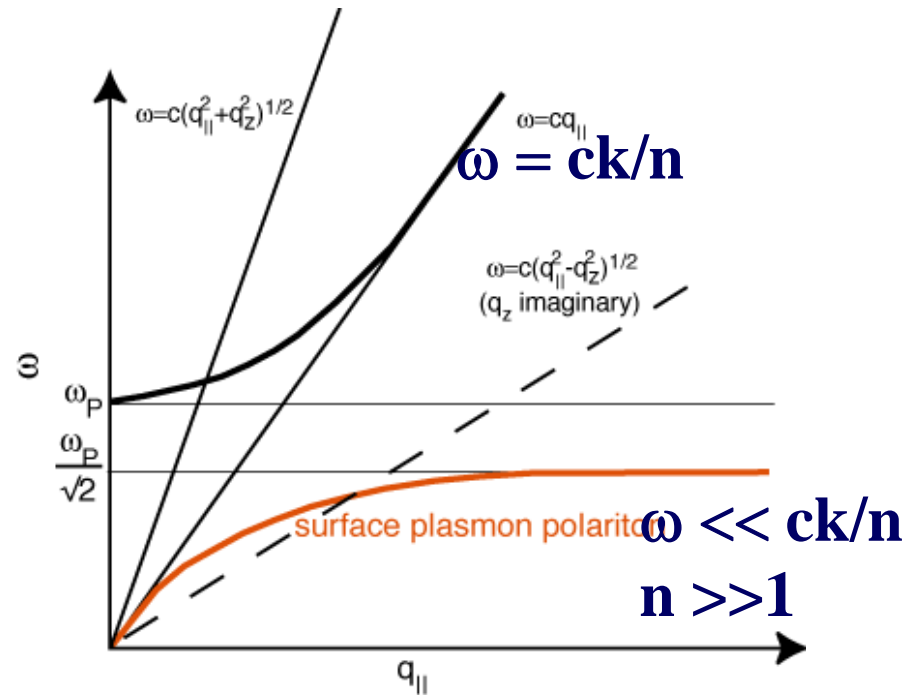
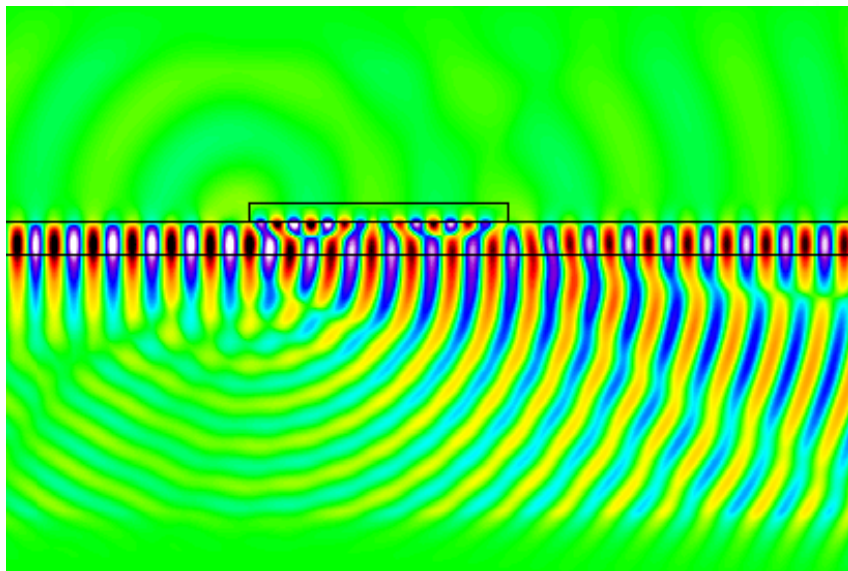
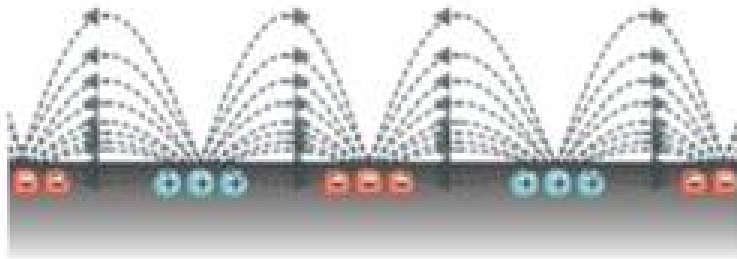
# High Q surface plasmon polariton whispering gallery modes



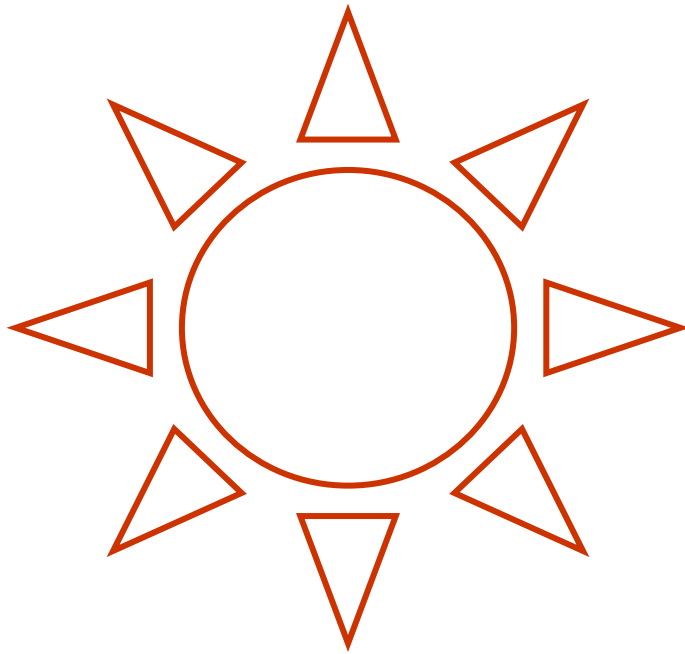
Nature 457, 455 (2009)

# Surface plasmon polariton

## 表面等离子极化子



# Opto-mechanics



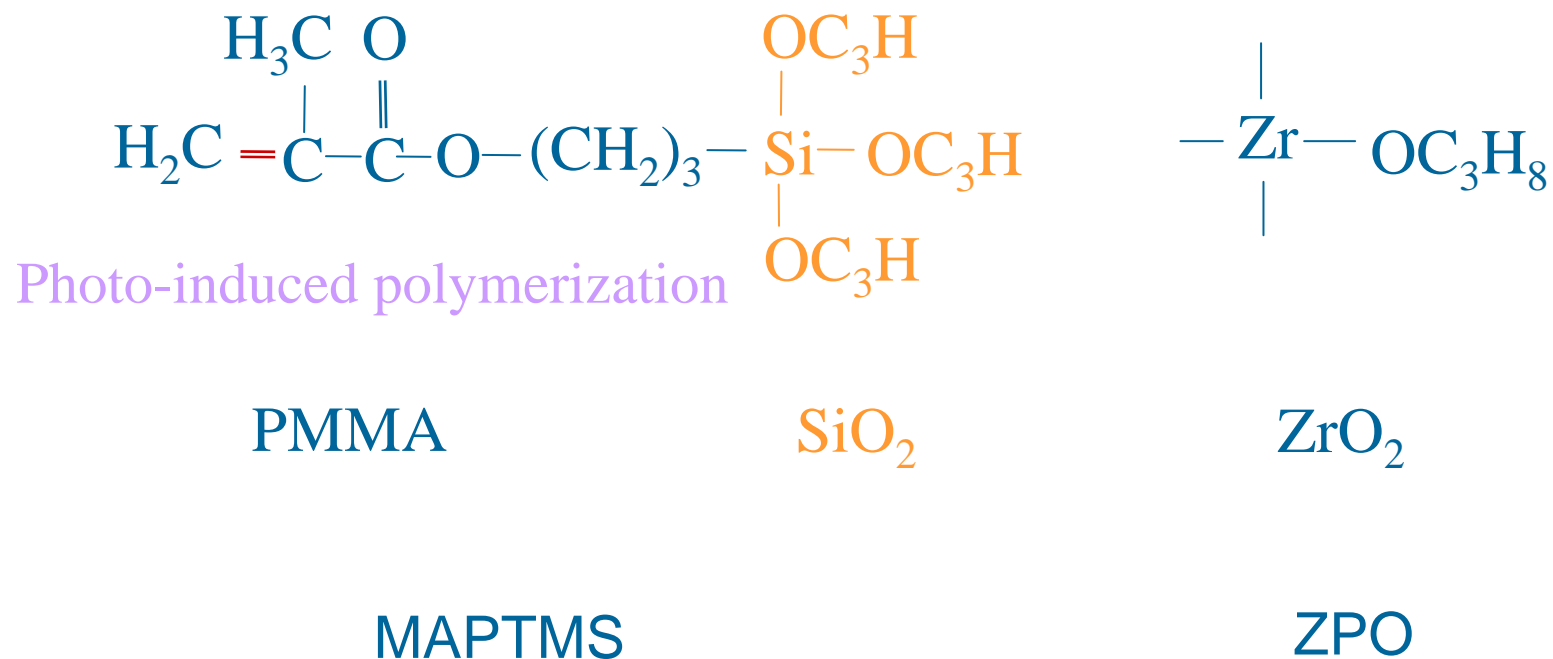
**Photo-energy/  
Mechanical energy  
conversion**

**Cool the microcavity to  
 $\mu\text{K}$**

**(ground state of  
mechanical vibration)**

Our works

## Our approach: Organic-inorganic Materials



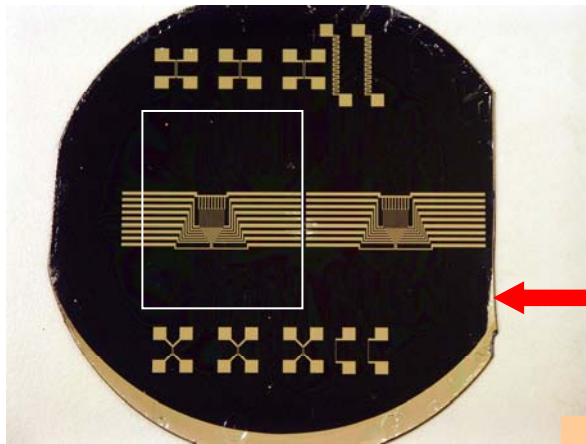
Easy to prepare thin films of excellent optical quality

Easy control of refractive index

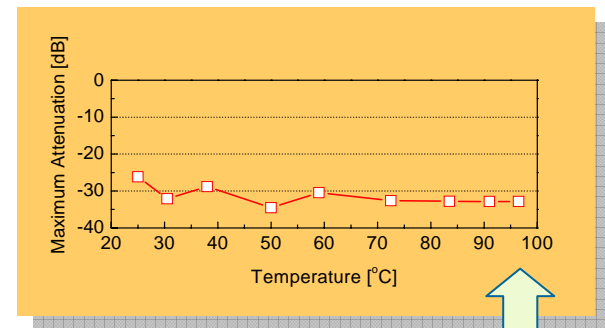
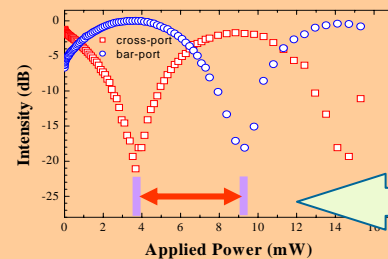
Versatile doping to obtain photonic materials (active, nonlinear optical, ...)

# Integrated optical devices based on patternable organic/inorganic hybrid materials

采用可光学加工的复合材料，获得光学功能突出,兼备有机和无机材料优异性能的集成光子器件

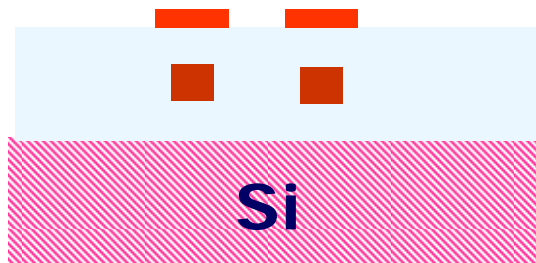


3英寸硅片上的  
集成光子器件



Thermal stability > 100 °C

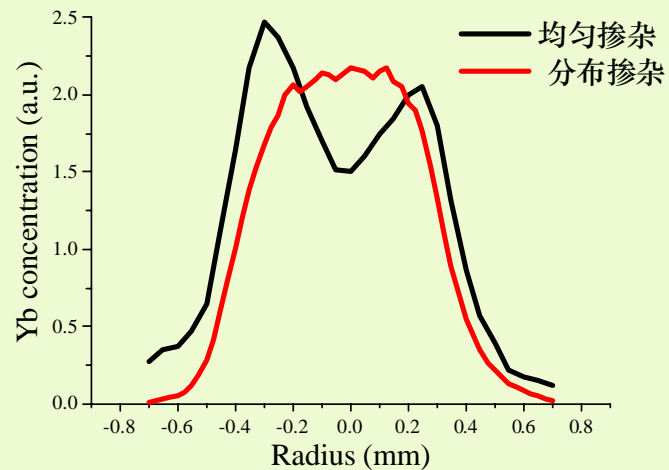
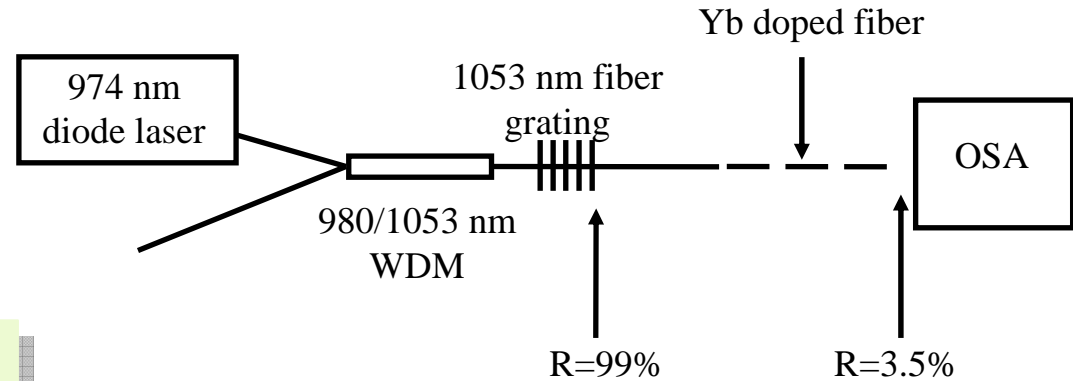
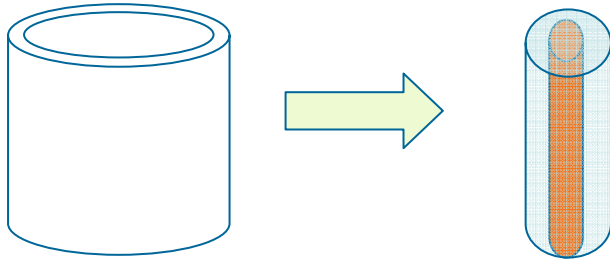
Switching power 5mW



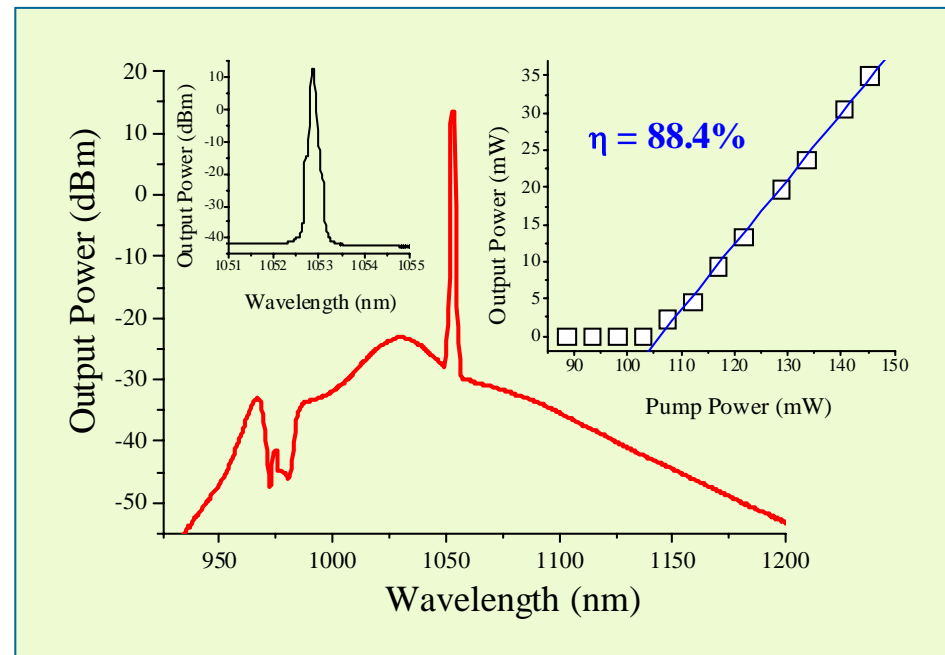
Optics Express 14, 6029 (2006)  
Optics Express 16, 3172, (2008)  
Optics Express 16, 9844, (2008)  
J. Appl. Phys. 94, 4228 (2003)  
Invited talk: OECC, APOC



# Heavy Yb doping optical fiber and fiber laser

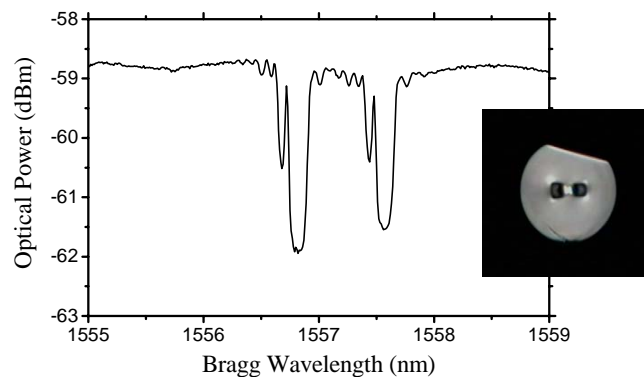
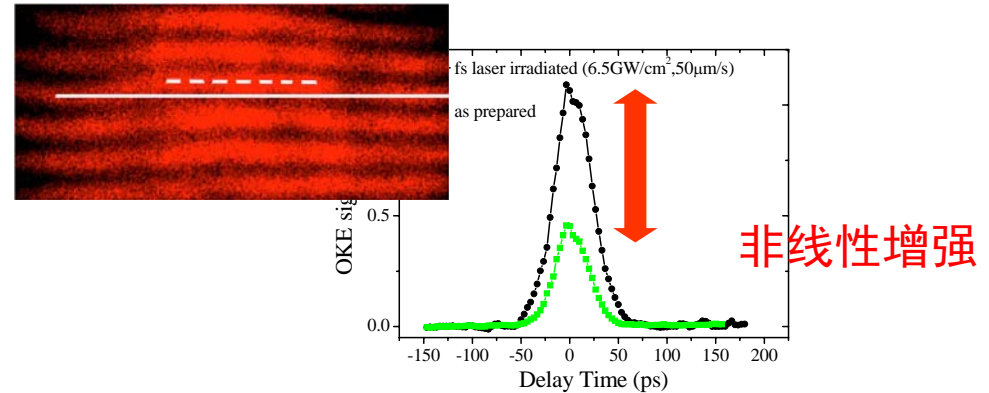


分布掺杂的溶胶-凝胶法制备重掺杂Yb光纤预制棒, Yb浓度均匀分布, 保证拉制的光纤高质量。





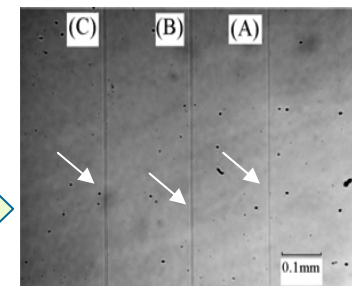
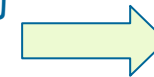
# Materials modification by laser light irradiation-To generate novel or enhanced optical functions



双折射产生两个谐振峰，可用一根光纤同时传感温度和应力，可用于高灵敏度传感

飞秒激光辐照使硫系玻璃的三阶光学非线性系数增强50%，可用与波导光开关，缩短器件尺寸

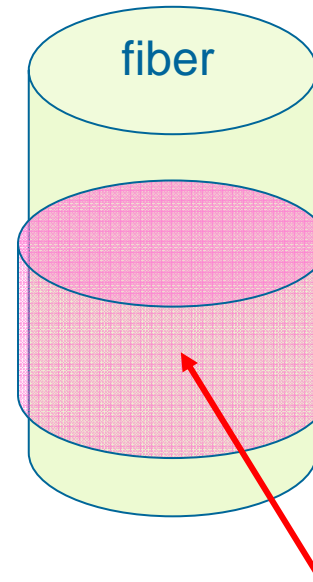
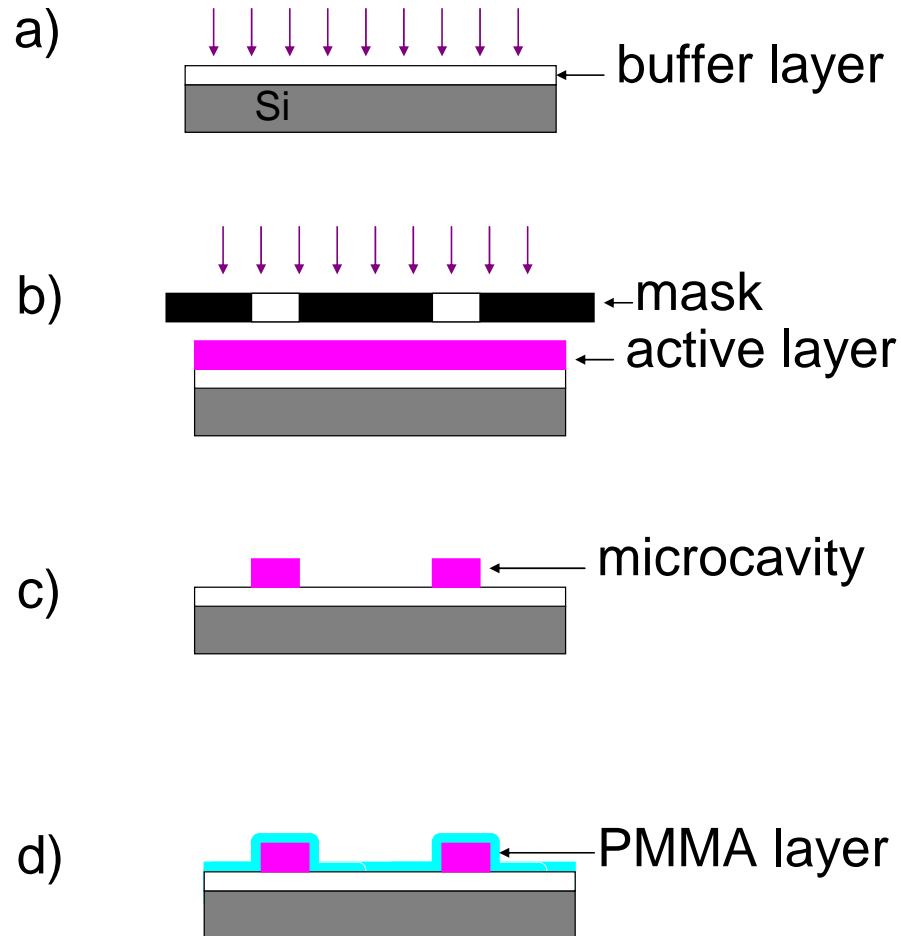
飞秒激光直写的波导光放大器



Optics Letters 2009  
Chemical Physics 2009  
J.Chem.Phys. 2008  
Appl.Phys.Lett., 2007

# **Directional Lasing From Extremely Deformed Micro-cavity**

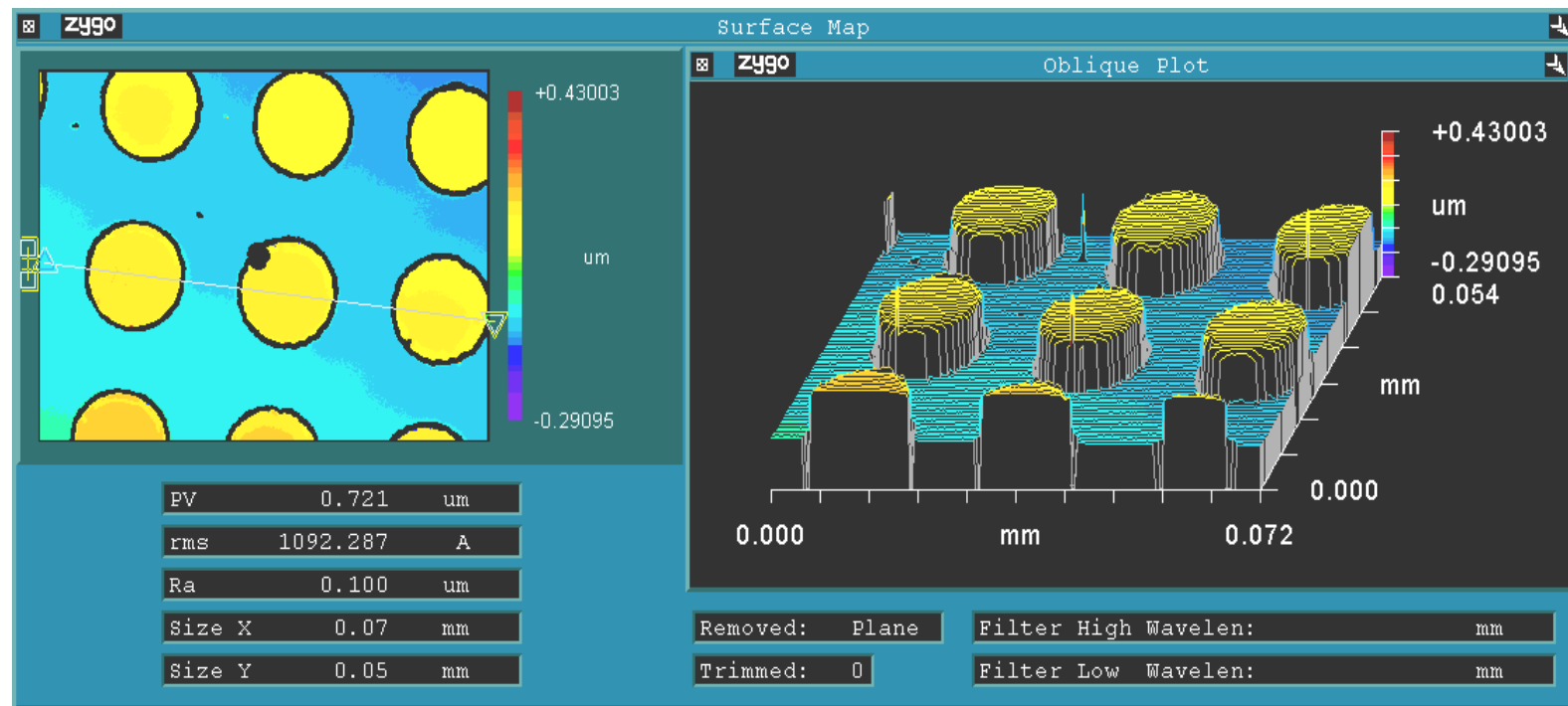
# Fabrication Process



**RhB doped organic/inorganic  
hybrid coatings**

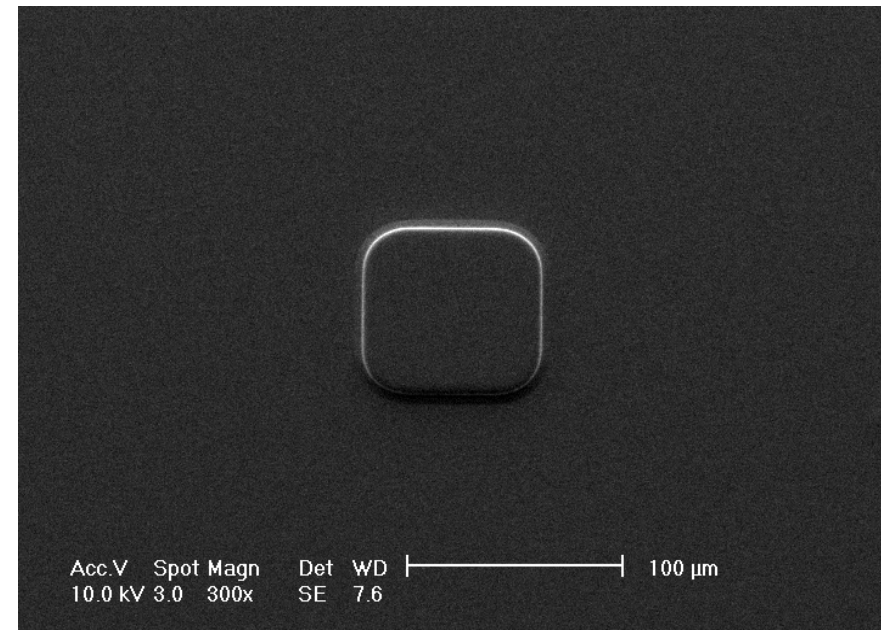
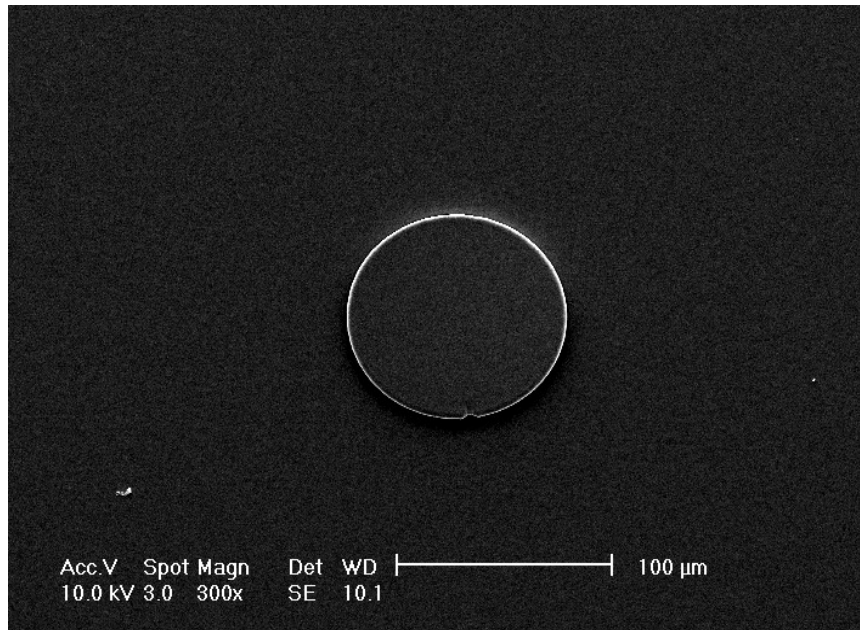


**RhB doped photo-patternable organic/inorganic material**

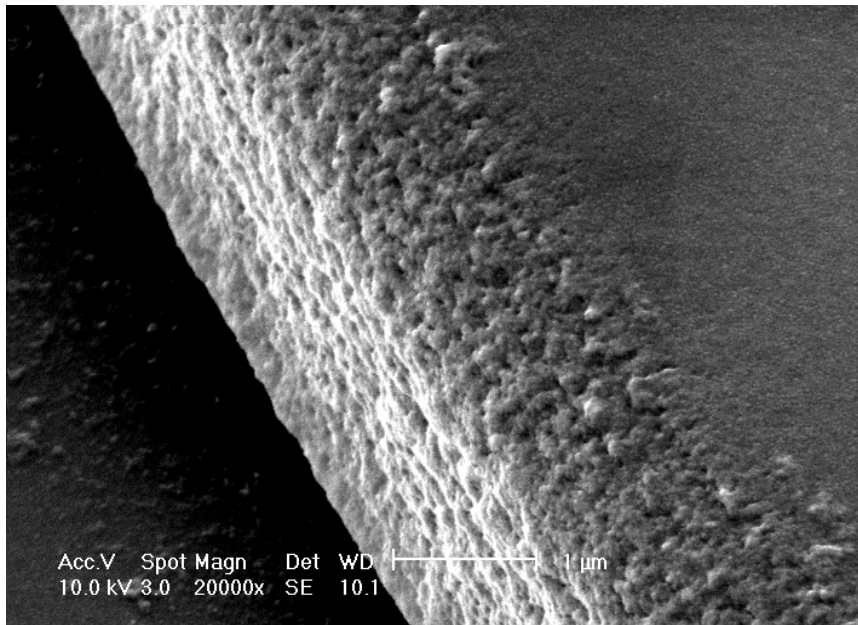


Direct UV patterning using organic/inorganic hybrid materials

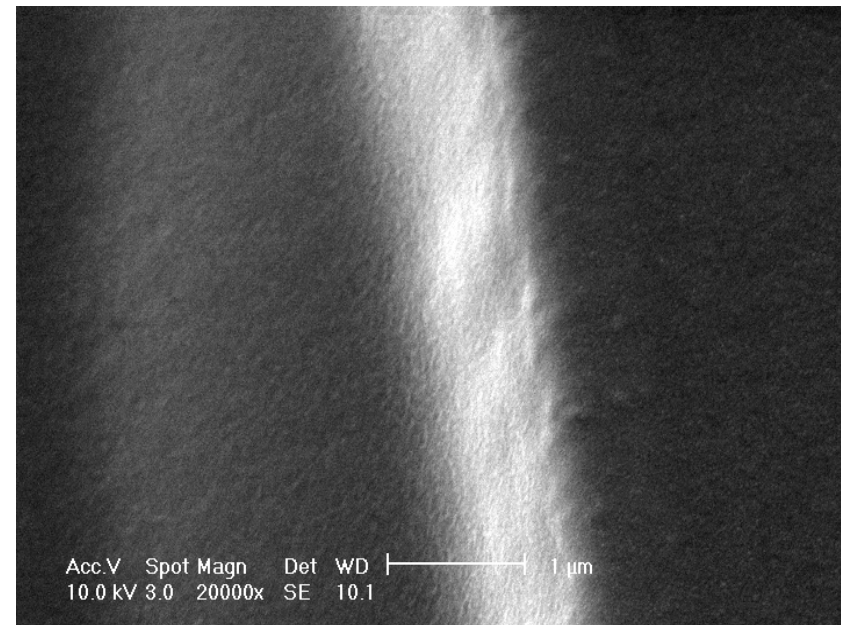
## Circular Disks and Square Disks



# Improvement of Boundary Roughness after PMMA Coating

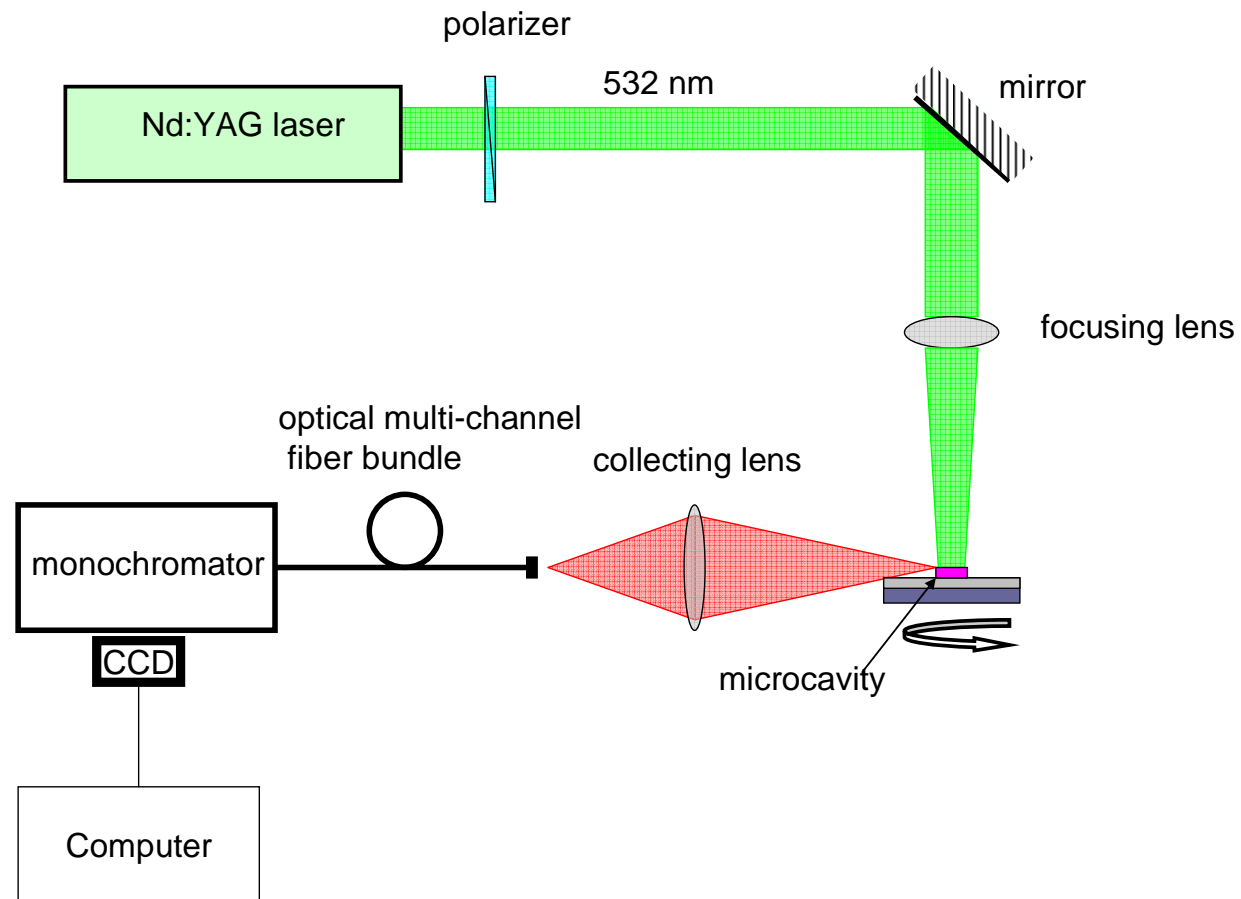


Bare disk



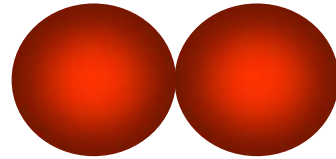
Cladded disk

# Experimental Setup

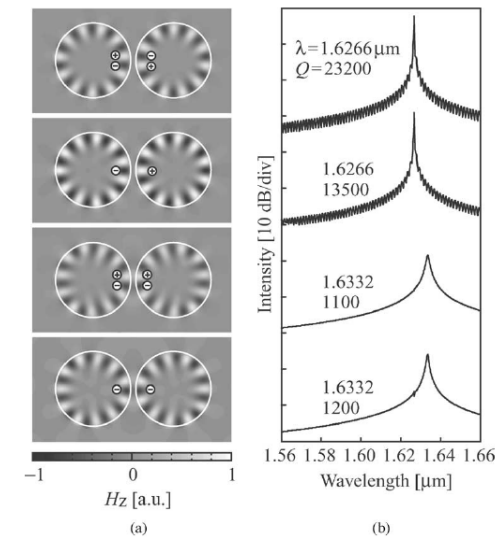
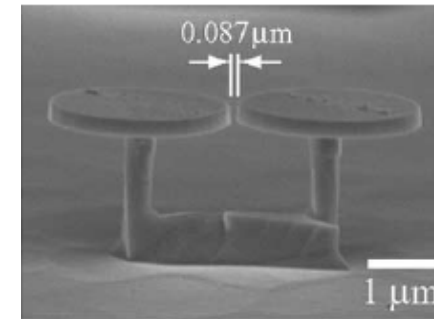
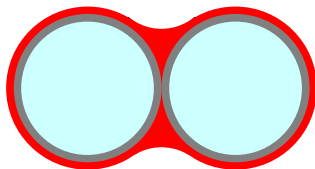
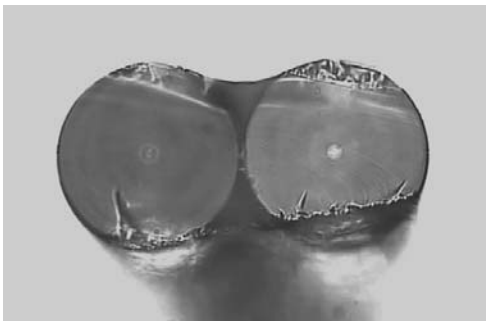




# 耦合微腔 coupled microcavities

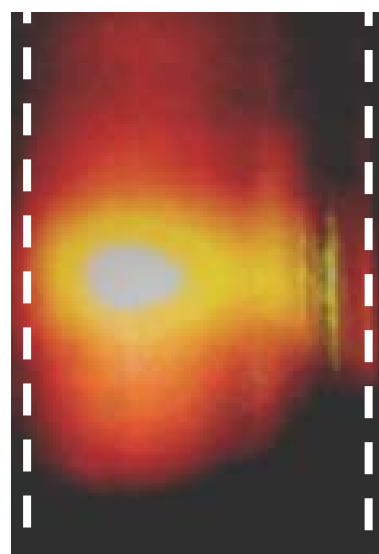
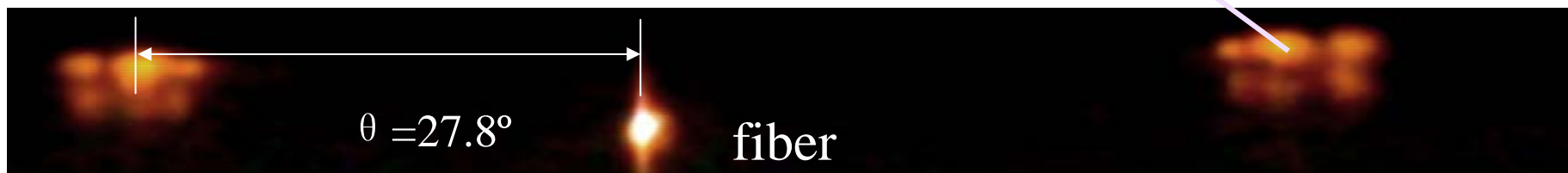
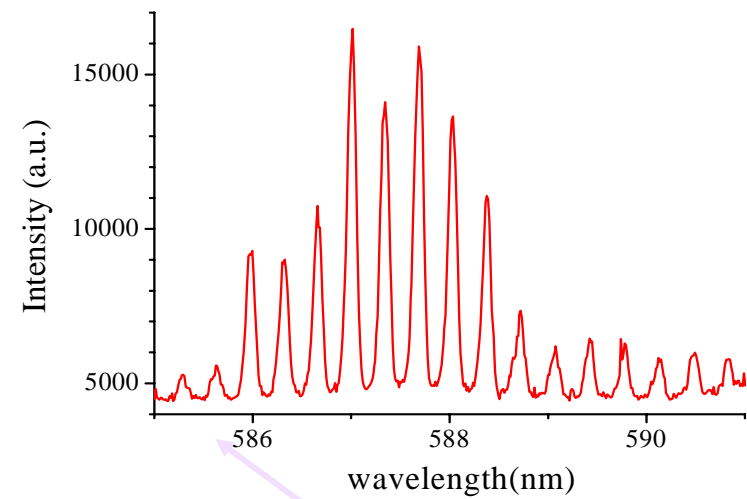


耦合微腔可以产生新颖的光学现象  
photonic molecule (PM)  
asymmetric-photonic molecule (AM)

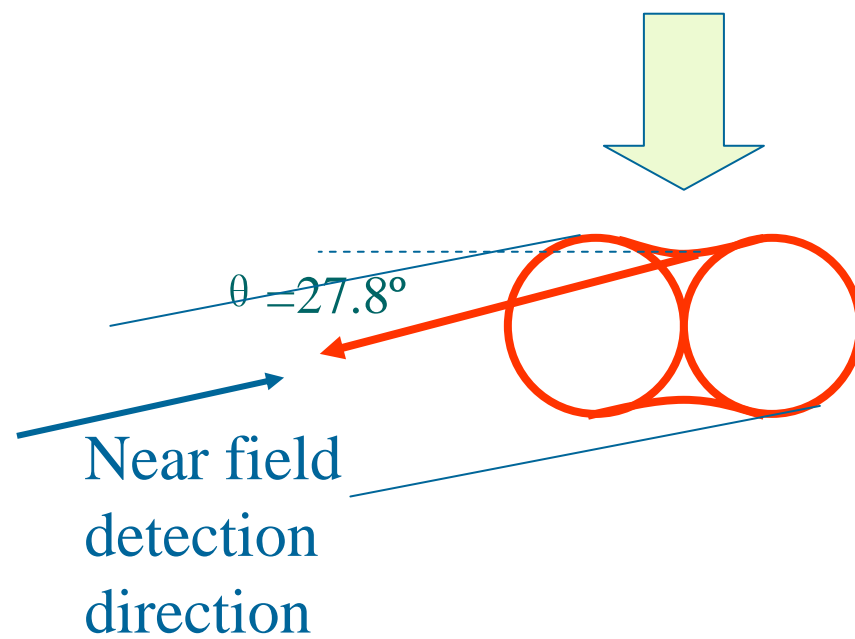


IEEE JSTQE 12, 71 (2006)

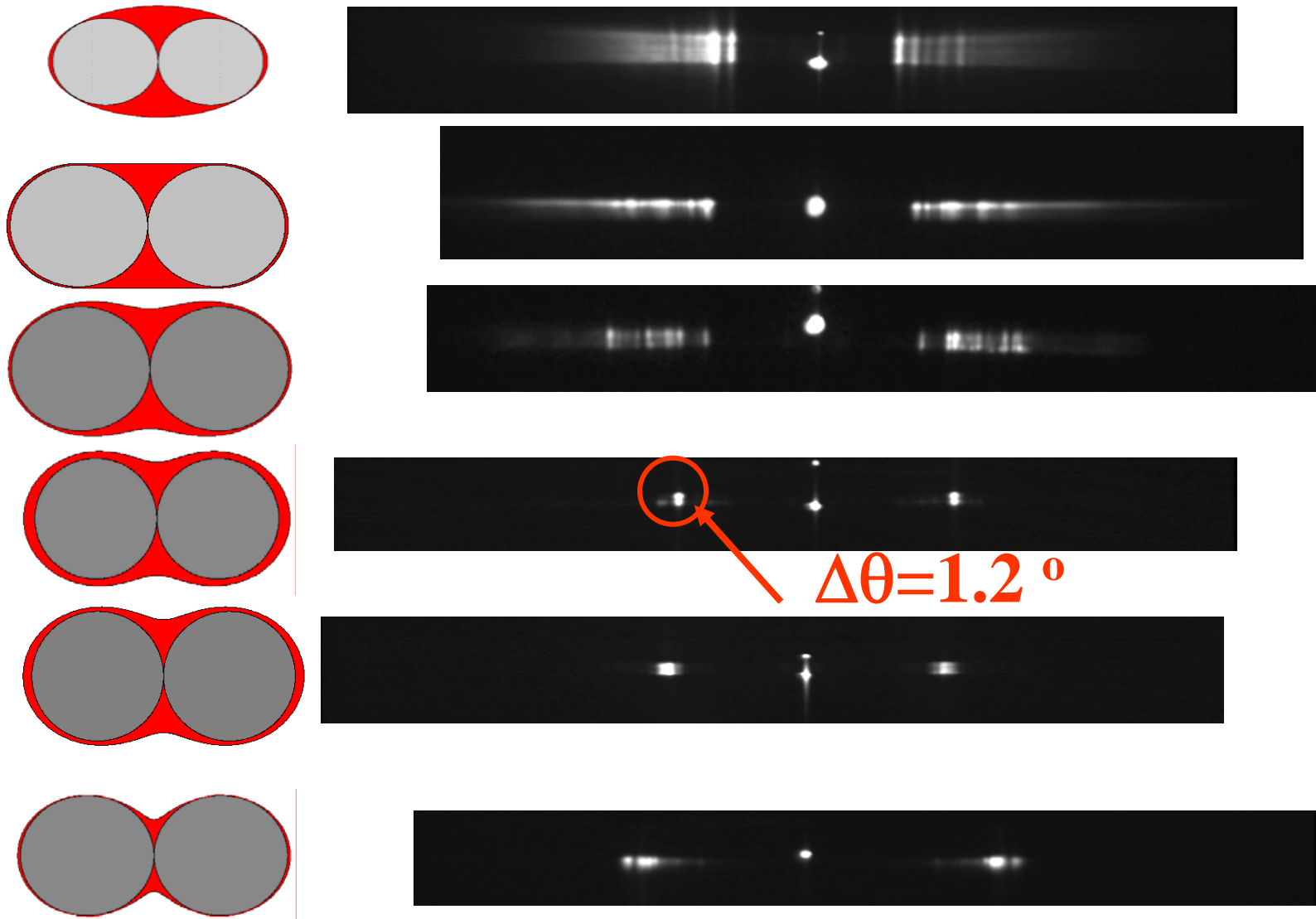




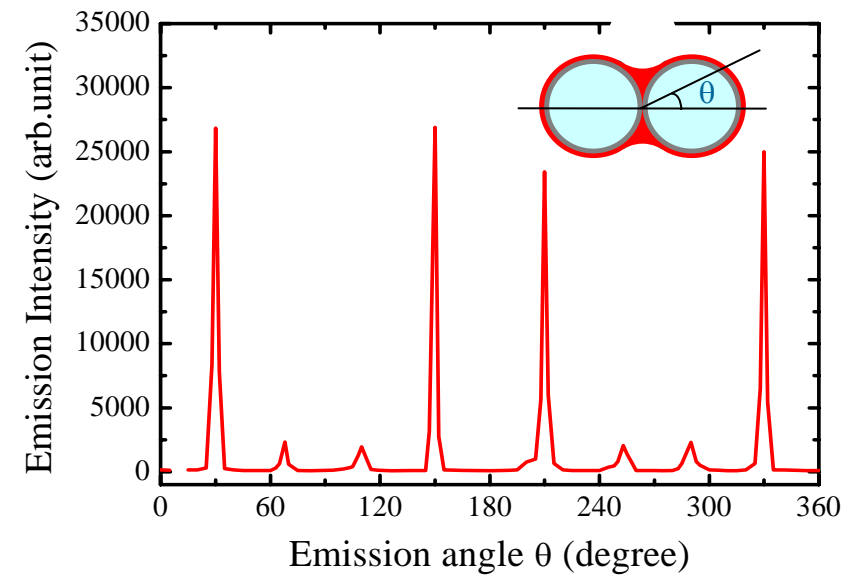
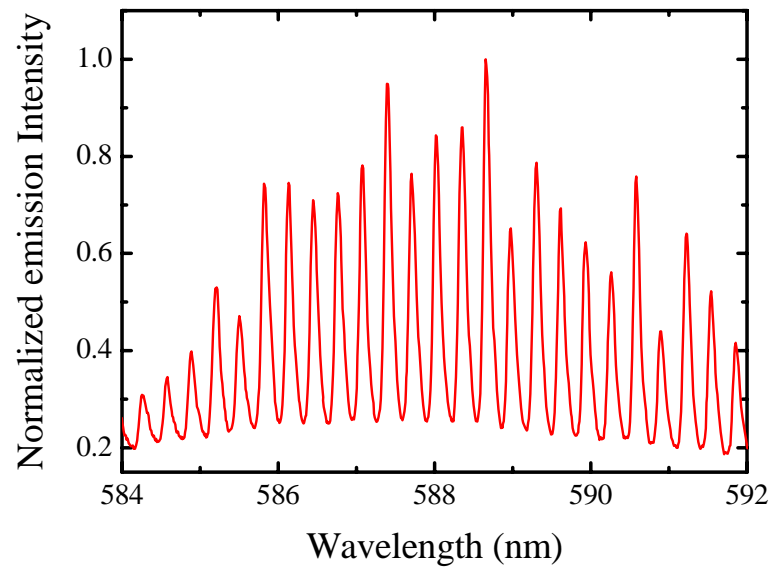
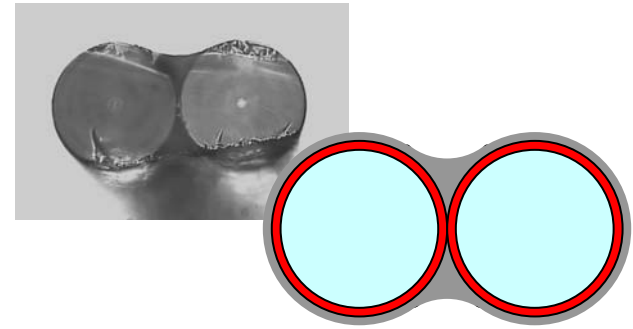
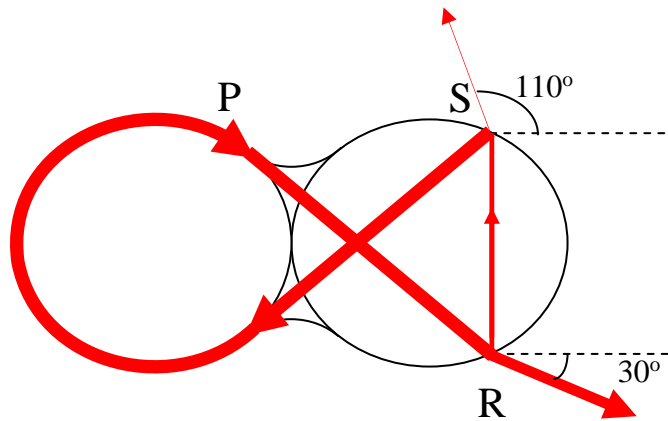
cavity shape



# Directional laser emission

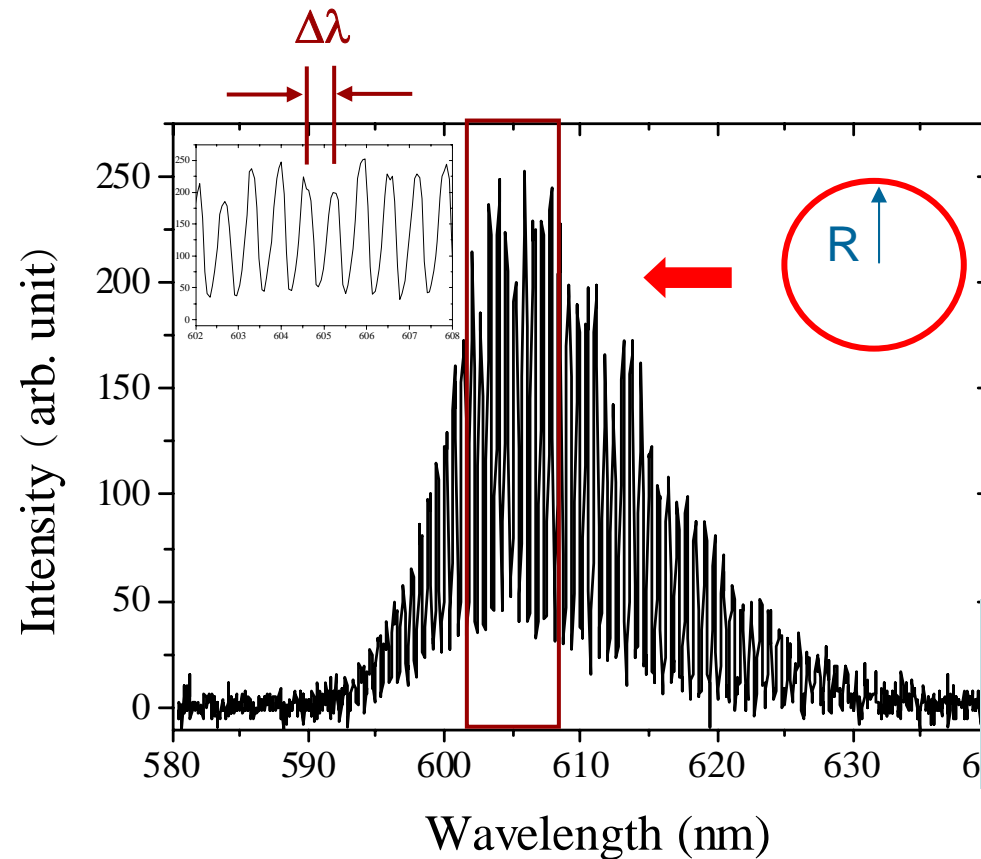


## extremely deformed microcavity



**Single frequency whispering gallery mode laser**

# Whispering gallery mode micro-ring laser



$$2\pi n_{eff} R = m\lambda$$

$$\Delta\lambda = \frac{\lambda^2}{2\pi R n_{eff}}$$

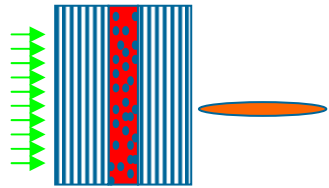
$$R = 50\mu m$$

$$\Delta\lambda = 0.4nm \quad @ \lambda = 600nm$$

Much smaller than gain spectra

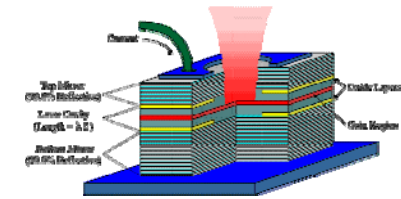
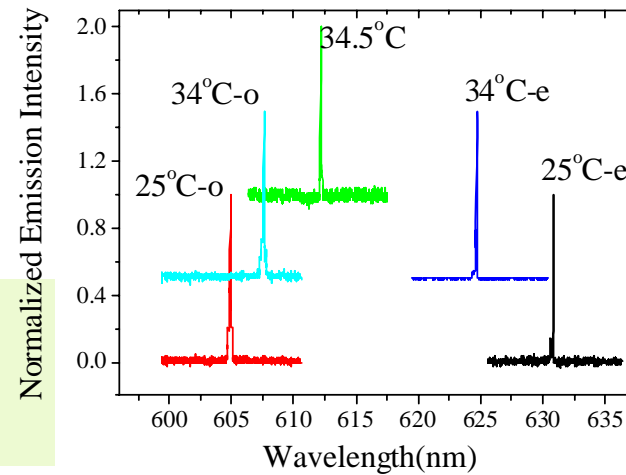
**Smaller cavity** → Lower Q fabrication difficulties, electric & optical coupling

# Conventional single frequency (mode) selection techniques



## Planar random cavity laser

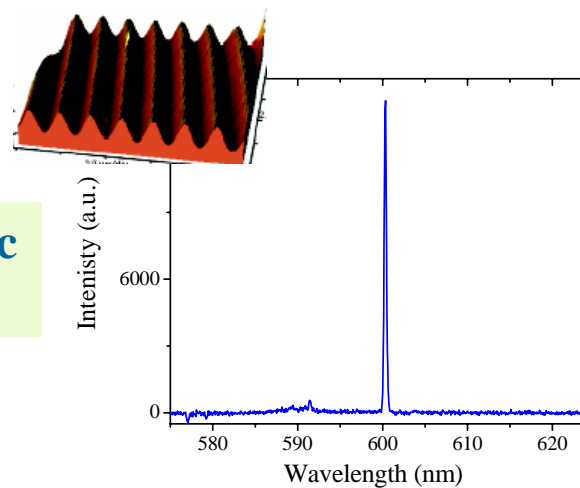
Q. Song & L Xu, *Phys.Rev.Lett.*, 96, 033902 (2006), *Opt.Lett.* 32, 373 (2007)



## VCSEL

$$2nd = m\lambda$$

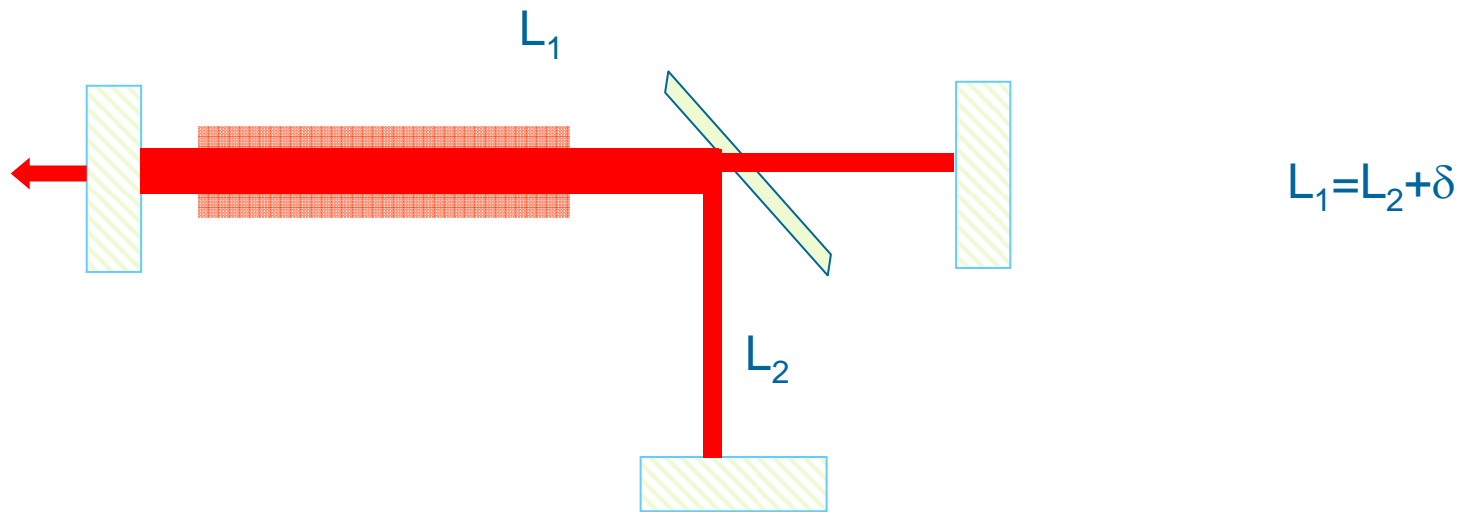
## Dye doped organic/inorganic hybrid DFB laser



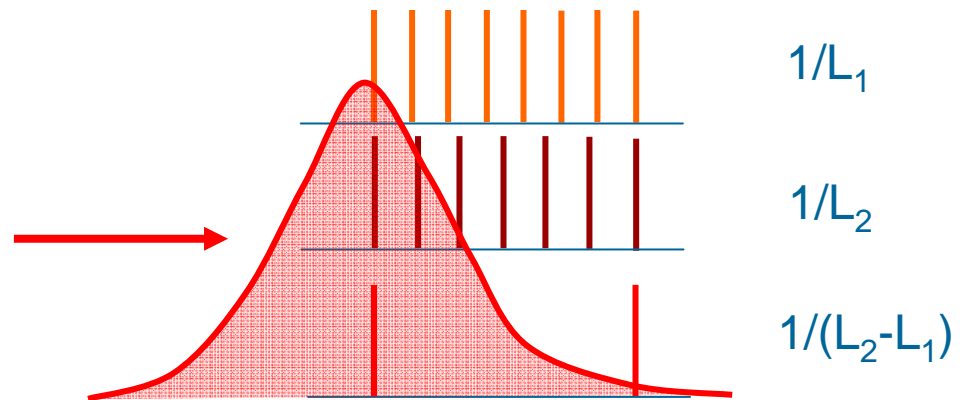
$$\lambda_B = 2\Lambda n_{eff}$$

## DFB

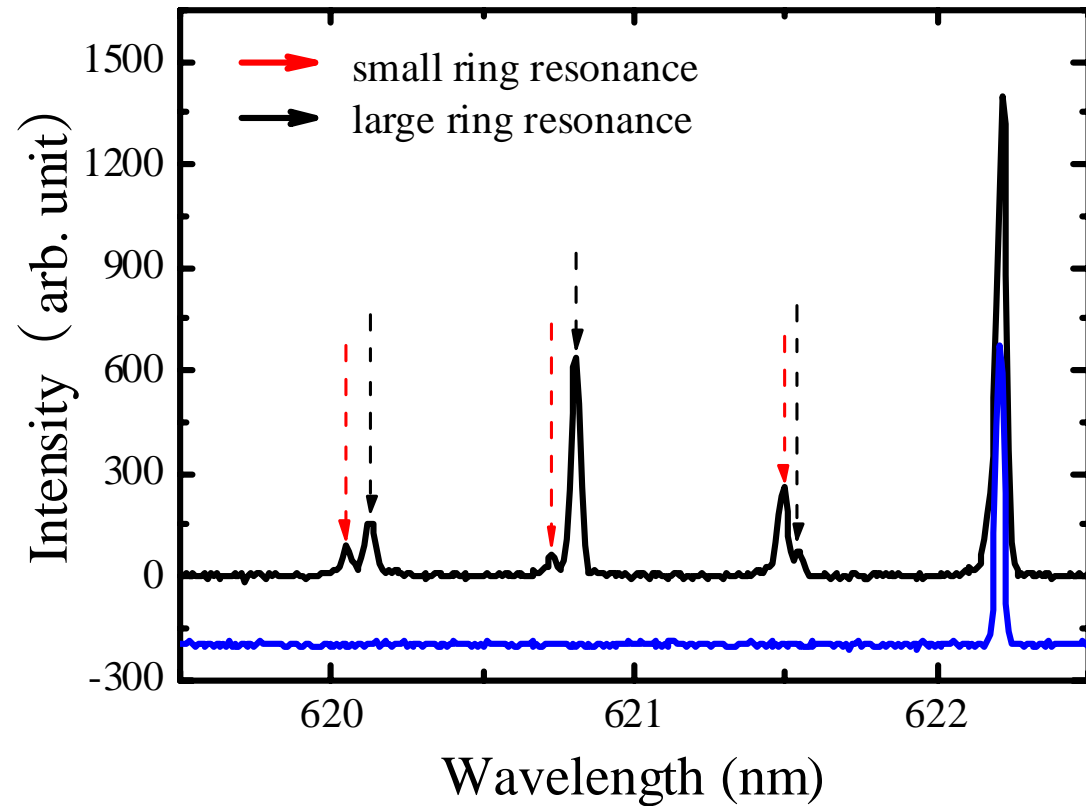
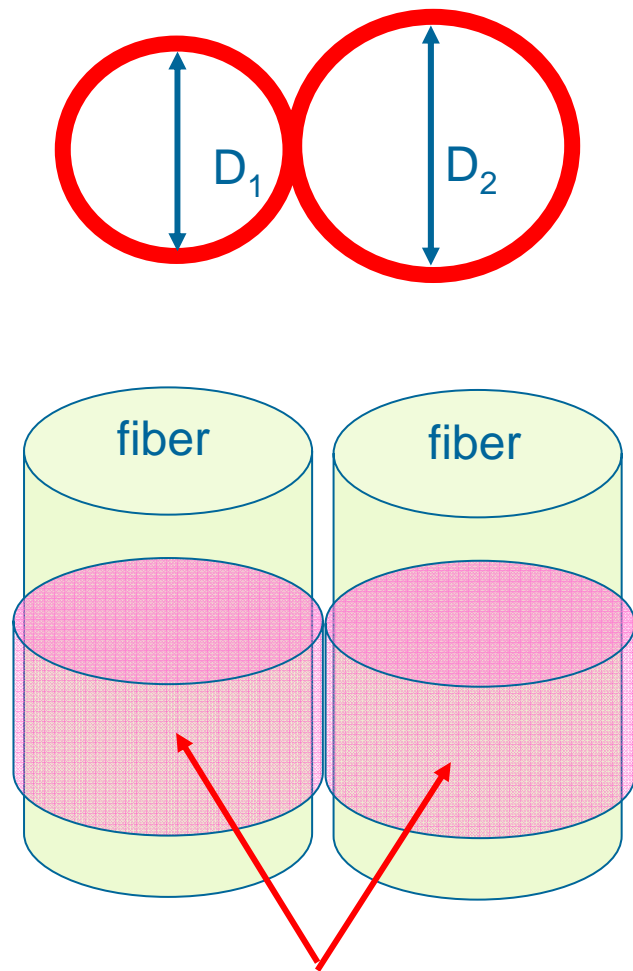
# Composite cavity laser



游标效应 Vernier effect:

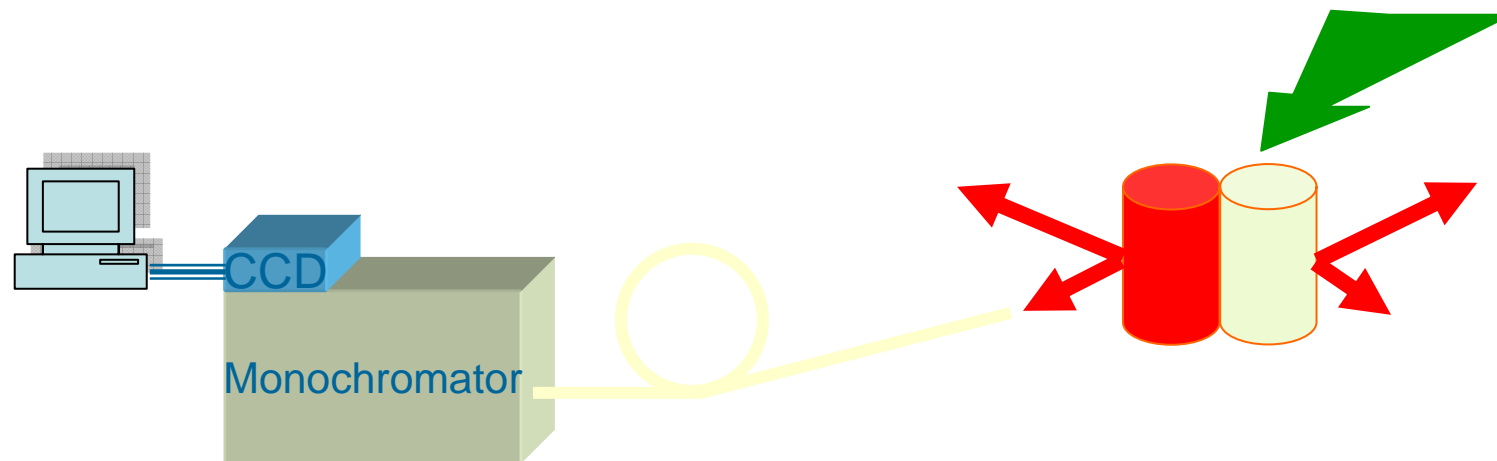
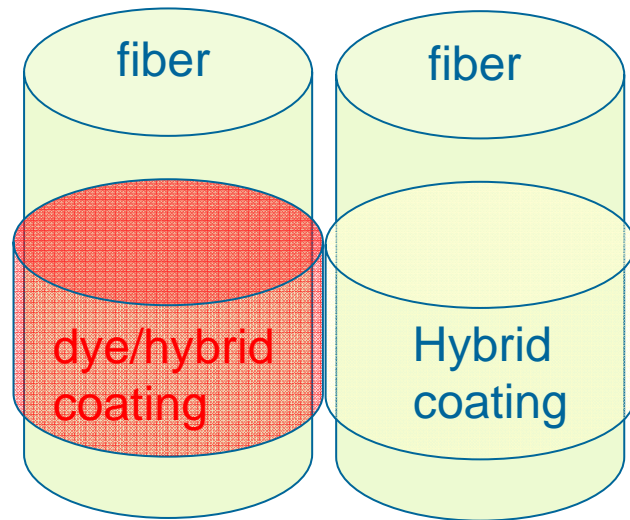


# Mode selection in asymmetric coupled microcavity laser

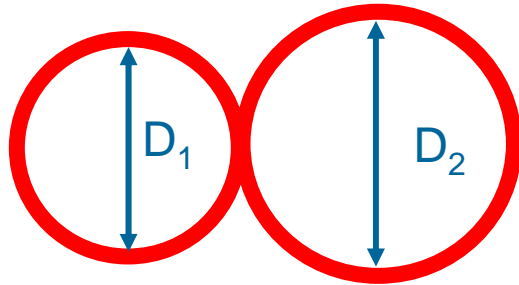


**RhB doped organic/inorganic hybrid coatings**





# Modulated emission spectrum from coupled cavities

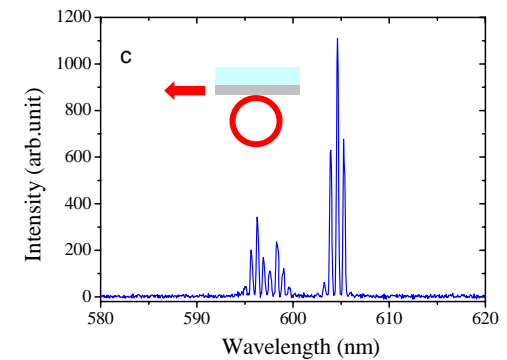
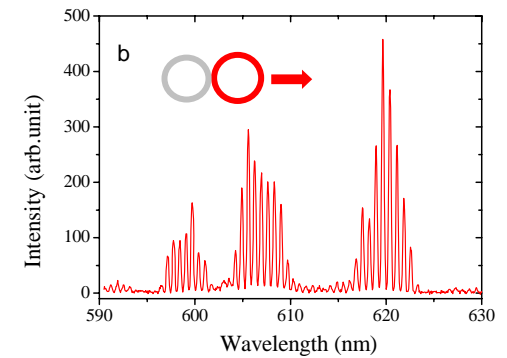
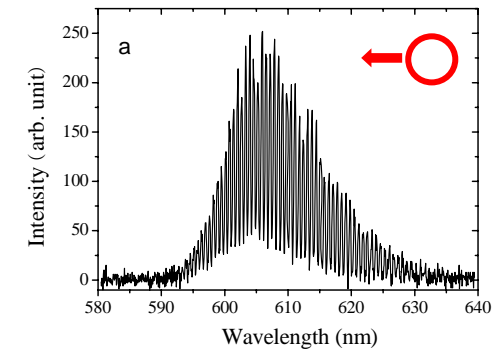


## Modulation width

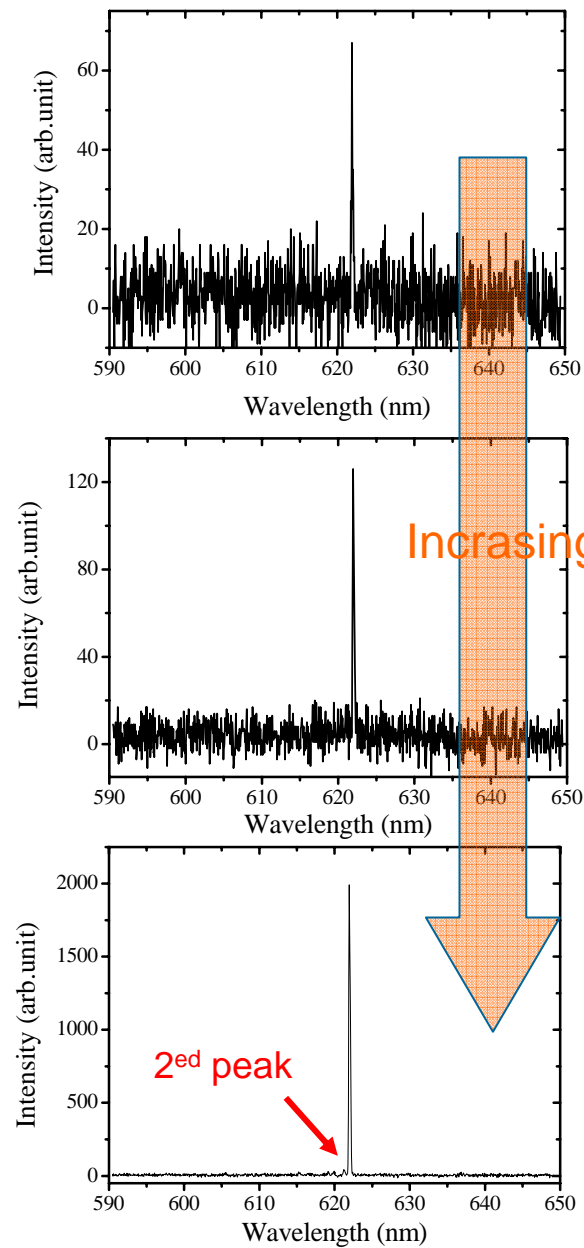
$$\Delta\lambda \approx \frac{\lambda^2}{\pi n_{eff} (D_1 - D_2)}$$

$$N_{eff}=1.5, D=125\mu m, \Delta D=6\mu m$$

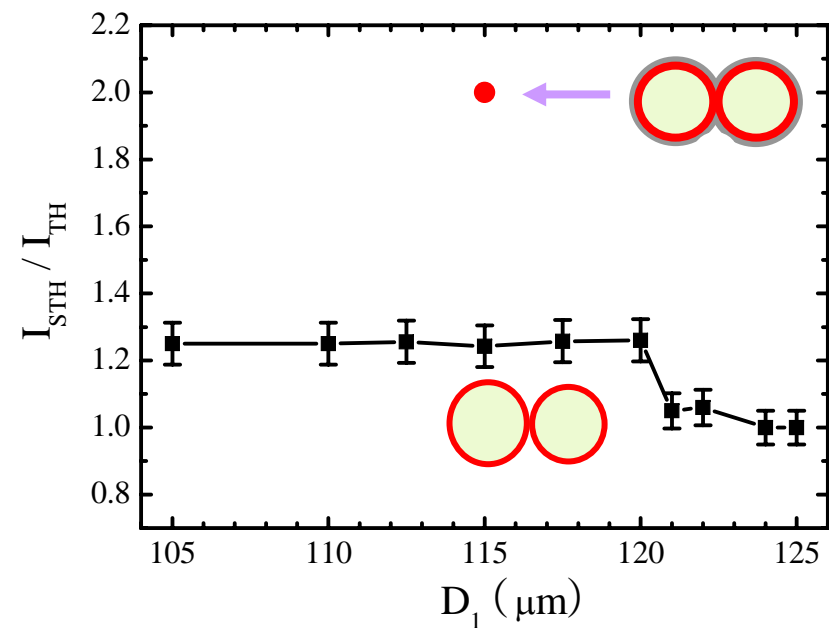
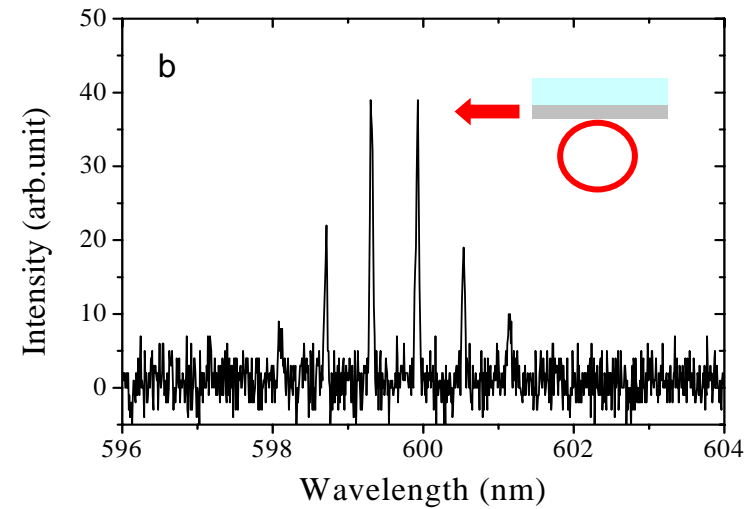
$$\Delta\lambda=10 \text{ nm}$$



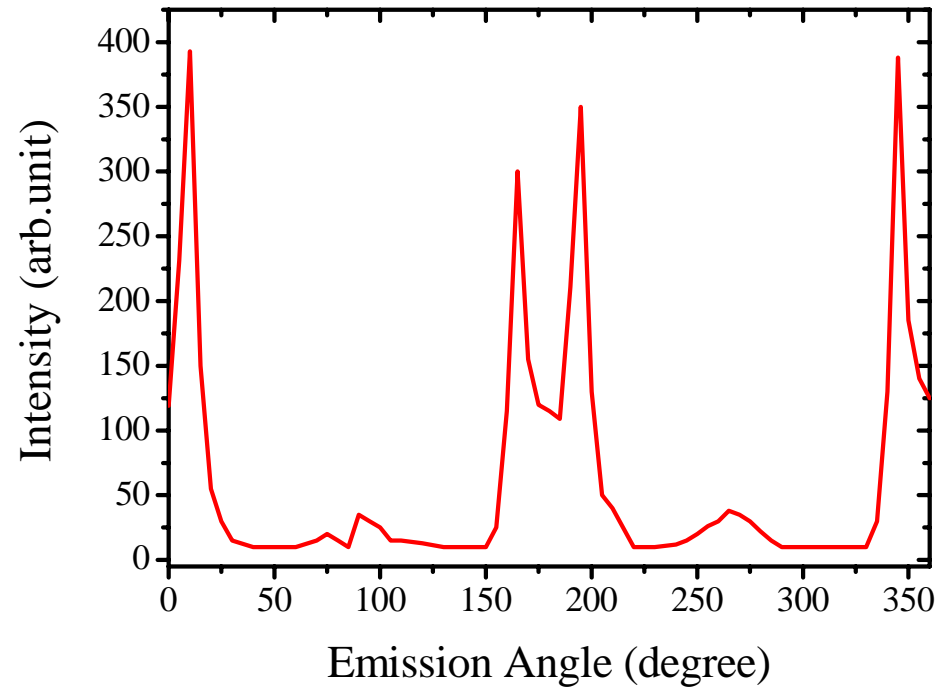
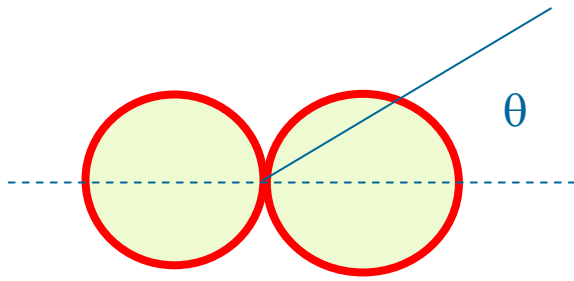
# Multi-mode suppression



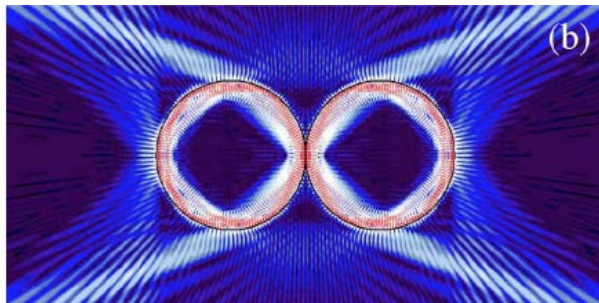
Increasing pump power



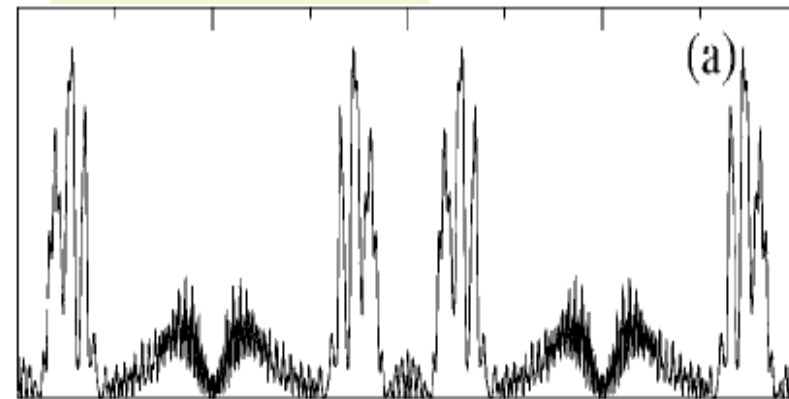
## Angular emission



## Near field pattern

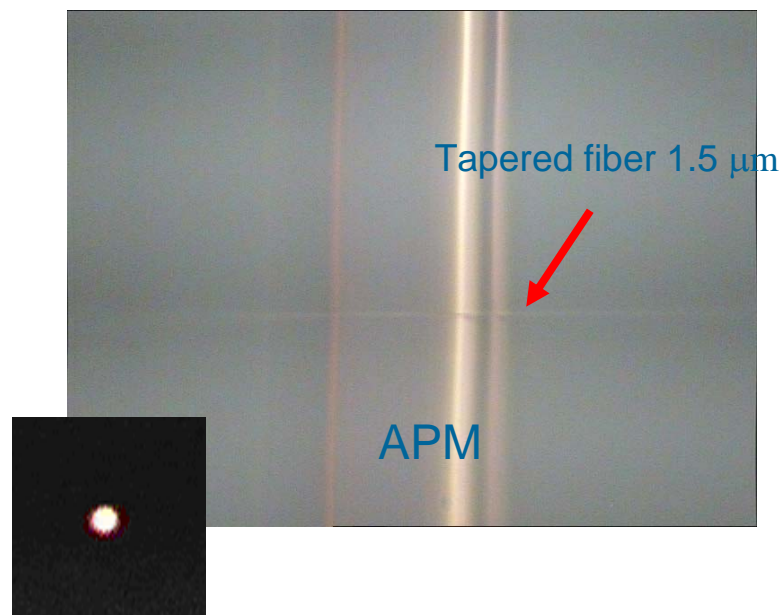
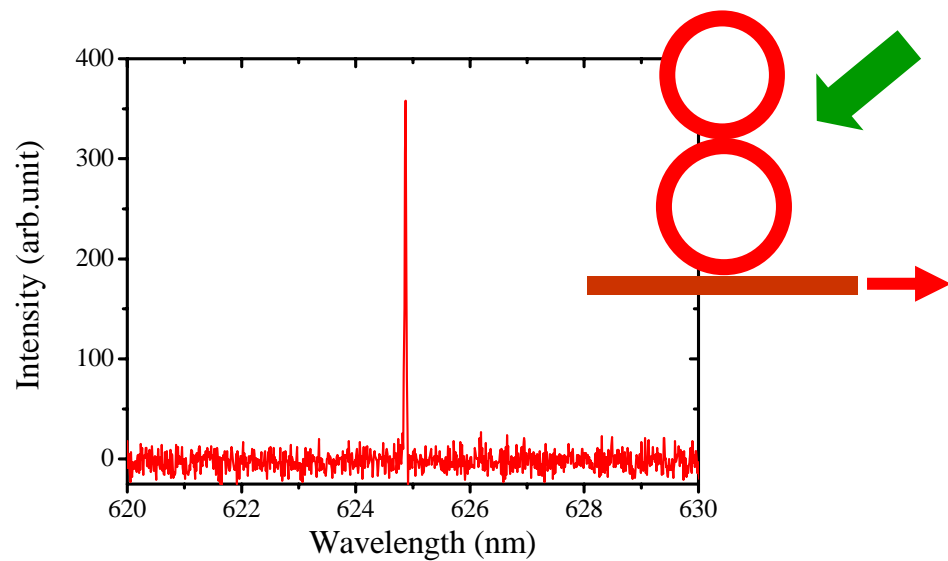
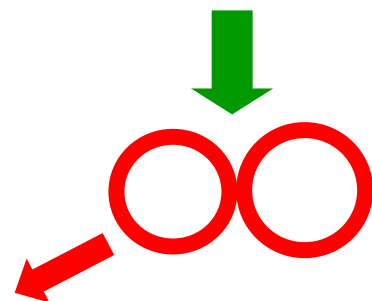
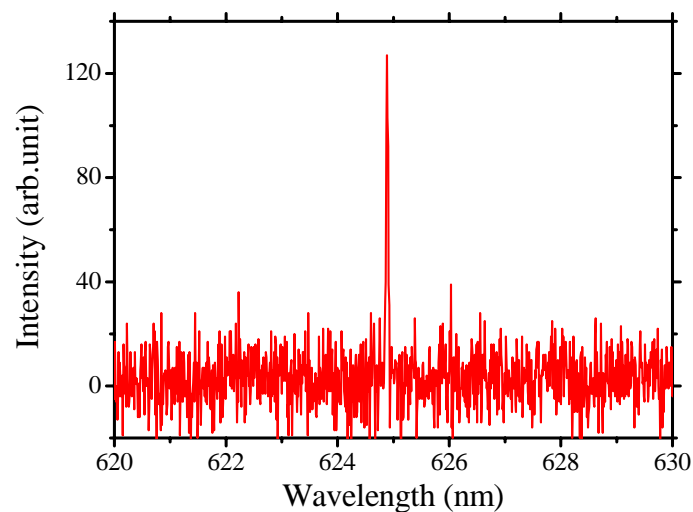


## Far-field pattern

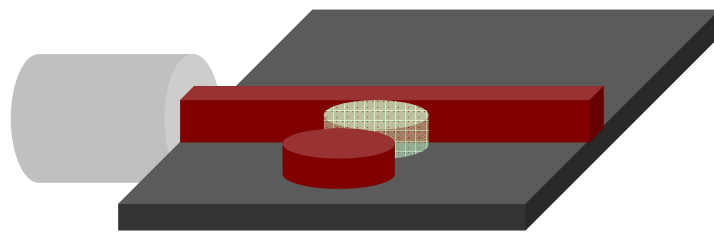
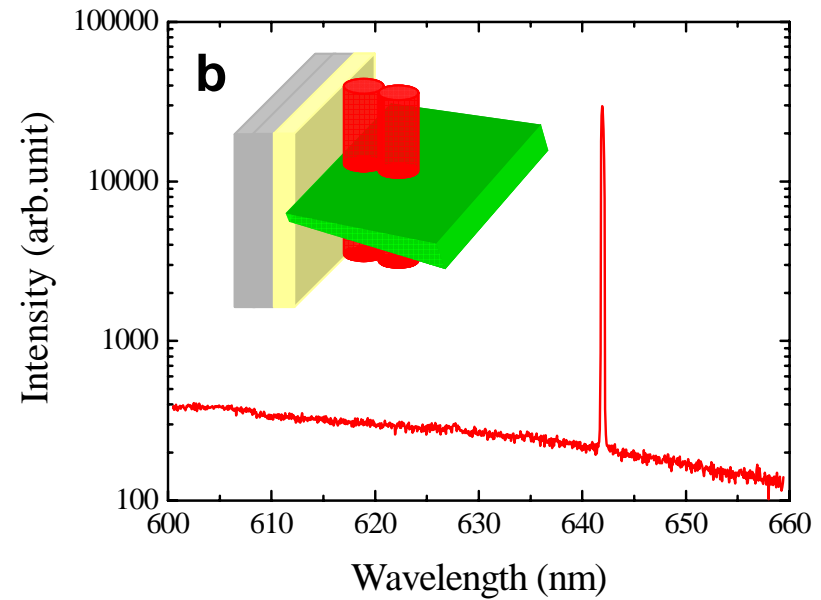
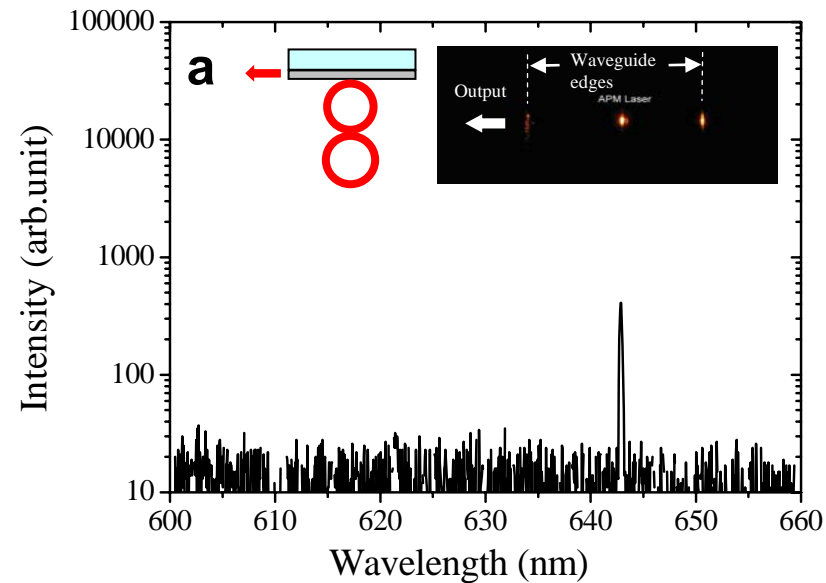


J.Ryu, PRA 74, 013804 (2006)

# Tapered fiber coupled single frequency coupled microcavity laser



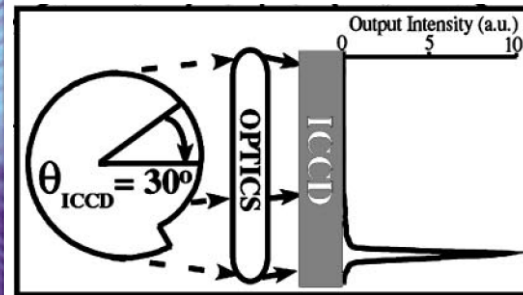
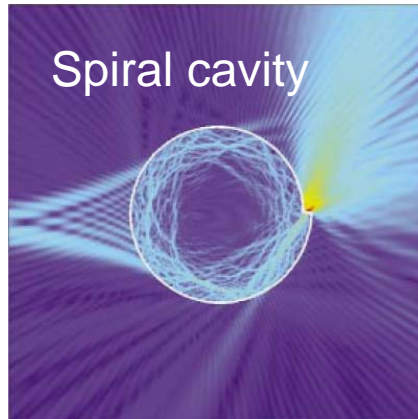
# Single frequency oscillator + pre-amplifier



Integrated single mode  
micro-laser on chip

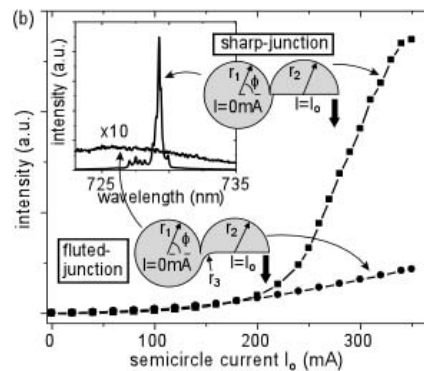
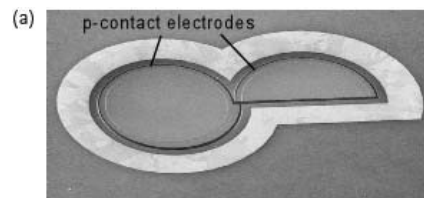
L.Shang & L.Xu, Optics Letters, 33,1150 (2008)

# Toward a unidirectional single frequency laser on chip



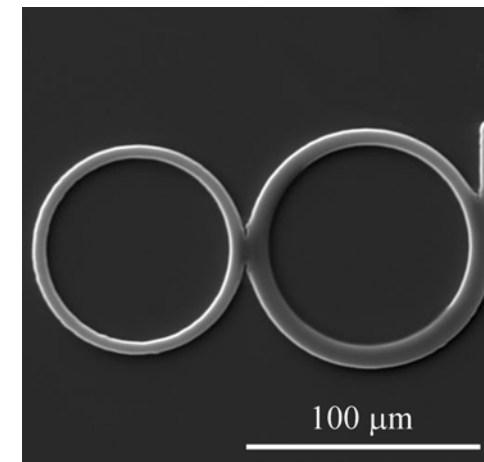
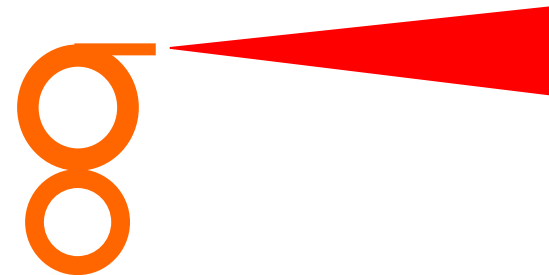
$$R(\phi) = R_0 (1 + \varepsilon \phi / 2\pi)$$

G.D.Chern et al., APL 83,1710 (2003)

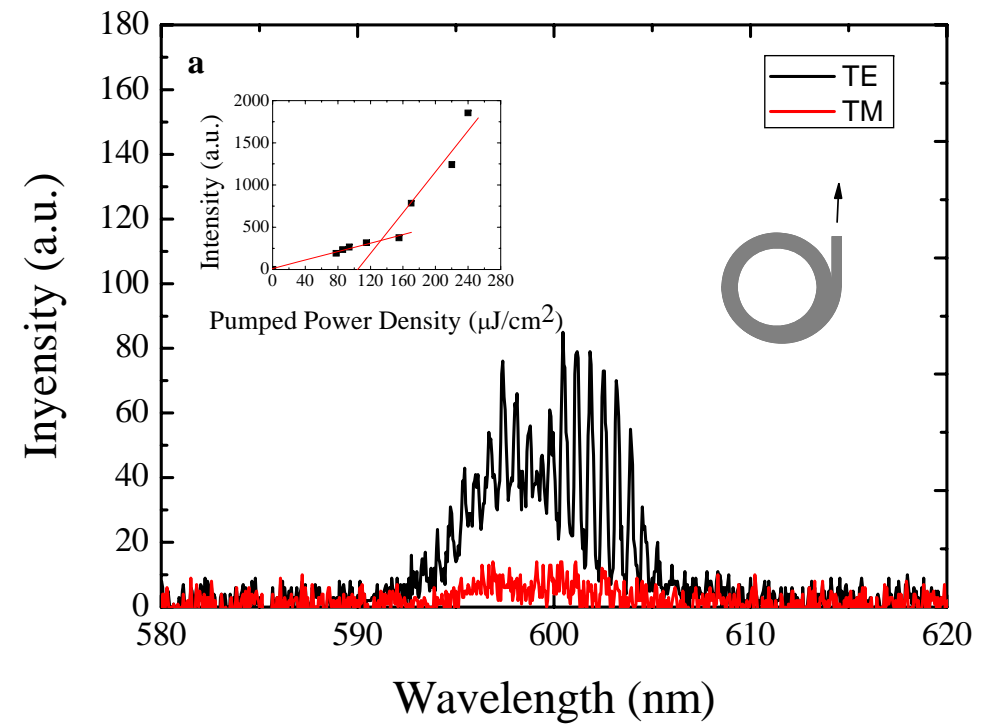
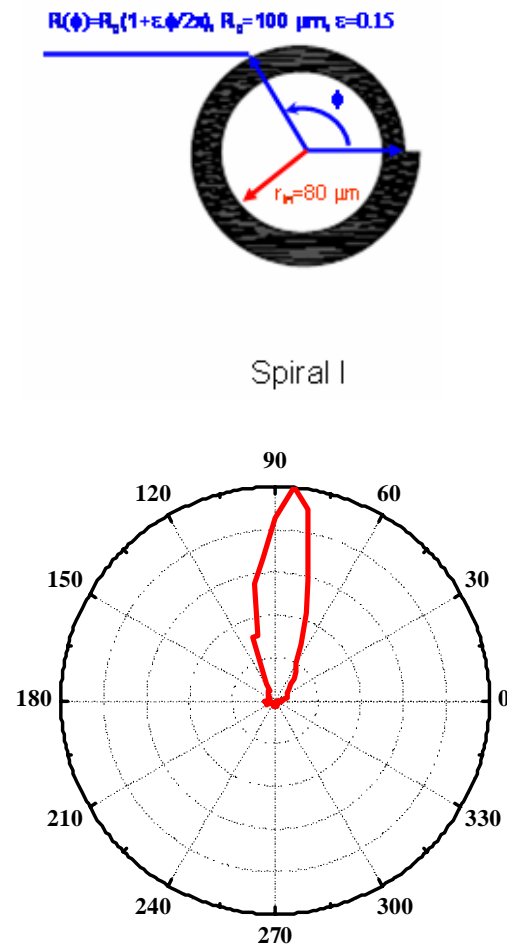


G.D.Chern, et al., Opt. Lett. 32, 1093 (2007)

A coupled spiral cavity

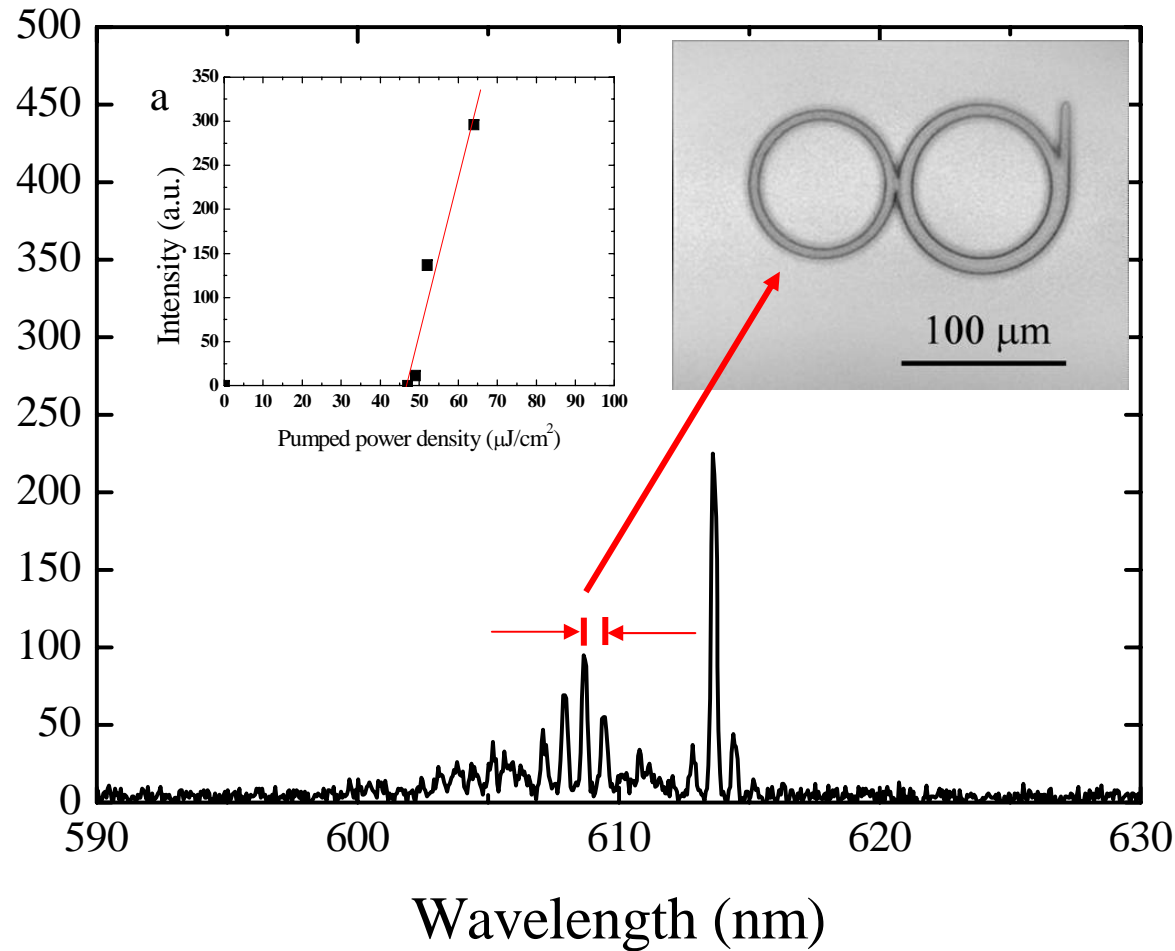


# Unidirectional emission from Spiral microcavities





# Ring-spiral coupled microcavity resonance

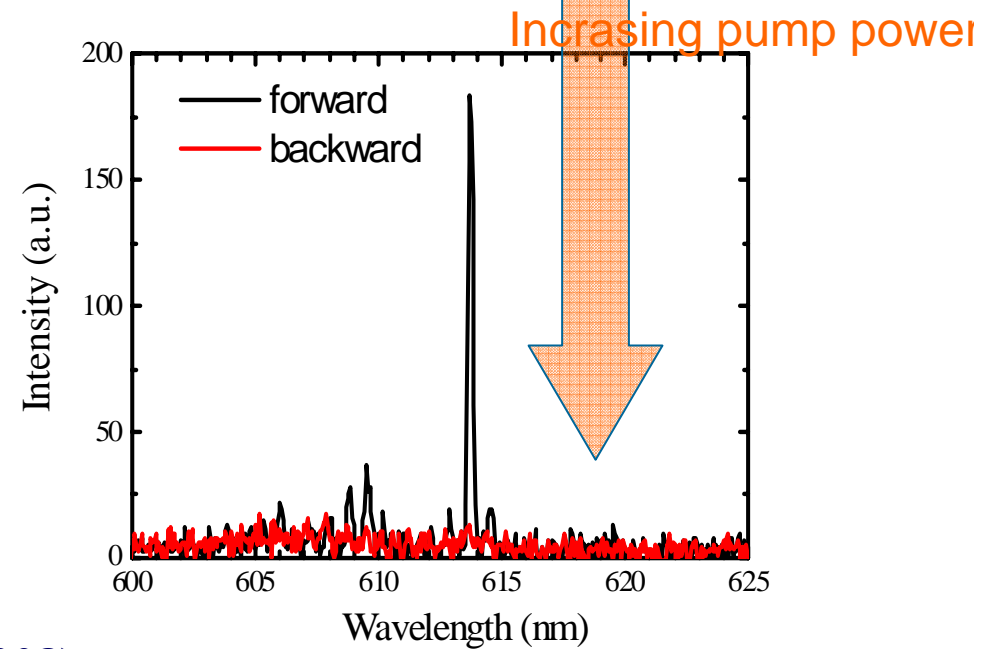
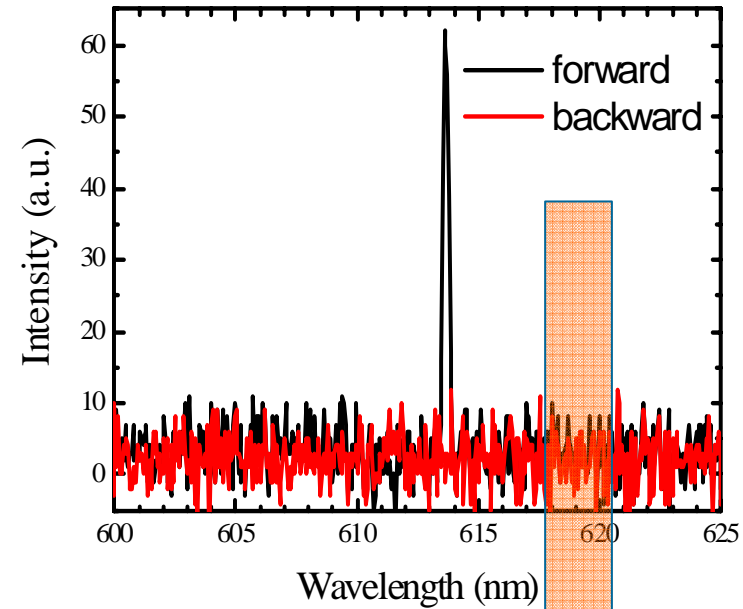
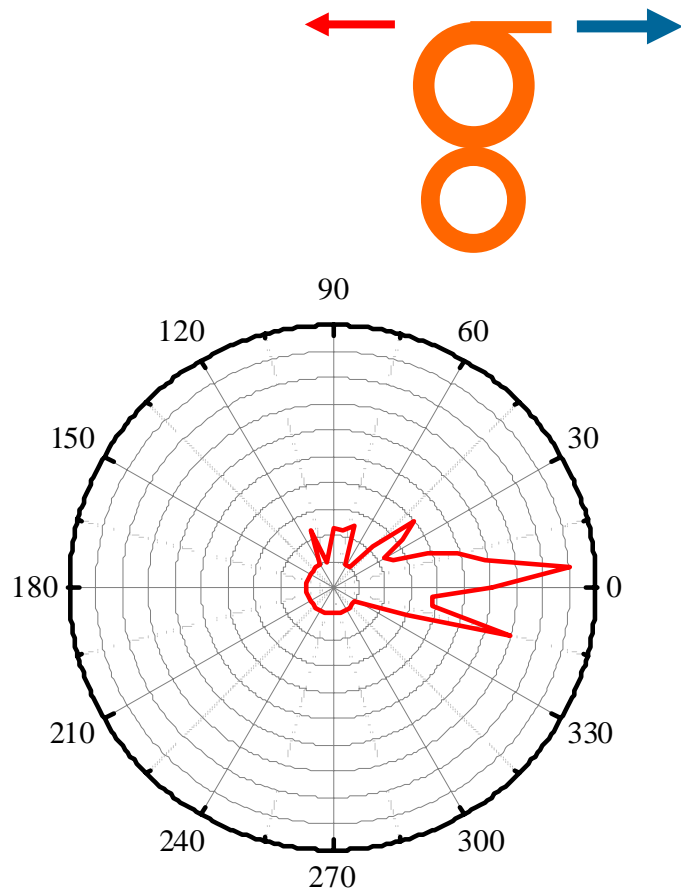


Pump threshold=45  $\mu\text{J}/\text{cm}^2$

Spiral  
Pump threshold=130  $\mu\text{J}/\text{cm}^2$

**Ring: resonator**  
**Spiral: resonance filter**

# Uni-directional single mode lasing



X.Wu & L.Xu, Appl.Phys.Lett. 93, 081105 (2008)

## **Single mode microcavity laser: possible applications**

**UV single mode laser: difficulty in conventional cavity fabrication (DBR)**

**Optical sensing**

## Passive sensing

*light propagation*

**High Q, high sensitivity**

**Precisely controlled experiment,  
(critical coupling)**

**Single channel detection**

**Single frequency tunable input  
laser ( $\ll 0.1$  pm)**

**vs**

## Active sensing

*light emission*

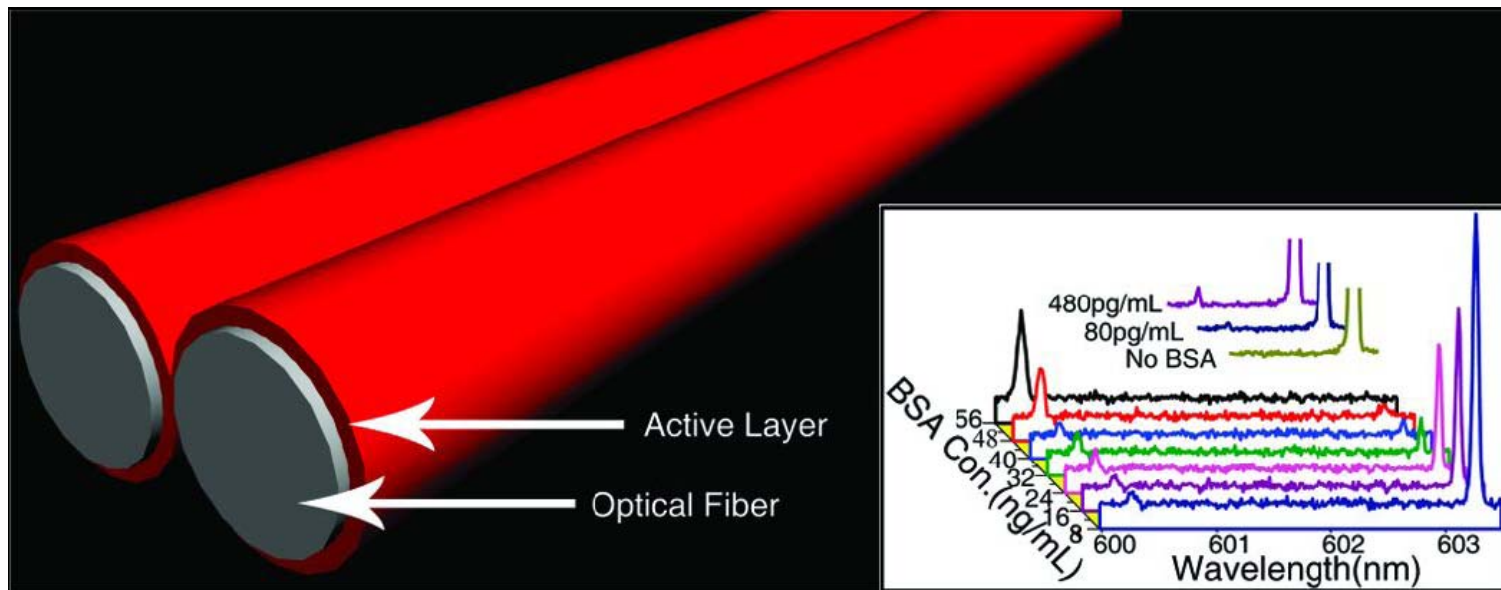
**Parallel (2D) fast detection**

**Simple experimental setup**

**need high resolution  
spectrometer ( $> 10$  pm)**

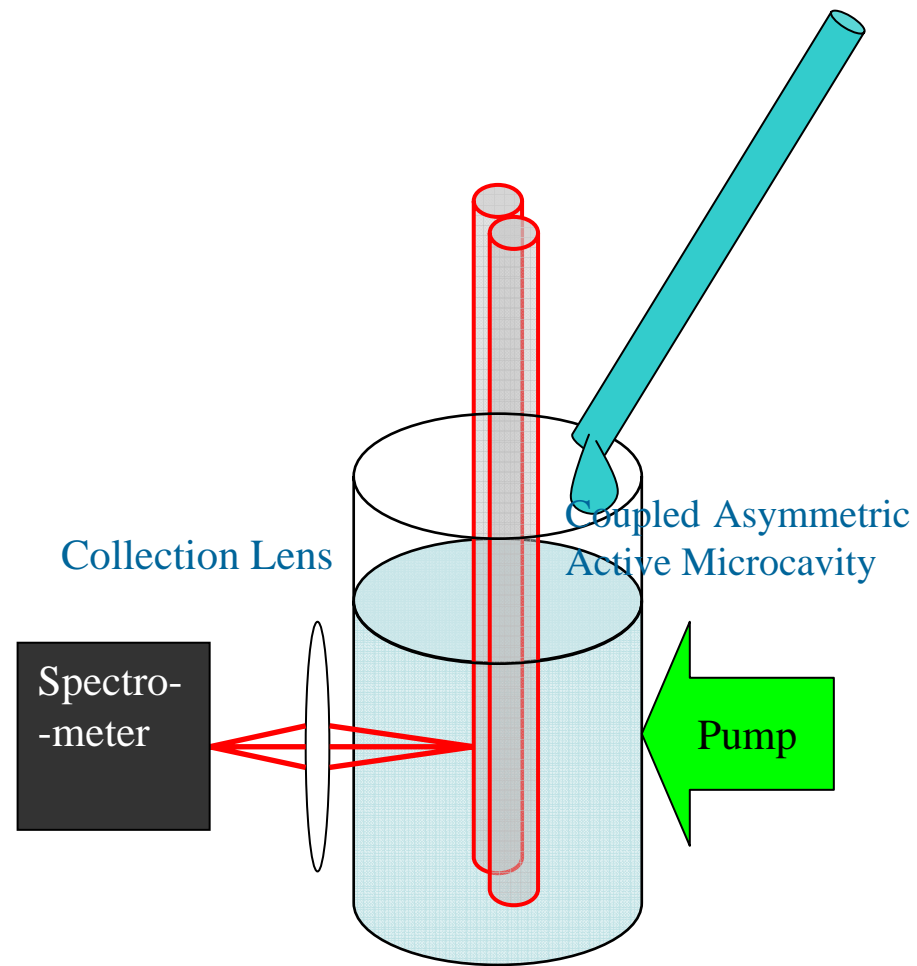
**Special mechanism to  
reduce spectral resolution  
requirement**

# Coupling variation induced ultrahigh sensitive label free bio-sensor by using single mode coupled microcavity laser

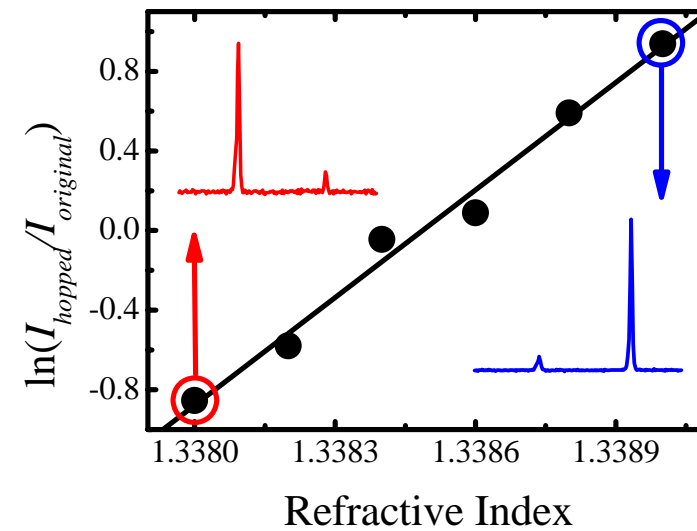
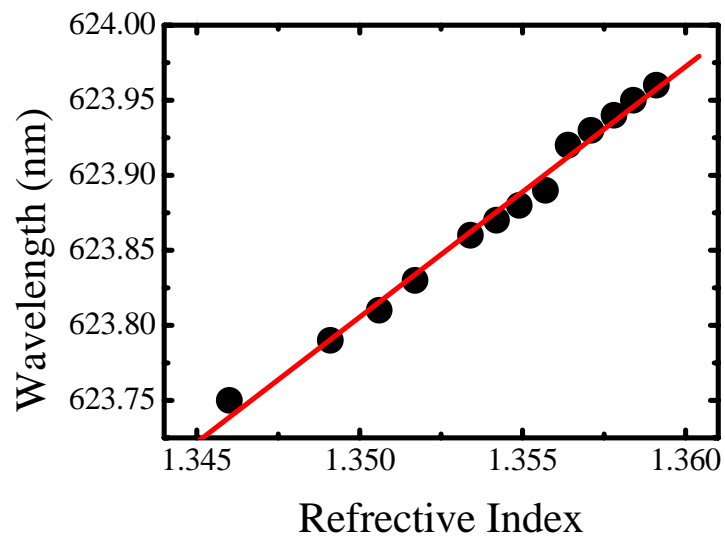


H Li & L. Xu, JACS 131,16612 (2009)

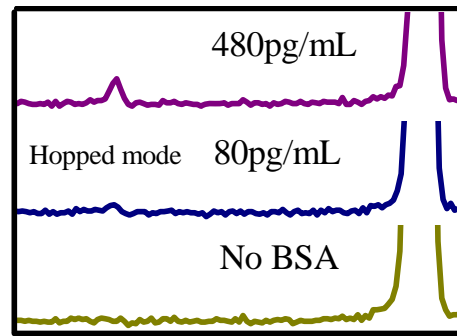
# Setup



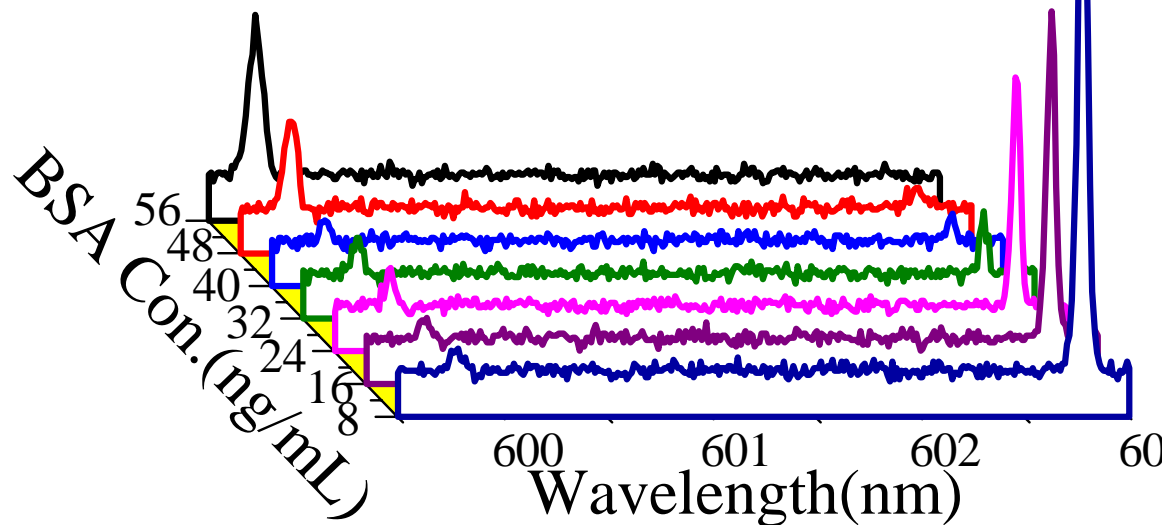
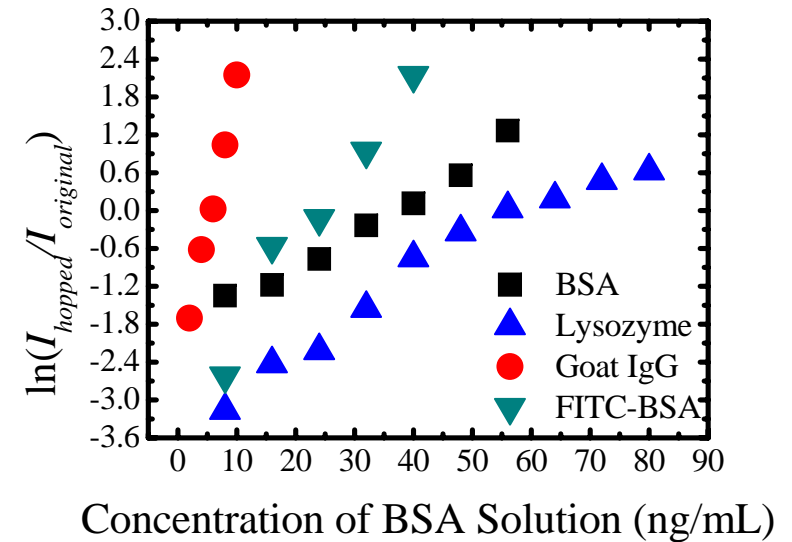
- **Resonance shift vs hopping**



# Bio-sensing result



Wavelength

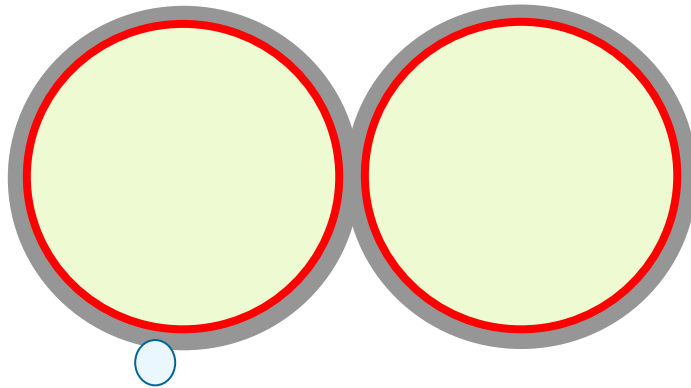


**Minimum  
observable BSA  
concentration  
80 pg/ml**

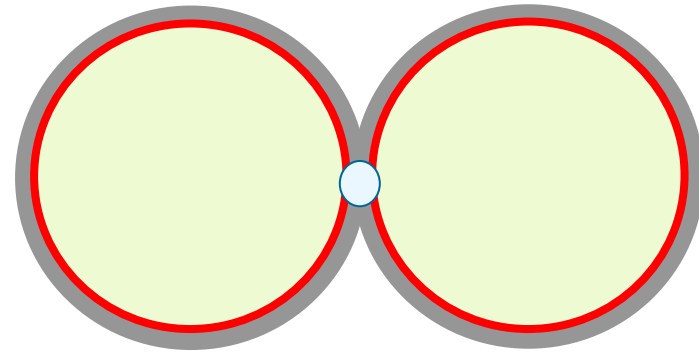


# RI change sensing vs coupling variation sensing

Conventional sensing

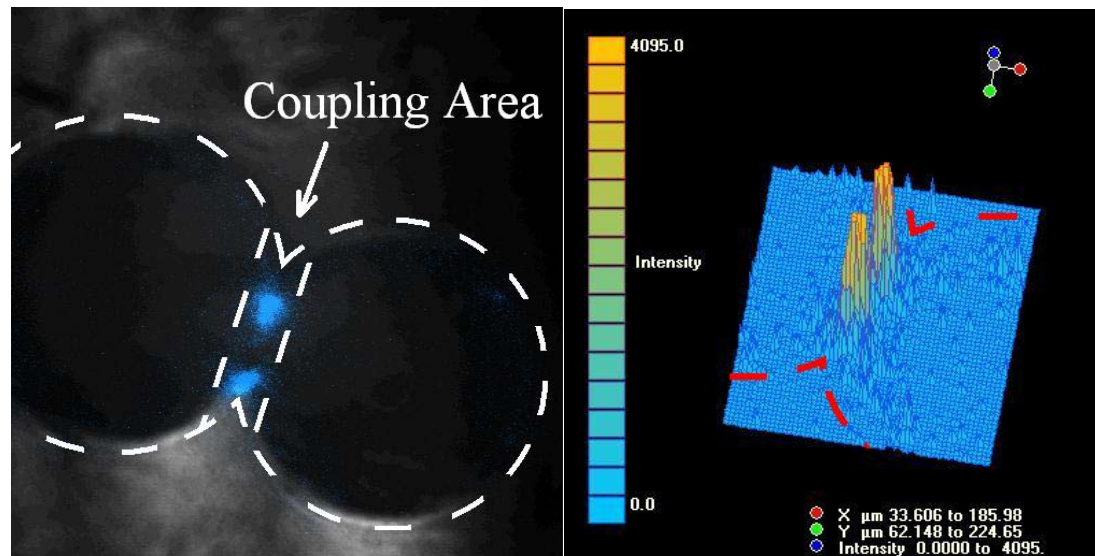


Coupling sensing



 High RI agent

# Imaging of fluorescent protein (cypet, FIRC-BSA)

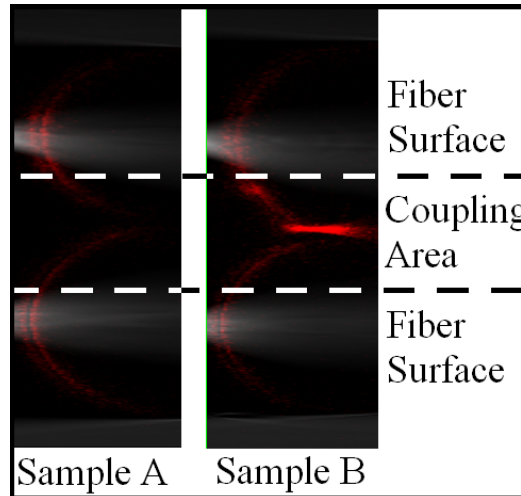
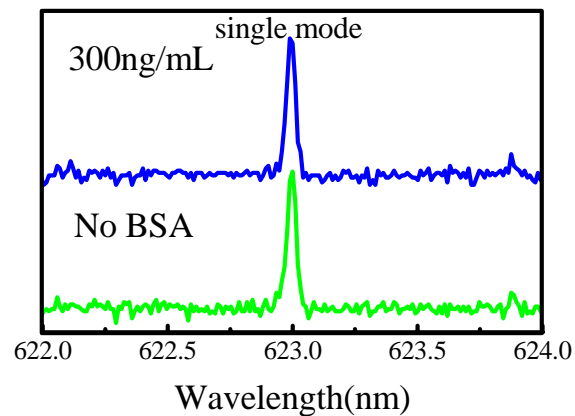
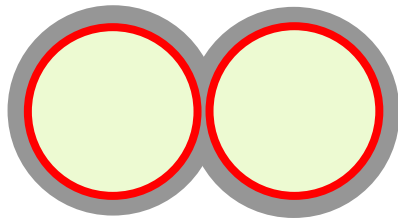


## Reason of mode hopping

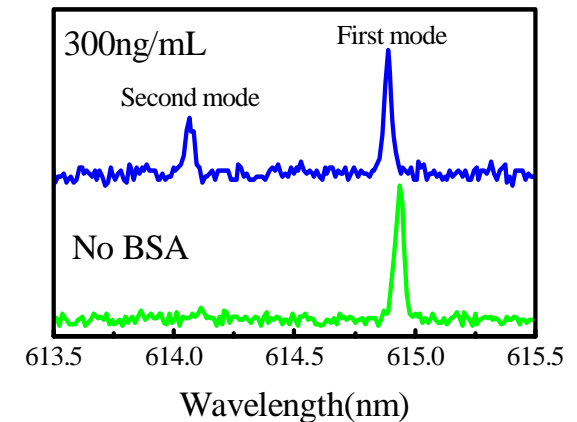
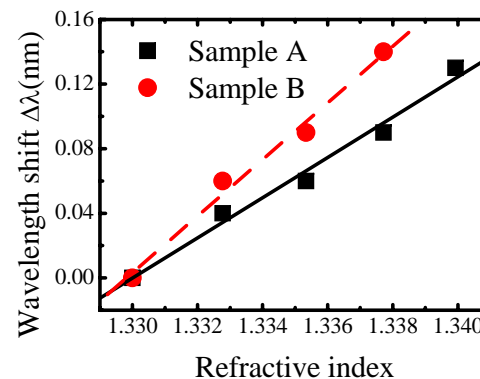
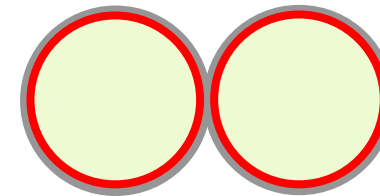
Sticking of bio sample in the coupling region changes coupling coefficient

# Further proof of coupling variation induced ultrahigh sensitivity

Thick polymer coating  
blocks coupling region



Thin polymer coating  
leaves coupling region  
partly open



Conventional RI sensing

## Future directions

We have reviewed four broad application areas of optical microcavities and highlighted several microcavity designs for each (see Table 1). Impressive results have been achieved in all areas. Substantial, additional gains are possible in quantum optical applications with continued improvement in microfabrication techniques and with implementation of new low-loss designs. Triggered, single photon sources will benefit from higher Purcell factors for improved fibre coupling, and miniaturization to the submicrometre scale of cavity QED devices (using either strong or weak coupling) is feasible. Also, the emergence of new ultrahigh- $Q$ , wafer-based geometries should provide a platform for strong-coupling studies that combine both laboratory-on-chip functions and efficient coupling to optical fibres. Technological applications such as the dynamic add/drop device will provide better control and reproducibility of filter characteristics in designs that are increasingly complex.

One other area that deserves special note is that of biological and chemical sensing. Optical sensors that use evanescent field coupling have been developed<sup>116,117</sup>; however, high- $Q$  optical microcavities, as a sensor transducer, offer the potential to greatly enhance detection sensitivity<sup>39</sup>. Recently, sensors based on both monolithic<sup>118</sup> and microsphere<sup>119</sup> whispering gallery transducers have been demonstrated. It seems likely that this will become an important application area for these devices. Likewise, the broad technological impact that resonant devices have had at acoustic, radio and microwave frequencies suggests that many other applications for these devices will emerge in the optical domain. □